**1992** *Incorporating Amendment No.1*

# **Noise control on construction and open sites —**

**Part 4: Code of practice for noise and vibration control applicable to piling operations**

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# **Committees responsible for this British Standard**

The preparation of this British Standard was entrusted by the Basic Data and Performance Criteria for Civil Engineering and Building Structures Standards Policy Committee (BDB/-) to Technical Committee BDB/5, upon which the following bodies were represented:



Trades Union Congress

The following bodies were also represented in the drafting of the standard, through subcommittees and panels:

Association of Consulting Engineers British Aggregate Construction Materials

British Coal Corporation British Compressed Air Society Concrete Society

Federation of Dredging Contractors Institution of Highways and

Industries Construction Plant (Hire Association) Department of the Environment (Building Research Establishment) Transportation Sand and Gravel Association Limited Society of Motor Manufacturers and Traders Limited

### **Amendments issued since publication**



This British Standard, having been prepared under the direction of the Basic Data and performance Criteria for Civil Engineering and Building Structures Standards Policy Committee, was published under the authority of the Standards Board and comes into effect on 1 May 1992

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standard:

First published January 1986 Second edition May 1992

The following BSI references relate to the work on this

Committee reference BDB/5

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# **Contents**









# **Foreword**

This part of BS 5228, Which has been prepared under the direction of the Basic Data and Performance Criteria for Civil Engineering and Building Structures Standards Policy Committee, covers the control of noise and vibration from piling sites, and is a revision of BS 5228-4:1986, which is withdrawn.

The standard refers to the need for the protection of persons living and working in the vicinity of such sites and those working on the sites from noise and vibration. It recommends procedures for noise and vibration control in respect of piling operations and aims to assist architects, contractors and site operatives, designers, developers, engineers, local authority environmental health officers and planners, regarding the control of noise and vibration.

Vibration can cause disturbance to processes and activities in neighbouring buildings, and in certain circumstances can cause or contribute to building damage.

Vibration can be the cause of serious disturbance and inconvenience to anyone exposed to it. The Control of Pollution Act 1974, the Environmental Protection Act 1990 and, in Northern Ireland, the Pollution Control and Local Government (Northern Ireland) Order 1978, which define "noise" as including "vibration" (Section 73(1) of the 1974 Act, Section 79(7) of the 1990 Act and Article 53(1) of the 1978 Order), contain provisions for the abatement of nuisances caused by noise and vibration.

It should be noted that BS 6472 covers the human response to vibration in structures and BS 7385-1 covers the measurement and evaluation of structural vibration. An item dealing with the vibratory loading of structures is being processed within ISO/TC 98/SC 2 "Safety of Structures". This is being monitored by BSI.

BS 5228 consists of the following Parts:

— *Part 1: Code of practice for basic information and procedures for noise control;*

— *Part 2: Guide to noise control legislation for construction and demolition, including road construction and maintenance;*

— *Part 3: Code of practice for noise control applicable to surface coal extraction by opencast methods;*

— *Part 4: Code of practice for noise and vibration control applicable to piling operations.*

BS 5228-1 is common to all the types of work covered by the other Parts of BS 5228, which should be read in conjunction with Part 1.

Other Parts will be published in due course as and when required by industry.

Attention is drawn to the Control of Pollution Act 1974 (Part III) (Noise), the Environmental Protection Act 1990 (Part III) (Statutory Nuisances and Clean Air), the Health and Safety at Work etc. Act 1974 (in Northern Ireland, the Pollution Control and Local Government (Northern Ireland) Order 1978 and the Health and Safety at Work (Northern Ireland) Order 1978), and to the Noise at Work Regulations 1989, Statutory Instrument 1989 No. 1790.

A British Standard does not purport to include all the necessary provisions of a contract. Users of British Standards are responsible for their correct application.

**Compliance with a British Standard does not of itself confer immunity from legal obligations.**

### **Summary of pages**

This document comprises a front cover, an inside front cover, pages i to iv, pages 1 to 62, an inside back cover and a back cover.

This standard has been updated (see copyright date) and may have had amendments incorporated. This will be indicated in the amendment table on the inside front cover.



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# **Section 1. General**

### **0 Introduction**

This Part of BS 5228 is concerned with all works associated with piling operations on sites where temporary or permanent foundation or ground stability requirements are to be met by the installation of piles by any of the recognized techniques (see **7.2**). In common with other mechanized construction activities, piling works pose different problems of noise and vibration control from those associated with most types of factory-based industry for the following reasons:

a) they are mainly carried out in the open;

b) they are of temporary duration, although they may cause great disturbance while they last;

c) the noise and vibration they cause arise from many different activities and kinds of plant, and their intensity and character may vary greatly at different phases of the work;

d) the sites cannot be excluded by planning control, as factories can, from areas that are sensitive to noise.

