
**Health informatics — Electronic health
record communication —**

**Part 5:
Interface specification**

*Informatique de santé — Communication du dossier de santé
informatisé —*

Partie 5: Spécification d'interfaces



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Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO 13606-5 was prepared by Technical Committee ISO/TC 215, *Health informatics*, and by Technical Committee CEN/TC 251, *Health informatics* in collaboration.

ISO 13606 consists of the following parts, under the general title *Health informatics — Electronic health record communication*:

- *Part 1: Reference model*
- *Part 2: Archetype interchange specification*
- *Part 3: Reference archetypes and term lists*
- *Part 4: Security* [Technical Specification]
- *Part 5: Interface specification*

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Introduction

This part of ISO 13606 defines the interfaces by which an EHR_EXTRACT, an ARCHETYPE or an EHR_AUDIT_LOG_EXTRACT may be requested and provided.

The scope of this part of ISO 13606 has been considered carefully in order to achieve several objectives:

- to specify those interfaces that are unique to the ISO 13606 context, and not to include more generic health information communication interfaces that might be the scope of other standards and specifications;
- to specify the interfaces in ways that are compatible with the HISA standard (ISO 12967) and, in particular, to define these interfaces as specializations of HISA ISO 12967-3 interfaces;
- to specify the interfaces as a pure RM-ODP Computational Viewpoint, in order to support the wide range of engineering viewpoints that might be adopted by individual vendors or eHealth programmes; (it should be noted that ISO 13606-1, ISO 13606-2 and ISO 13606-4 define the corresponding Information Viewpoints, and that ISO/TS 18308 defines the corresponding Enterprise Viewpoint);
- to construct these interfaces such that they might easily be implemented as specializations of standard interfaces within the commonly used engineering languages such as Java, Visual Basic, dotnet, SOAP, ebXML, etc.;
- to work through the Joint SDO Initiative and Council on the production of Engineering Viewpoint Implementation Guides, that will define more specifically how to implement these interfaces; (e.g. in HL7 3); these guides will be published separately from this part of ISO 13606, to enable them to be maintained and updated more frequently (to reflect implementation experience) than is possible for a standard;
- to recognise that EHR communication will be implemented within a healthcare communications infrastructure, usually nationally, that will define a generalized approach to many other complementary and necessary services such as patient demographics registries, provider registries, authentication and authorization policies and services, etc.; these are therefore not part of the formal scope of this part of ISO 13606 but are referred to as being assumed and necessary complementary services;
- to assume that an ISO/TS 22600 (PMAC) compatible architecture or its equivalent will be used for managing security services, and not to duplicate or conflict with these services in this part of ISO 13606;
- to further support the protection of patient privacy by avoiding the need to reveal whether any EHR data have been withheld by the provider when responding to a request;
- to enable each interface and term set to be extended locally to cater for specialized circumstances of EHR communication, in which additional requirement constraints might apply.

This part of ISO 13606 defines a set of interfaces by which the artefacts defined in ISO 13606-1, ISO 13606-2 and ISO 13606-4 can be requested and provided:

- a) ISO 13606-1 defines a reference model for an EHR_EXTRACT: part or all of the EHR of a subject of care;
- b) ISO 13606-2 defines an information model for an ARCHETYPE, and optionally a serialized form represented using Archetype Definition Language;
- c) ISO 13606-4 defines an EHR_AUDIT_LOG_EXTRACT to communicate the audit log activity history pertaining to part or all of an EHR.

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(ISO 13606-3 defines term lists and reference archetypes, to which a direct interface is not required. ISO 13606-4 defines an access policy model to which a direct interface is also not required.)

This part of ISO 13606 defines three interfaces, one for each of a) to c) above, as a communication between an *EHR_requester* (wishing to and authorizing the communication of the artefact), an *EHR_provider* (a repository service that contains and can return the requested artefact) and an *EHR_recipient* who is intended and authorized to receive the artefact (usually but not always the same as the *EHR_requester*). In terms of the HISA standard, ISO 12967, these interfaces are all specializations of the Detail Basic Methods defined in ISO 12967-3.

These interfaces are all expressed as ODP Computational Viewpoint specifications, and aim to support implementation through many different Engineering Viewpoint (transport) formalisms, such as message protocols (e.g. EDIFACT, HL7 3) or service protocols (e.g. SOAP, Java RMI). This part of ISO 13606 therefore specifies only the “payload” information to be communicated at each interface. Attributes such as message identifiers, message time-stamping and message version management are normally defined and handled by each kind of transport protocol in particular ways, and this part of ISO 13606 therefore does not define its own duplication of this kind of information. It should be noted that the *EHR_EXTRACT* defined in ISO 13606-1, the *ARCHETYPE* defined in ISO 13606-2, and the *EHR_AUDIT_LOG_EXTRACT* defined in ISO 13606-4 all include time-stamping, authorship and version management information of the payload data as part of their information models.

Request acknowledgements and system/communication error messages are routinely handled by most engineering transport protocols. It is therefore not appropriate that this part of ISO 13606 duplicate these. An optional exception is defined to communicate back to the *EHR_requester* a reason why a request has been received but refused, if it is legitimate to reveal this without breaching confidentiality.

The *EHR_requester* will need to authenticate to the *EHR_provider* in ways that are to be locally determined, and will present authorization credentials that are also beyond the scope of this part of ISO 13606 but are specified in ISO/TS 22600 (PMAC). It is recognised that there may be times when an *EHR_requester* wishes the *EHR_provider* to “send” the *EHR_EXTRACT* to a third party. This part of ISO 13606 may be used within a delegation architecture, in which an *EHR_requester* acts on behalf of another party, but the representation and communication of the hierarchy of authorizations involved in delegation is a matter for the privilege management and access control architecture and does not directly impact on this part of ISO 13606. Alternatively, local arrangements may be made to securely communicate to a third party a unique reference for any particular *RECORD_COMPONENT* (e.g. for a particular letter or discharge summary, via the *ehr-id* and *rc_id* of the *COMPOSITION*) that the third party is recommended to and has permission to access directly, without therefore requiring the use of delegation.

A set of Implementation Guides is being developed to define how this part of ISO 13606 should be implemented within particular communications/transport standards. The first of these is expected to be for HL7 3, to be published and maintained by HL7.

Health informatics — Electronic health record communication —

Part 5: Interface specification

1 Scope

This part of ISO 13606 specifies the information architecture required for interoperable communications between systems and services that need or provide EHR data. This part of ISO 13606 is not intended to specify the internal architecture or database design of such systems.

The subject of the record or record extract to be communicated is an individual person, and the scope of the communication is predominantly with respect to that person's care.

Uses of healthcare records for other purposes such as administration, management, research and epidemiology, which require aggregations of individual people's records, are not the focus of this part of ISO 13606, but such secondary uses could also find this document useful.

This part of ISO 13606 defines a set of interfaces to request and provide:

- an EHR_EXTRACT for a given subject of care as defined in ISO 13606-1;
- one or more ARCHETYPE(s) as defined in ISO 13606-2;
- an EHR_AUDIT_LOG_EXTRACT for a given subject of care as defined in ISO/TS 13606-4.

This part of ISO 13606 defines the set of interactions for requesting each of these artefacts, and for providing the data to the requesting party or declining the request. An interface to query an EHR or populations of EHRs, for example for clinical audit or research, are beyond its scope, although provision is made for certain selection criteria to be specified when requesting an EHR_EXTRACT which might also serve for population queries.

This part of ISO 13606 defines the Computational Viewpoint for each interface, without specifying or restricting particular engineering approaches to implement these as messages or as service interfaces.

This part of ISO 13606 effectively defines the payload to be communicated at each interface. It does not specify the particular information that different transport protocols will additionally require, nor the security or authentication procedures that might be agreed between the communicating parties or required by different jurisdictions.

2 Conformance

2.1 A message or service interface that serves to request part or all of the EHR of a subject of care shall include all of the information specified as mandatory in 6.1, and may include any of the information specified as optional in 6.1. An EHR_provider shall be able to receive and process all of the mandatory and optional parameters in the request. The provision of an EHR_EXTRACT in response to this request, or the refusal to do so, shall conform to 6.1.

2.2 A message or service interface that serves to request one or more Archetypes shall include all of the information specified as mandatory in 6.2, and may include any of the information specified as optional in 6.2. An EHR_provider shall be able to receive and process all of the mandatory and optional parameters in the request. The provision of ARCHETYPES in response to this request, or the refusal to do so, shall conform to 6.2.

2.3 A message or service interface that serves to request part or all of the Audit Log pertaining to an EHR of a subject of care shall include all of the information specified as mandatory in 6.3, and may include any of the information specified as optional in 6.3. An EHR_provider shall be able to receive and process all of the mandatory and optional parameters in the request. The provision of an EHR_AUDIT_LOG_EXTRACT in response to this request, or the refusal to do so, shall conform to 6.3.