Increased mechanization has meant the use of more powerful and potentially noisier machines. It is now widely recognized that noise levels that can be generated are unacceptable in many instances and that reductions are desirable for the benefit of both the industry and the public. Piling works frequently form one of the noisier aspects of construction. The trend towards medium and high rise structures, particularly in urban areas, coupled with the necessity to develop land which was hitherto regarded as unfit to support structures, has led to increasing use of piled foundations. Piling is usually one of the first activities to be carried out on site, and special precautions should be taken to mitigate the disturbance created, particularly in sensitive areas.

If a site upon which construction or demolition work will be carried out involves an existing operational railway, special features that are significant in relation to noise and vibration control have to be taken into account. Advice should be sought in such cases from the appropriate railway authorities.

Because of the variable nature of vibration transmission characteristic of soils, rocks and structure, the prediction of vibration levels is a less precise science than the corresponding prediction of air-borne noise levels. Whilst data obtained from various sources are included for illustrative purposes, any predictions based thereon for specific circumstances should ideally be verified by

ements.

### **1 Scope**

This part of BS 5228 supplements the information given in BS 5228-1, with information especially relevant to piling works. It sets out recommendations for noise and vibration control measures which can be adopted to ensure good practice and enable piling to be carried out economically with as little disturbance to the community as is practicable.

Section 2 contains recommendations relating to noise control. Section 3 contains recommendations for the mitigating of the effects of ground-borne vibration.

NOTE 1 This Part of BS 5228 should be read in conjunction with BS 5228-1.

NOTE 2 The titles of the publications referred to in this standard are listed on the inside back cover.

### **2 Definitions**

For the purposes of this Part of BS 5228, the definitions given in BS 5228-1 apply together with the following.

### **2.1**

### **amplification factor**

the motion measured at a given point (usually on the structure) divided, by the motion measured at a reference point (usually at the base of the structure or on the foundation)

### **2.2**

### **peak particle velocity (p.p.v.)**

the maximum value of particle velocity obtained during a given interval

## **2.3**

### **piling**

the installation of bored and driven piles and the effecting of ground treatments by vibratory, dynamic and other methods of ground stabilization

### **3 Legislative background**

Attention is drawn to the following legislation, current at the date of publication of this Part of BS 5228.

a) Control of Noise (Appeals) (Scotland) Regulations 1983.

b) Control of Noise (Appeals) Regulations 1975.

c) Statutory Nuisance (Appeals) Regulations (as amended) 1990.

d) Control of Noise (Appeals) Regulations (Northern Ireland) 1978.

e) Control of Pollution Act 1974.

- f) Environmental Protection Act 1990.
- Experimental Protection Act 1990.<br>
Blue documental Protection Act 1990.<br>
Blue documental Protection Act 1990.<br>
1 g) Health and Safety at Work etc. Act 1974.

h) Health and Safety at Work (Northern Ireland) Order 1978.

i) Land Compensation Act 1973.

j) Land Compensation (Scotland) Act 1973 (in Northern Ireland, the Land Acquisition and Compensation (Northern Ireland) Order, 1973).

k) Noise Insulation Regulations 1975 (in Scotland, the Noise Insulation (Scotland) Regulations 1975).

l) Pollution Control and Local Government (Northern Ireland) Order 1978.

m) Public Health Act 1961.

The Control of Pollution Act 1974, the Environmental Protection Act 1990 and, in Northern Ireland, the Pollution Control and Local Government (Northern Ireland) Order 1978 SI 1049, which define noise as including vibration (Section 73 (1) of the 1974 Act, Section 79(7) of the 1990 Act and Article 53(1) of the 1978 Order) contain provisions for the abatement or cessation of nuisances caused by noise and vibration.

### **4 Guidance notes on legislation**

### **4.1 General**

This information on procedures is given for guidance purposes only and attention is drawn to the relevant Acts.

### **4.2 The Control of Pollution Act 1974**

The Control of Pollution Act 1974 gives local authorities powers for controlling noise and/or vibration from construction sites and other similar works. These powers may be exercised either before works start or after they have started. In Northern Ireland, similar provision is made in the Pollution Control and Local Government (Northern Ireland) Order 1978. Contractors, or persons arranging for works to be carried out, also have the opportunity to take the initiative and ask local authorities to make their noise and/or vibration requirements known. Because of an emphasis upon getting noise and/or vibration questions settled before work starts, implications exist for traditional tender and contract procedures (see **4.5**).

### **4.3 Notices under Section 60 of the Control of Pollution Act 1974**

Section 60 enables a local authority, in whose area work is going to be carried out, or is being carried out, to serve a notice of its requirements for the control of site noise and/or vibration on the person who appears to the local authority to be carrying out

s appearing to  $\mathbf{t}$  for, or to have control over, the carrying of the works.