2.4 The information specified in 6.1 to 6.3 may be included as parameters, arguments or message segments within the communications artefact, as appropriate to the engineering paradigm adopted. These interfaces may be locally extended to include additional information that is locally relevant, but such extensions cannot be mandated outside of the jurisdiction in which they have been agreed.

3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

3.1
access control
means of ensuring that the resources of a data processing system can be accessed only by authorized entities in authorized ways

[ISO/IEC 2382-8:1998, definition 08.04.01]

3.2
accountability
property that ensures that the actions of an entity may be traced uniquely to that entity

[ISO/IEC 2382-8:1998, definition 08.01.10]

3.3
archetype instance
individual metadata class instance of an archetype model, specifying the clinical concept and the value constraints that apply to one class of record component instances in an electronic health record extract

3.4
archetype model
information model of the metadata to represent the domain-specific characteristics of electronic health record entries, by specifying values or value constraints for classes and attributes in the electronic health record reference model

3.5
archetype repository
persistent repository of archetype definitions, accessed by a client authoring tool or by a run-time component within an electronic health record service

3.6
attester
party (person) who certifies and records legal responsibility for a particular unit of information

3.7
attestation
process of certifying and recording legal responsibility for a particular unit of information

3.8**audit trail**

chronological record of activities of information system users which enables prior states of the information to be faithfully reconstructed

[ISO 13606-1:2008, definition 3.9]

3.9**authentication**

process of reliably identifying security subjects by securely associating an identifier and its authenticator

3.10**authorization**

granting of rights

3.11**committed**

information that has been persisted within an electronic health record system and which constitutes part of the electronic health record of a subject of care

[ISO 13606-1:2008, definition 3.14]

3.12**committer**

agent (party, device or software) whose direct actions have resulted in data being committed to an electronic health record

[ISO 13606-1:2008, definition 3.15]

3.13**confidentiality**

property that information is not made available or disclosed to unauthorized individuals, entities, or processes

[ISO 7498-2:1989, definition 3.3.16]

3.14**digital signature**

data appended to, or a cryptographic transformation of, a data unit that allows a recipient of the data unit to prove the source and integrity of the unit and protect against forgery e.g. by the recipient

[ISO 7498-2:1989, definition 3.3.26]

3.15**distributed processing**

information processing in which discrete components may be located in different places

3.16**electronic health record extract**

part or all of the electronic health record of a subject of care, communicated in compliance with ISO 13606

3.17**electronic health record information architecture**

ODP Information Viewpoint specification of an electronic health record

3.18**electronic health record provider**

entity in legitimate possession of electronic health record data and in a position to communicate it to another appropriate entity

3.19

electronic health record recipient

entity to whom electronic health record data are communicated by an electronic health record provider

3.20

electronic health record requester

entity initiating a request for electronic health record communication to take place between an electronic health record provider and an electronic health record recipient

3.21

electronic health record system

system for recording, retrieving, manipulating and processing information in electronic health records

3.22

federated health record

virtual view of a patient's health record that can be obtained from all electronic health record entries about that patient that are held by different systems in communication using standard electronic health record extracts

3.23

feeder system

repository (for health record data) that may be queried within a federation of electronic health record systems in order to contribute to a federated health record

3.24

healthcare agent

person, device or software that performs a role in a healthcare activity

[EN 13940-1:2007]

3.25

healthcare device

device or equipment involved in the direct or indirect provision of healthcare services to an individual or to a population

3.26

healthcare organization

organization involved in the direct or indirect provision of healthcare services

NOTE Groupings or subdivisions of an organization, such as departments, may also be considered as organizations where there is a need to identify them.

3.27

healthcare party

person involved in the direct or indirect provision of healthcare services

3.28

healthcare service

service provided with the intention of directly or indirectly improving the health of the person or populations to whom it is provided

3.29

non-repudiation

service providing proof of the integrity and origin of data (both in an unforgeable relationship), which can be verified by any party

[ISO 17090-1:2008, definition 3.2.21]

3.30

persistent data

data which are stored on a permanent basis

3.31**privacy**

freedom from intrusion into the private life or affairs of an individual when that intrusion results from undue or illegal gathering and use of data about that individual

[ISO/IEC 2382-8:1998, definition 08.01.23]

3.32**record component**

part of the electronic health record extract of a single subject of care, represented as a node within a hierarchical data structure conforming to ISO 13606

[ISO 13606-1:2008, definition 3.43]

3.33**role**

name of a set of behaviours which is associated with a task

NOTE Adapted from ISO 17090-1.

3.34**shareable electronic health record**

electronic health record with a standardized information model which is independent of electronic health record systems and is accessible by multiple authorized users

3.35**standard**

document, established by consensus and approved by a recognized body, that provides, for common and repeated use, rules, guidelines or characteristics for activities or their results, aimed at the achievement of the optimum degree of order in a given context

[ISO/IEC Guide 2:2004, definition 3.2]

3.36**state**

(of a process) condition or situation during the lifecycle of an object during which it satisfies some condition, performs some activity or waits for some event

[ISO/TS 18308:2004, definition 3.39]

3.37**subject of care**

person scheduled to receive, receiving or having received healthcare

4 Abbreviated terms

For the purposes of this document, the following abbreviated terms apply.

- CEN Comité Européen de Normalisation (European Committee for Standardization)
- EHR electronic health record
- HISA Health Informatics Service Architecture (acronym used for EN 12967)
- HL7 Health Level Seven
- ISO International Organization for Standardization
- ODP Open Distributed Processing (ISO/IEC 10746-4, used for describing distributed systems)

5 Interactions

The five parts of ISO 13606 define the way in which

- part or all of the EHR (an EHR_EXTRACT, as defined in ISO 13606-1),
- an Archetype (an ARCHETYPE, as defined in ISO 13606-2) and
- an Audit Log (an EHR_AUDIT_LOG_EXTRACT, as defined in ISO 13606-4)

may be communicated. ISO 13606-1, ISO 13606-2, ISO 13606-3 and ISO 13606-4 specify the information models and terminology that together define the Information Viewpoint for EHR communication. This part of ISO 13606 defines the set of communications interfaces (the Computational Viewpoint).

This Computational Viewpoint is deliberately expressed in a way that is generic to the many possible Engineering Viewpoint approaches that might be used to implement these interfaces, for example via messages or services, using standards such as HL7 3, EDIFACT, ebXML, Java, CORBA, SOAP, etc. This part of ISO 13606 is also generic in terms of the user interaction scenarios it formally supports. There are many use cases in healthcare that require the communication (or sharing) of EHR data, which may involve many different kinds of actors (e.g. healthcare professionals, patients, families and carers, managers, researchers and legal representatives) and systems (e.g. clinical application, hand-held application, EHR system, decision support, reporting, security or audit systems). The communication may take place within or between organizations, or across a healthcare network.

Several examples of these use cases are listed below.

- A clinician looking after a subject of care in a district hospital wishing to read any recent COMPOSITIONS in the EHR system of the subject's GP; in this case, the parameters in the request will include a date range.
- A GP looking after a subject of care wishing to read any COMPOSITIONS documenting recent progress made in the management of the subject's cancer care in the EHR system of the local hospital; in this case, the parameters in the request will include a date range and may specify the inclusion of certain kinds of clinical entry through a coded term (using the *meaning* parameter) or the inclusion of certain archetypes (using the *archetype_ids* parameter).
- An emergency triage nurse wishing to identify all medications prescribed to the subject of care over the past year right across the health system by requesting EHR_EXTRACT(S) that contain medication entries from a national EHR repository or national virtual EHR.
- A GP retrieving the full EHR for a subject of care held in the EHR system of another GP (including all versions of each COMPOSITION) in order to effect a complete transfer of care between the GPs.
- A physiotherapist wishing to retrieve a COMPOSITION (not held locally) that is the target of a LINK within a COMPOSITION already held locally in the EHR system, for example to the consultation that was the presentation of an injury that triggered the physiotherapy referral; the request will include a specific *rc_id* as the value of the *rc_ids* parameter.
- A clinical system administrator who has been asked to develop a new screen and reports for the management of diabetic ketoacidosis, wishing to request and download the most recent archetypes for representing this information from the certified archetype repository maintained by a national diabetes professional organization.
- A subject of care who is also a member of staff at a hospital, wishing to examine the audit log of their recent in-patient stay to see who has accessed their EHR.

All of these scenarios have in common that EHR data (an EHR Extract, an Archetype or an Audit Log) are being requested by one process and provided by another process, or the request may be declined. In this part of ISO 13606, the party or service making the request is termed the *EHR_requester*, the party or service with

capability to provide EHR data of a kind defined by this part of ISO 13606 is termed the *EHR_provider* and the party or service who will receive the data is termed the *EHR_recipient*. Although many different concrete scenarios will exist for EHR communication, at a logical level they can all be subsumed by the following interaction diagram (Figure 1).