This notice can perform the following.

a) Specify the plant or machinery that is or is not to be used. However, before specifying any particular methods or plant or machinery a local authority has to consider the desirability, in the interests of the recipient of the notice in question, of specifying other methods or plant or machinery that will be substantially as effective in minimizing noise and/or vibration and that will be more acceptable to the recipient.

b) Specify the hours during which the construction work can be carried out.

c) Specify the level of noise and/or vibration that can be emitted from the premises in question or at any specified point on those premises or that can be emitted during the specified hours.

d) Provide for any change of circumstances. An example of such a provision might be that if ground conditions change and do not allow the present method of working to be continued then alternative methods of working should be discussed with the local authority.

In serving such a notice a local authority takes account of:

1) the relevant provisions of any code of practice issued and/or approved under Part III of the Control of Pollution Act 1974;

2) the need for ensuring that the best practicable means are employed to minimize noise and/or vibration;

3) other methods, plant or machinery that might be equally effective in minimizing noise and/or vibration, and be more acceptable to the recipient of the notice;

4) the need to protect people in the neighbourhood of the site from the effects of noise and/or vibration.

A person served with such a notice can appeal to a magistrates' court or in Scotland to the Sheriff or in Northern Ireland to a court of summary jurisdiction, within 21 days from the date of serving of the notice. Normally the notice is not suspended pending an appeal unless it requires some expenditure on works and/or the noise or vibration in question arises or would arise in the course of the performance of a duty imposed by law on the appellant. The regulations governing appeals (the Control of Noise (Appeals) Regulations 1975; in Northern Ireland, the Control of Noise (Appeals) Regulations (Northern Ireland) 1978; and in Scotland, the Control of Noise (Appeals) (Scotland) Regulations 1983) also give local authorities discretion not to suspend a notice even when one or other of these conditions is met, if the noise and/or vibration is injurious to health, or is of such limited duration that a suspension would render the notice of no practical effect; or if the expenditure necessary on works is trivial compared to the public benefit expected.

### **4.4 Consents under Section 61 of the Control of Pollution Act 1974**

This subclause concerns the procedure adopted when a contractor (or developer) takes the initiative and approaches the local authority to ascertain its noise and/or vibration requirements before construction work starts (see also **4.3**).

It is not mandatory for applications for consents to be made, but it will often be in the interest of a contractor or an employer or their agents to apply for a consent, because once a consent has been granted a local authority cannot take action under Section 58 or Section 60 of the Control of Pollution Act 1974 or Section 80 of the Environmental Protection Act 1990, so long as the consent remains in force and the contractor complies with its terms. Compliance with a consent does not, however, exempt the person holding that consent against action by a private individual under Section 59 of the 1974 Act, under Section 82 of the 1990 Act, or under common law.

It is essential that an application for a consent is made at the same time as, or later than, any request for approval under Building Regulations or for a warrant under Section 6 of the Building (Scotland) Act 1959, when this is relevant. Subject to this constraint, there are obvious advantages in making any application at the earliest possible date. There may be advantages in having informal discussions before formal applications are made.

It is essential that an applicant for a consent gives the local authority as much detail as possible about the construction work to which the application relates and about the method or methods by which the work is to be carried out. It is also essential that information be given about the steps that will be taken to minimize noise and/or vibration resulting from the construction work.

Provided that a local authority is satisfied that proposals (accompanying an application) for the minimizing of noise and/or vibration are adequate (and in deciding this it may have regard, among other things, to the provisions of this standard), it will give its consent to the application. It can however attach conditions to the consent, or limit or qualify the consent, to allow for any change in circumstances and to limit the duration of the consent. If a local authority fails to give its consent within 28 days of the lodging of an application, or if it attaches any conditions or qualification to the consent that are considered unnecessary or unreasonable, the applicant concerned can appeal to a magistrates' court or in Scotland to the Sheriff or in Northern Ireland to a court of summary jurisdiction, within 21 days from the end of that period.

When a consent has been given and the construction work is to be carried out by a person other than the applicant for the consent, it is essential that the applicant takes all reasonable steps to bring the terms of consent to the notice of that other person; failure to do so or failure to observe the terms of a consent are offences under the Act.

### **4.5 Contractual procedures**

BOBLD 3 It is likely to be to the advantage of a developer or contractor, or an employer or his agent, who intends to carry out construction work, to take the initiative and apply to the local authority for consents under the Control of Pollution Act. This will have implications for traditional tender and contract procedures because the local authority's noise and/or vibration requirements may well affect both the tender and contract price. It is therefore preferable that the local authority's requirements are made known before tenders are submitted. The best way of achieving this is for the person for whom the work is to be carried out to make the application to the local authority for a consent, before inviting tenders. As much detailed information as possible should be given concerning the methods by which the construction work is to be carried out, and concerning also the proposed noise abatement and/or vibration control measures to enable the local authority to give a consent (see also **4.4**).

When a person for whom construction work is to be carried out has sought and obtained consent from the local authority, the local authority's requirements should be incorporated in the tender documents so that tenderers do not base their tenders on the use of unacceptable work methods and plant.

As far as possible, a contractor should be allowed freedom of choice regarding plant and methods to be used but a local authority can, in consultation with the recipient of a consent, specify the type of plant or methods to be used with its consent. In addition to any approach made by a person responsible for construction work, a tenderer may also wish to apply to a local authority in order either to seek consent for the use of methods or plant in place of those specified in an earlier consent (or notice), or to satisfy himself that the detailed methods and plant that he had planned to use meet the conditions laid down.

### **4.6 Emergencies**

In the event of any emergency or unforeseen circumstances arising that cause safety to be put at risk, it is important that every effort should be made to ensure that the work in question is completed as quickly and as quietly as possible and with minimum practical disturbance to people living or working nearby. The local authority should be informed as soon as possible, should it be found necessary to exceed permitted noise and/or vibration limits because of an emergency.

### **4.7 Flow diagram**

The procedures available under the Control of Pollution Act 1974 for the control of construction noise and/or vibration are illustrated by the flow diagram shown in Figure 1.

### **4.8 Land Compensation Act 1973 (as amended), Highways Act 1980 and Land Compensation (Scotland) Act 1973**

The Noise Insulation Regulations 1975 and Noise Insulation (Scotland) Regulation 1975, made under the powers contained respectively in the Land Compensation Act 1973 and the Land Compensation (Scotland) Act 1973, allow a highway authority to provide insulation for dwellings and other buildings used for residential purposes by means of double glazing and special ventilation when highway works are expected to cause serious noise effects for a substantial period of time. The 1973 Acts also contain provisions that enable a highway authority to pay the reasonable expenses of residents who, with the agreement of the authority, have to find suitable alternative accommodation for the period during which construction work makes continued occupation of an adjacent dwelling impracticable.

The Highways Act 1980 and the Land Compensation (Scotland) Act 1973 enable highway authorities to acquire land by agreement when its enjoyment is seriously affected by works of highway construction or improvement. In addition, these Acts give the highway authority power to carry out works, for example the installation of noise barriers, to mitigate the adverse effects of works of construction or improvement on the surroundings of a highway.

### **5 Project supervision**

### **5.1 Project programme**

Piling programmes should be arranged so as to control the amount of disturbance in noise and vibration sensitive areas at times that are considered to be of greatest sensitivity. If piling works are in progress on a site at the same time as other works of construction and demolition that themselves may generate significant noise and vibration, the working programme should be phased so as to prevent unacceptable disturbance at any time.

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### **5.2 Piling subcontracts: consents and notices**

When piling works are to form a subcontract to the main construction and demolition works on a site, copies of noise and/or vibration consents and details of other noise and/or vibration restrictions should be included in the tender documents for the piling subcontract. Any such noise and/or vibration restrictions, limitations on hours of work, etc., may be at variance with conditions with which the piling tenderer may otherwise be expected to comply. Provision should therefore be made for further consultations with the local authority that could in turn lead to a special consent or variation in restrictions for the duration of the piling works.

During such a consultation the planner, developer, architect and engineer, as well as the local authority, should be made aware of the proposed method of working of the piling subcontractor, who in turn should have evaluated any practicable and more acceptable alternatives that would economically achieve, in the given ground conditions, equivalent structural results. Information relating to the mechanical equipment and plant to be used (see BS 5228-1) should be supplied in support of the proposed method of working. An indication of the intended programme of works should be given, but the piling subcontractor will wish to retain as much flexibility as possible in order to combat unexpected ground conditions or other problems, and it should be recognized that substantial deviations from a detailed programme of works could be made in practice. Due attention should be paid to safe working practices and to emergency procedures.

The developer, as the person ultimately responsible for a project, will need to instigate a check that the proposals suggested by those tendering for piling works are likely to be acceptable to the local authority.





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# **Section 2. Noise**

### **6 Factors to be considered when setting noise control targets**

### **6.1 Selection of piling method**

**6.1.1** The selection of a method to be used for the installation of piles will depend on many factors, some of which are outlined in **6.1.2** (see **7.2** for types of piling).

**6.1.2** It should be remembered that a decision regarding the type of pile to be used on a site will normally be governed by such criteria as loads to be carried, strata to be penetrated and the economics of the system, for example the time it will take to complete the installation and other associated operations such as soil removal.