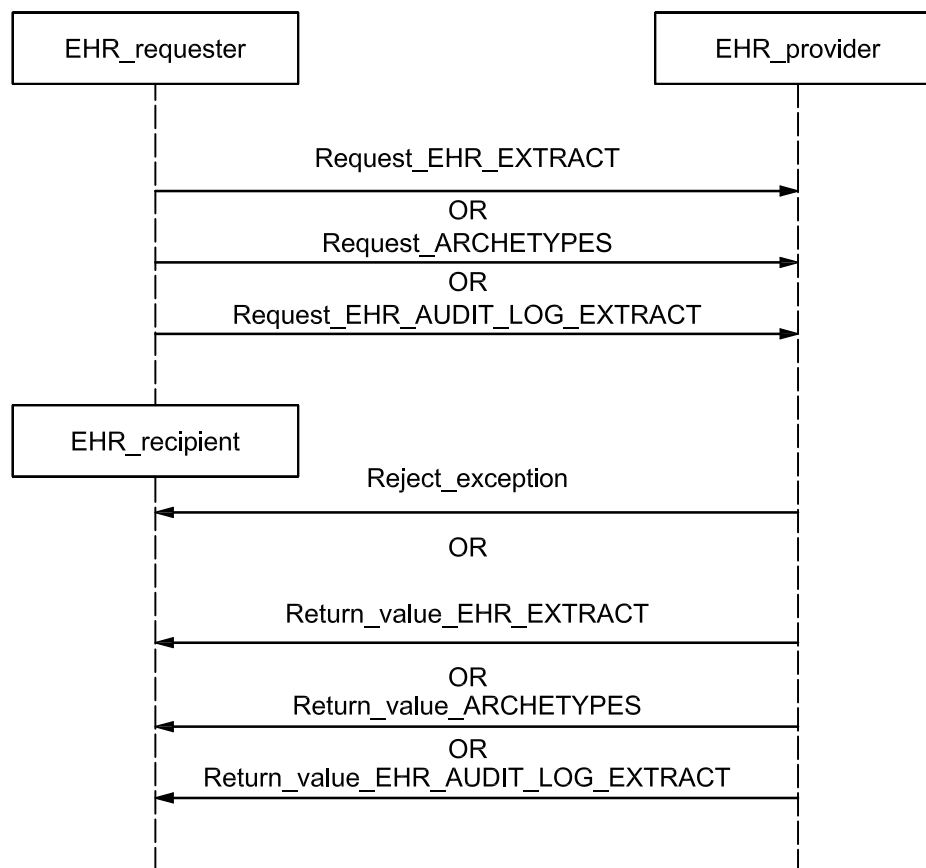


Figure 1 — Interaction diagram to depict the set of interfaces mentioned in the Scope

In order to implement and operationalize these interactions, several additional steps will need to be taken that are beyond the scope of this part of ISO 13606.

The *EHR_provider* needs to be located, and the services it supports established, via a published directory, service locator or through prior knowledge of the *EHR_requester*. Once located, the relevant service interfaces shall be accessible to the communicating parties (e.g. relevant authorizations need to be in place).

The authentication and authorizations (privileges) of the *EHR_requester* need to be known in advance by the *EHR_provider* or the latter shall require access to a means of verifying these at the time of the request.

Any more detailed security policies and security measures that are necessary to comply with organizational, professional or jurisdictional regulations need to be in place to support the communication.

Certain identifiers used in the request, such as that for the subject of care, need to be agreed in advance or be capable of de-referencing to demographic traits or cross-referencing to alternative identifiers at the time of the request.

This part of ISO 13606 supports the communication of only a small set of high-level reasons why an *EHR_provider* is refusing a request, in order to minimize the risk that confidentiality is breached. It may be agreed locally to provide additional information in certain circumstances.

Notifications, triggers or conditional situations in which EHR data are “pushed” to a party by an EHR_provider, such as via a delegation or an updating service, are not part of the scope of this part of ISO 13606, but this part of ISO 13606 may be used within such architectures.

It is not in the scope of this part of ISO 13606 to direct the choices of engineering approaches and communications protocols to be used for EHR communication, or which should be supported by particular components, or which should be adopted within an EHR-sharing community.

6 Interfaces

6.1 Interface: REQUEST_EHR_EXTRACT

Purpose

This interface shall be used in order to request a specific EHR_EXTRACT (as defined in ISO 13606-1) from a service that is expected to be in a position to provide it. The requesting service is called the EHR_requester, the service expected to provide the EHR_EXTRACT is called the EHR_provider and the service expected to receive the EHR_EXTRACT is called the EHR_recipient.

Description

This interface specifies the information that shall or may be provided by an EHR_requester in order to define as precisely as possible the EHR data that the EHR_provider is asked to include within an EHR_EXTRACT. Constraints on the desired record data may be provided, such as a date interval, a specified list of Archetypes to be included, etc. If multiple constraints are specified, the provided artefacts shall conform to all of the constraints (i.e. to their intersection).

The subject of care to whom the EHR_EXTRACT is to relate shall be specified. This part of ISO 13606 does not specify how the EHR_requester and EHR_provider are to agree on a common demographic identifier for the subject of care, or how or if they should carry out any demographic trait matching prior to invoking this interface. These issues do, however, need to be addressed when this interface is used.

The security policies that apply to this request, including the authorizations pertaining to the EHR_requester and any specific consent that has been granted for this particular request, need to have been agreed in advance, or communicated in parallel to this request. The explicit communication of security policies is beyond the scope of this part of ISO 13606, but is within the scope of ISO/TS 22600-1, ISO/TS 22600-2 and ISO/TS 22600-3. It is assumed and required by this part of ISO 13606 that such mechanisms are provided for the exchange of (or common access to) any necessary policies.

Function list

REQUEST_EHR_EXTRACT: to be transmitted by the EHR_requester to the EHR_provider to make the request.

— REJECT_EXCEPTION: to be transmitted by the EHR_provider to the EHR_requester to indicate the reason why the requested EHR_EXTRACT will not be provided, if it is appropriate for this information to be communicated. This may be implemented as a specialization of the exception-handling approach of any particular engineering transport protocol.

— RETURN_VALUE_EHR_EXTRACT: to be transmitted by the EHR_provider to the EHR_recipient to provide the requested EHR_EXTRACT. This may be implemented as a specialization of the Return-Value-handling approach of any particular engineering transport protocol.

Function: REQUEST_EHR_EXTRACT

Parameter name	Description	Mandatory or optional	Data type	Default value (if applicable)
request_id	An optional identifier provided by the EHR_requester, and to be included in the response, in order to permit the EHR_requester and/or EHR_recipient to match request and response. This is optional as many transmission protocols do not require it.	Optional	String	
subject_of_care_id	The unique identifier by which the subject of care, for whom an EHR_EXTRACT is being requested, can be recognised by the EHR_requester and can be also be recognised by the EHR_provider.	Mandatory	II	
purpose	An indicator of the purpose to be expressed using any standard terminology.	Optional	CV	
rc_ids	A set of RECORD_COMPONENT rc_ids that are explicitly requested to be included in an EHR_EXTRACT. (The resulting EHR_EXTRACT might contain such additional RECORD_COMPONENTS as are required to conform to ISO 13606-1, such as the COMPOSITIONS that contain the requested RECORD_COMPONENTS.)	Optional	SET<II>	
time_period	The date interval or time interval for which EHR data are requested.	Optional	IVL<TS>	
max_sensitivity	The maximum value for the RECORD_COMPONENT attribute sensitivity, for all RECORD_COMPONENTS in the EHR_EXTRACT that is requested (any parts of the EHR data marked as being of greater sensitivity shall be excluded).	Optional	Integer	
all_versions	If true, it is requested that all versions of each COMPOSITION held by the EHR_provider are included in the EHR_EXTRACT. If false, only the most recent version of each is to be included.	Optional	Boolean	False
multimedia_included	If true, all multimedia (encapsulated) data values are to be included in the EHR_EXTRACT. If false, these data values are to be removed before communicating the EHR_EXTRACT.	Optional	Boolean	True
archetype_ids	A set of Archetype identifiers that are requested explicitly to be included in the EHR_EXTRACT, to which the archetype_id attribute of relevant RECORD_COMPONENTS correspond. (The resulting EHR_EXTRACT might contain such additional RECORD_COMPONENTS as are required to conform to ISO 13606-1, such as the COMPOSITIONS that contain the requested RECORD_COMPONENTS.)	Optional	SET<II>	

Parameter name	Description	Mandatory or optional	Data type	Default value (if applicable)
meanings	A set of code values that are requested explicitly to be included in the EHR_EXTRACT, to which the meaning attribute of relevant RECORD_COMPONENTS correspond. (The resulting EHR_EXTRACT might contain such additional RECORD_COMPONENTS as are required to conform to ISO 13606-1, such as the COMPOSITIONS that contain the requested RECORD_COMPONENTS.)	Optional	SET<CV>	

REJECT_EXCEPTION

Parameter name	Description	Mandatory or optional	Data type
request_id	To be included if this parameter was given a value in the request.	Optional	String
reason	A reason for rejection other than a technical or communications error. (The term lists are defined in 6.4.)	Optional	CS_reason