**6.1.3** It may not be possible for technical reasons to replace a noisy process by one of the "quieter piling" alternatives. Even if it is possible, the adoption of a quieter method may prolong the piling operation; the net result being that the overall disturbance to the community, not only that caused by noise, will not necessarily be reduced.

**6.1.4** Examples of typical noise levels associated with the different methods of piling are given in Table 1 which is an extension to the data given in Table 8 of BS 5228-1:1984.

### **6.2 Types of noise**

On typical piling sites the major sources of noise are essentially mobile and the noise received at any control points will, therefore, vary from day to day as work proceeds.

The type of noise associated with piling works depends on the method of piling employed. For example, pile driving using a drop hammer results in a well defined, impulsive type of noise. Air and diesel hammers also produce impulsive noise although their striking rates can be much higher than with drop hammers. With auger-bored piling the impulsive characteristic is virtually absent. With bored or jacked piling methods the resultant noise is steady.

Highly impulsive noise is generally less acceptable than steady noise. However, other characteristics of the noise source play an important part in determining the acceptability of piling noise, e.g. cable slap, screeching of pulleys and guides and ringing of piles.

### **6.3 Duration of piling works**

The duration of piling work is usually short in relation to the length of construction work as a whole, and the amount of time spent working near

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### **6.4 Hours of working**

When a local authority intends to control noise by imposing restrictions on working hours it should have regard to the specialized nature of some piling works, which may necessitate a longer working day.

A local authority should also bear in mind the acceptable hours for the residents and occupiers of a particular area.

### **6.5 Methods of monitoring and control**

Whatever method is appropriate for the specifying of a noise target, there should be agreement between the piling contractor concerned and the controlling authority.

It is essential that a noise target is appropriate to the type of noise, and is practical and enforceable. It should adequately protect the community but allow work to proceed as near normally as possible.

Steady noise levels should normally be expressed in terms of the  $L_{\text{Aeg}}$  over a period of several hours or for a working day. Impulsive noise levels cannot always be controlled effectively using this measure alone. The specification of a higher short term limit is often found useful. This can be achieved by specifying a short period  $L_{\text{Aeq}}$  or the one percentile exceedance level  $L_{A01}$  over one driving cycle. Where  $L_{A01}$  is specified the *F* time weighting should be used and measurements should be made with a sampling rate of at least five samples per second. Noise limits should not be set in terms of  $L_{\text{pA,max}}$ . when the noise is impulsive.

The difference between limits set in terms of  $L_{A01}$ and  $L_{\text{Aeg}}$  will depend on the striking rate of the pile driver.

Those who wish to use the data for  $L_{\text{Aeg}}$  in Table 1 to estimate the corresponding value of  $\dot{L}_{A01}$  should note the following approximate relationships [all measurements in dB(A)]:







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NOTE 2 1 t dropped 1 m =  $9.81.10^3$  J =  $9.81$  kJ =  $9.81$  kN m; 1 kW =  $10^3$  J/s = 1 kJ/s.

NOTE 3 Depths, cycle times where quoted and on-times are typical for specific cases but can vary considerably according to ground and other conditions.

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### **7 Practical measures to reduce site noise**

### **7.1 Assessment of noise levels of mechanical equipment and plant**

Those undertaking piling works should endeavour to ascertain the nature and levels of noise produced by the mechanical equipment and plant that will be used (see Table 8 and Appendix B of BS 5228-1:1984). They may then be able to take steps to reduce either the level or the annoying characteristics, or both, of the noise. Some guidance on noise control techniques is given in **7.3**.

### **7.2 Types of piling**

### **7.2.1** *General*

Piles can be divided into two main categories, bearing piles and retaining piles. It is possible in principle to install either category by driving, jacking or boring (see Figure 2). Ground or other site conditions can, however, prohibit the use of one or other of these techniques, that are described in more detail in **7.2.2** to **7.2.4**.

There are other methods of forming medium to deep foundations under certain conditions. These include the installation of stone columns by

vibroreplacement (see **7.2.5**), deep compaction by dynamic consolidation (see **7.2.6**), and the technique of diaphragm walling (see **7.2.7**). Although the mechanical plant and equipment can differ in some ways from those used in conventional piling, the problems of protecting the neighbourhood from noise disturbance are similar.

### **7.2.2** *Driven piles*

In conventional driven piling, a hammer is used to strike the top of the pile via a helmet and/or a sacrificial dolly. High peak noise levels will arise as a result of the impact. The hammer can be a simple drop hammer or it can be actuated by steam, air, hydraulic or diesel propulsion. Displacement piles can be top driven, bottom driven or can be driven by means of a mandrel.

In certain ground conditions it may be possible to drive piles using a vibratory pile driver, in which cases high impact noise may not arise, but the continuous forced vibration together with structure-borne noise can give rise to some disturbance.

When piles are driven for temporary works further disturbance can occur at a later date when the piles are extracted.

### **7.2.3** *Jacked piles*

A method for installing either retaining or bearing steel piles without either hammering or vibratory driving is by jacking. One or a pair of piles is pushed into the ground using the reaction of a group of several more adjacent piles. The main source of noise is the engine driving the hydraulic power pack for the jacking system. Other sources of noise include cranes and ancillary equipment. The use of jacked piles is appropriate in most types of cohesive soil and silty sands, but specialist advice should be sought in such cases.

### **7.2.4** *Bored piles*

Bored piles can be constructed by means of a rotary piling rig or by impact boring. In the former case the major source of noise is the more or less steady noise of the donkey engine that supplies the power to perform the drilling. In certain types of soil it is necessary to insert casings for part of the depth. If the casings have to be driven in and/or extracted by hammering, high peak noise levels will result. Similar considerations apply to the impact boring technique. The noise characteristics may therefore be at a relatively steady and continuous level with intermittent high peaks superimposed upon it.

A method for boring piles that does not need a temporary casing is the use of a continuous flight auger and the injection of concrete or grout to form the piles. It is applicable only in certain ground conditions and the range of pile diameters is limited.

### **7.2.5** *Vibroflotation/vibrocompaction and vibroreplacement/vibrodisplacement*

A method for improving the bearing capacity of weak soils and fills is to use a large vibrating poker which can be mounted on a crane or an excavator base. In loose cohesionless soils the vibrations cause compaction to a denser state; this process is known as vibroflotation or vibrocompaction. In other weak soils a vibrating poker is used to form a hole which is then backfilled with graded stone and compacted by the poker; this process is known as vibroreplacement or vibrodisplacement. Water or compressed air can be used as a jetting and flushing medium.

Typically, vibrating pokers are actuated by electric or hydraulic motors. To reduce the noise of the operation, attention should be paid to the generator or power pack as appropriate. Other sources of noise could include pumps when using water flush, or air escaping from the poker when this is exposed.

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### **7.2.6** *Deep compaction by dynamic consolidation*

An alternative method for improving the bearing capacity of weak soils and fills is to drop a large tamping weight from a height on to the ground at selected locations. Typically in the UK, tamping weights between 10 t and 20 t are used and are dropped from heights between 10 m and 25 m, although in some cases other weights and drop heights can be used. The tamping weight is normally raised by and dropped from a very large crawler crane and the noise characteristic contains both steady (crane engine) and impulsive (impact of weight on ground) components.

### **7.2.7** *Diaphragm walling*

When deep foundation elements with both retaining and bearing capabilities are needed, the technique of diaphragm walling may be applicable. The soil is excavated in a trench under a mud suspension (e.g. bentonite) in a series of panels, usually using a special clamshell grab; when the full depth has been reached a reinforcing cage is inserted and concrete is placed by tremie pipe, thus displacing the mud to the surface.

The grab is normally suspended from a crawler crane although a tracked excavator base may sometimes be used. It is operated either by gravity or hydraulically in which latter case it is guided by a kelly bar. Diaphragm walling sites frequently need much ancillary equipment including bentonite preparation and reclamation plant, reinforcing cage manufacturing plant, pumps and handling cranes. The layout of plant on the site is important for efficient operation and can exert considerable influence on noise control.

### **7.3 Noise reduction techniques**

### **7.3.1** *Piling operations*

Noise can be reduced at source or, when this is not possible, the amount of noise reaching the neighbourhood can be reduced by various means.

Impact noise when piling is being driven can be reduced by introducing a non-metallic dolly between the hammer and the driving helmet. This will prevent direct metal-to-metal contact, but will also modify the stress wave transmitted to the pile, possibly affecting the driving efficiency. The energy absorbed by the dolly will appear as heat. Further noise reduction can be achieved by enclosing the driving system in an acoustic shroud. Several commercially available systems employ a partial enclosure arrangement around the hammer. It is

lriving equipment that the complete length of pile being driven, with a coustic enclosure.

For steady continuous noise, such as that caused by diesel engines, it may be possible to reduce the noise emitted by fitting a more effective exhaust silencer system or by designing an acoustic canopy to replace the normal engine cover. Any such project should be carried out in consultation with the original equipment manufacturer and with a specialist in noise reduction techniques. Caution should be exercised in order that the replacement canopy does not cause the engine to overheat and does not interfere excessively with routine maintenance operations.

It may be possible in certain circumstances to substitute electric motors for diesel engines, with consequent reduction in noise. On-site generators supplying electricity for electric motors should be suitably enclosed and appropriately located.