RETURN_VALUE_EHR_EXTRACT

Parameter name	Description	Mandatory or optional	Data type
request_id	To be included if this parameter was given a value in the request.	Optional	String
ehr_extract	An EHR_EXTRACT as defined in ISO 13606-1 that corresponds to the specification provided in the request, but which might have been filtered to exclude data that the EHR_recipient is not permitted to access. NOTE It will not normally be permitted to declare if such a filter has been applied, and if data have been withheld as a result.	Mandatory	EHR_EXTRACT

6.2 Interface: REQUEST_ARCHETYPES

Purpose

This interface shall be used in order to request one or more ARCHETYPES (as defined in ISO 13606-2) from a service that is expected to be in a position to provide them. The requesting service is called the EHR_requester, and the service expected to provide the ARCHETYPES is called the EHR_provider. This might be an archetype repository service or an EHR system that incorporates Archetypes. The service expected to receive the ARCHETYPES is called the EHR_recipient.

Description

This interface specifies the information that may be provided by an EHR_requester in order to define a set of Archetypes that the EHR_provider is asked to provide to the EHR_recipient. The request interface permits the EHR_requester to provide a series of descriptors by which appropriate archetypes might be selected from a

repository. If multiple constraints are specified, the provided artefacts shall conform to all of the constraints (i.e. to their intersection).

No specific provisions for security are defined in this interface. Although most Archetypes will be public domain, some might be restricted for access and use within a health system or jurisdiction or private health system. Furthermore, some security measures might be used within this communication in order to guarantee the authenticity and integrity of the requested Archetypes. These issues are left for local policy and implementation.

Function list

REQUEST_ARCHETYPES: to be transmitted by the EHR_requester to the EHR_provider to make the request.

- REJECT_EXCEPTION: to be transmitted by the EHR_provider to the EHR_requester to indicate the reason why the requested ARCHETYPES will not be provided, perhaps because such content does not exist or the EHR_requester is not authorized to have access to them. This may be implemented as a specialization of the Exception-handling approach of any particular engineering transport protocol.
- RETURN_VALUE_ARCHETYPES: to be transmitted by the EHR_provider to the EHR_recipient to provide the requested ARCHETYPES. This may be implemented as a specialization of the Return-Value-handling approach of any particular engineering transport protocol.

Function: REQUEST_ARCHETYPES

Parameter name	Description	Mandatory or optional	Data type
request_id	An optional identifier provided by the EHR_requester, and to be included in the response, in order to permit the EHR_requester and/or EHR_recipient to match request and response. This is optional as many transmission protocols do not require it.	Optional	String
archetype_ids	A set of Archetypes which is requested explicitly to be provided.	Optional	SET<II>
concept	A coded representation to which the root concept of the requested Archetypes should correspond.	Optional	CV
specializations	Any Archetypes that are a specialization of the Archetype identified by this attribute value.	Optional	II
parent_of	The Archetype that is the parent Archetype to the Archetype identified by this attribute value.	Optional	II
terminology_available	Archetypes for which a term binding exists different to the terminology identified by this attribute value.	Optional	String
language_available	Archetypes for which a language translation exists different to the terminology identified by this attribute value.	Optional	String

REJECT_EXCEPTION

Parameter name	Description	Mandatory or optional	Data type
request_id	To be included if this parameter was given a value in the request.	Optional	String
reason	A reason for rejection other than a technical or communications error, such as there being no Archetypes that correspond to the requested constraints, etc. <i>(The term lists are defined in 6.4.)</i>	Optional	CS_reason

RETURN_VALUE_ARCHETYPES

Parameter name	Description	Mandatory or optional	Data type
request_id	To be included if this parameter was given a value in the request.	Optional	String
archetypes	A set of ARCHETYPE instances that correspond to the request constraints.	Mandatory	SET<ARCHETYPE>

6.3 Interface: REQUEST_EHR_AUDIT_LOG_EXTRACT

Purpose

This interface shall be used in order to request a specific EHR_AUDIT_LOG_EXTRACT (as defined in ISO 13606-4) from a service that is expected to be in a position to provide it. The requesting service is called the EHR_requester, the service expected to provide the EHR_AUDIT_LOG_EXTRACT is called the EHR_provider and the service expected to receive the EHR_AUDIT_LOG_EXTRACT is called the EHR_recipient.