Screening by barriers and hoardings is less effective than total enclosure but can be a useful adjunct to other noise control measures. For maximum benefit, screens should be close either to the source of noise (as with stationary plant) or to the listener. It may be necessary for safety reasons to place a hoarding around the site, in which case it should be designed taking into consideration its potential use as a noise screen. Removal of a direct line of sight between source and listener can be advantageous both physically and psychologically.

Consideration should be given to the possible application of some of the alternative techniques of piling referred to in **7.2**. For convenience these are grouped together in Figure 2.

### **7.3.2** *Location and screening of stationary plant*

In certain types of piling works there will be ancillary mechanical plant and equipment that may be stationary, in which case care should be taken in location, having due regard also for access routes. Stationary or quasi-stationary plant might include, for example, bentonite preparation equipment, grout or concrete mixing and batching machinery, lighting generators, compressors, welding sets and pumps. When appropriate, screens or enclosures should be provided for such equipment.

### **7.3.3** *Mobile ancillary equipment*

Contributions to the total site noise can also be anticipated from mobile ancillary equipment, such as handling cranes, dumpers, front end loaders, excavators, and concrete breakers. These machines may only have to work intermittently, and when safety permits, their engines should be switched off (or during short breaks from duty reduced to idling speed) when not in use.

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### **7.3.4** *Maintenance and off-site traffic*

All mechanical equipment and plant should be well maintained throughout the duration of piling works.

When a site is in a residential environment, lorries should not arrive at or depart from the site at a time inconvenient to residents.



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# **Section 3. Vibration**

# **8 Factors to be considered when setting vibration control targets**

### **8.1 General**

The most common form of vibration associated with piling is the intermittent type derived from conventional driven piling. Each hammer blow transmits an impulse from the head to the toe of the pile and free vibrations are set up. Sensors at a remote receiving point would indicate a series of wave disturbances, each series corresponding to one blow. (See also Appendix A.)

When setting targets for maximum vibration levels (**8.2** to **8.6**) reference should be made to the existing ambient vibration levels, which should be measured prior to commencement of pile driving. This is particularly applicable on sites adjacent to roads carrying heavy commercial traffic, railway tracks and large industrial machinery. It is not uncommon for vibrations from such sources to mask vibrations from pile driving.

### **8.2 Vibration levels**

The intensity of each vibration disturbance registered at the remote receiving point will normally be a function of many variables including:

- a) energy per blow or cycle;
- b) distance between source and receiver;
- c) ground conditions at the site, e.g. soft or hard driving and location of water table;

d) soil-structure interaction, i.e., nature of connection between soil and structure being monitored;

e) construction of structure and location of measuring points, e.g.:

- 1) soil surface;
- 2) building foundation;
- 3) internal structural element.

In soft driving conditions, where a significant proportion of the energy per blow is directly used in advancing the pile, the intensity of vibrations transmitted to the environment is generally less than under hard driving conditions, where so much of the energy per blow is devoted to overcoming resistance to penetration that relatively little is available to advance the pile.

When driving piles in soft soils the free vibrations set up are found usually to have a greater low frequency content than when driving into denser soils or rocks.



Various empirical formulae have been proposed relating the intensity of vibration measured at the remote receiving point, to the distance between it and the source and the energy of the source. The use of such formulae enables a rough estimate to be made as a check on the acceptability of the proposed process from a vibration standpoint, prior to the commencement of the piling works. This estimate could also assist, with applications under Section 61 of the Control of Pollution Act 1974 for prior consent (see **4.4**). For guidance regarding the prediction of expected vibration levels see Appendix B.

NOTE 1 Appendix B is included for information only and does not form part of this standard.

NOTE 2 See Appendix C for examples of vibration levels measured under various conditions throughout the UK.

### **8.3 Human response to vibration**

Human beings are known to be very sensitive to vibration, the threshold of perception being typically in the peak particle velocity range of 0.15 mm/s to 0.3 mm/s, at frequencies between 8 Hz and 80 Hz. Vibrations above these values can disturb, startle, cause annoyance or interfere with work activities. At higher levels they can be described as unpleasant or even painful. In residential accommodation vibrations can promote anxiety lest some structural mishap might occur. Guidance on the effects on physical health of vibration at sustained high levels is given in BS 6841, although such levels are unlikely to be encountered as a result of piling operations.

BS 6472 sets down vibration levels at which minimal adverse comment will be provoked from the occupants of the premises being subjected to vibration. It is not concerned primarily with short term health hazards or working efficiency. It points out that human response to vibration varies quantitatively according to the direction in which it is perceived. Thus, generally, vibrations in the foot-to-head mode are more perceptible than those in the back-to-chest or side-to-side modes although at very low frequencies this tendency is reversed.

Base curves in terms of both vibratory acceleration and peak particle velocity in the different coordinate directions are shown in BS 6472. These curves apply to continuous vibrations and there is a series of multiplying factors which can be applied according to the sensitivity of the location to vibrations. In addition, formulae are quoted which may be used to establish minimal adverse complaint levels where the vibrations are intermittent but overall of relatively short duration in comparison to the daytime or night-time period.