Description

This interface specifies the information that shall be provided by an EHR_requester in order to define as precisely as possible the Audit Log data that the EHR_provider is asked to include within an EHR_AUDIT_LOG_EXTRACT. If multiple constraints are specified, the provided artefacts shall conform to all of the constraints (i.e. to their intersection).

The subject of care to whom the EHR_EXTRACT is to relate shall be specified. This part of ISO 13606 does not specify how the EHR_requester and EHR_provider are to agree on a common demographic identifier for the subject of care, or how or if they should carry out any demographic trait matching prior to invoking this interface. These issues shall, however, be addressed when this interface is used.

The security policies that apply to this request, including the authorizations pertaining to the EHR_requester and any specific consent that has been granted for this particular request, shall have been agreed in advance, or communicated in parallel to this request. The explicit communication of security policies is beyond the scope of this part of ISO 13606, but is within the scope of ISO/TS 22600-1, ISO/TS 22600-2 and ISO/TS 22600-3. It is assumed and required by this part of ISO 13606 that such mechanisms are provided for the exchange of (or common access to) any necessary policies.

Function List

REQUEST_EHR_AUDIT_LOG_EXTRACT: to be transmitted by the EHR_requester to the EHR_provider to make the request.

- REJECT_EXCEPTION: to be transmitted by the EHR_provider to the EHR_requester to indicate the reason why the requested EHR_AUDIT_LOG_EXTRACT will not be provided, if it is appropriate for this information to be communicated. This may be implemented as a specialization of the Exception-handling approach of any particular engineering transport protocol.
- RETURN_VALUE_EHR_AUDIT_LOG_EXTRACT: to be transmitted by the EHR_provider to the EHR_recipient to provide the requested EHR_AUDIT_LOG_EXTRACT. This may be implemented as a specialization of the Return-Value-handling approach of any particular engineering transport protocol.

Function: REQUEST_EHR_AUDIT_LOG_EXTRACT

Parameter name	Description	Mandatory or optional	Data type	Default value (if applicable)
request_id	An optional identifier provided by the EHR_requester, and to be included in the response, in order to permit the EHR_requester and/or EHR_recipient to match request and response. This is optional as many transmission protocols do not require it.	Optional	String	
subject_of_care_id	The unique identifier by which the subject of care, for whom an EHR_AUDIT_LOG_EXTRACT is being requested, can be recognised by the EHR_requester and can be also be recognised by the EHR_provider.	Mandatory	II	
time_period	The date or time interval that Audit Log activity is requested to cover (periods of access to the EHR data).	Optional	IVL<TS>	
rc_ids	An explicit set of RECORD_COMPONENTS for which Audit Log activity is requested.	Optional	SET<II>	
max_sensitivity	The maximum value for the RECORD_COMPONENT attribute sensitivity for which Audit Log activity is requested.	Optional	Integer	
archetype_ids	A set of Archetypes to which activity for corresponding RECORD_COMPONENTS is requested to be included in the Audit Log.	Optional	SET<II>	
meanings	A set of code values for the meaning attribute of RECORD_COMPONENTS for which Audit Log activity is requested.	Optional	SET<CV>	
using_policies	A set of security policies, commonly identifiable to the EHR_requester, EHR_provider and EHR_recipient, whose use is requested to be covered by the Audit Log.	Optional	SET<CV>	

REJECT_EXCEPTION

Parameter name	Description	Mandatory or optional	Data type
request_id	To be included if this parameter was given a value in the request.	Optional	String
reason	A reason for rejection other than a technical or communications error. <i>(The term lists are defined in 6.4.)</i>	Optional	CS_reason

RETURN_VALUE_EHR_AUDIT_LOG_EXTRACT

Parameter name	Description	Mandatory or optional	Data type
request_id	To be included if this parameter was given a value in the request.	Optional	String
ehr_audit_log_extract	An EHR_AUDIT_LOG_EXTRACT as defined in ISO 13606-4 that corresponds to the specification provided in the request, but which might have been filtered to exclude data that the EHR_recipient is not permitted to access. NOTE It will not normally be permitted to declare if such a filter has been applied, and if data have been withheld as a result.	Mandatory	EHR_AUDIT_LOG_EXTRACT

6.4 Term lists

Termlist CS_reason

Code	Term	Description
REAS01	There are no accessible data that correspond to the request.	The use of this reason does not indicate if data do exist to which the EHR_requester does not have authorization.
REAS02	Requested repository is temporarily unavailable.	Due to a technical difficulty.
REAS03	EHR_requester's authorization is not recognised.	This is not declaring if the requester is or is not allowed access to the EHR data, but that the EHR_provider does not have a means of verifying the requester's privilege.

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