A kindred problem is that vibrations may cause structure-borne noise which can be an additional irritant to occupants of buildings. Loose fittings are prone to rattle and movement.

### **8.4 Structural response to vibration**

### **8.4.1** *General*

Structural failure of sound buildings or building elements or components is not a phenomenon generally attributed to vibration from well controlled piling operations. Extensive studies carried out in this country and overseas have shown that documented proof of actual damage to structures or their finishes resulting solely from piling vibrations is rare. There are many other mechanisms which cause damage especially in decorative finishes and it is often incorrectly concluded that piling vibrations should be blamed.

In some circumstances, however, it is possible for the vibrations to be sufficiently intense to promote minor damage. Typically this damage could be described as cosmetic and would amount to the initiation or extension of cracks in plasterwork, etc., rather than the onset of structural distress. In more severe cases, falls of plaster or loose roof tiles or chimney pots may occur.

NOTE 1 It has been suggested that vibrations generally provide one trigger mechanism which could result in the propagation of an incipient "failure" of some component which hitherto had been in a metastable state.

NOTE 2 Vibration can increase the density of and cause settlement in loose, wet and cohesionless soils, which may put structures at risk.

The making of an assessment of the vulnerability or otherwise of building structures to vibration induced damage needs rather more detailed structural knowledge at the outset than is generally available. Among the points to bear in mind are the following:

a) the design of the structure;

b) the nature, condition and adequacy of the foundations and the properties of the ground supporting these;

c) the age of the structure;

d) the method and quality of construction, including finishes;

e) the general condition of the structure and its finishes;

f) a schedule of existing defects, especially cracks, supplemented where necessary by a photographic record;

g) any information pertaining to major alterations, such as extensions, or past repair work;

h) the location and level of the structure relative to the piling works;



j) the duration of piling operations.

### **8.4.2** *Response limits of structures*

It is recommended that, for soundly constructed residential property and similar structures which are in generally good repair, a conservative threshold for minor or cosmetic (i.e. non-structural) damage should be taken as a peak particle velocity (p.p.v.) of 10 mm/s for intermittent vibration and 5 mm/s for continuous vibrations. Below these vibration magnitudes, minor damage is unlikely to occur. Current experience suggests that these values may be reduced by up to 50 % where the preliminary survey reveals existing significant defects (such as a result of settlement) of a structural nature, the amount of the reduction being judged on the severity of such defects. The range of frequencies excited by piling operations in the soil conditions typical in the United Kingdom is between 10 Hz and 50 Hz. Acceptable values of p.p.v. may need adjustment for predominant frequencies outside this range.

NOTE 1 At low frequencies (below 10 Hz), large displacements and associated large strains necessitate lower p.p.v. values (50 % lower), whereas at high frequencies (above 50 Hz), much smaller strains allow the p.p.v. limits to be increased (100 % higher).

Buildings constructed for industrial and commercial use exhibit greater resistance to damage from vibrations than normal dwellings, and it is recommended that light and flexible structures (typically comprising a relatively light structural frame with infill panels and sheet cladding) should be assigned thresholds of 20 mm/s for intermittent vibrations and 10 mm/s for continuous vibrations, whereas heavy and stiff buildings should have higher thresholds of 30 mm/s for intermittent vibrations and 15 mm/s for continuous vibrations.

Where buildings appear not to conform precisely to one or other of the descriptions given in this subclause, the thresholds may be adjusted within those stated.

NOTE 2 Additional guidance on the relative sensitivities of various types of building to vibrations is given in BS 7385-1. Special consideration should be given to ancient ruins and listed buildings $^{1)}$ .

The vibration levels given in this subclause refer to the maximum value on a load bearing part of the structure at ground or foundation level in the vertical, radial or tangential direction. See Figure 3.



 $Studies of the Effects of Traffic Induced Vibrations on Heritage Buildings. TRRL Research$ m the Transport and Road Research Laboratory, Old Wokingham Road, Crowthorne, Berkshire In certain circumstances it may be necessary in addition to specify limits at other locations. For example, modern multi-storey buildings employing continuous construction methods exhibit little inherent damping. Significant amplification of incoming vibrations can, therefore, occur at the upper storeys, notably in the horizontal modes. Likewise, amplification of vibrations (mostly vertical) can occur in the middle of suspended floors. A vertical p.p.v. of up to 20 mm/s during driven piling may be tolerated at such positions. However special care may be needed for old plaster and lath ceilings beneath suspended floors.

NOTE 3 Amplification factors will vary according to individual circumstances, but factors of between 1.5 and 2.5 are typical.

### **8.5 Assessment of vulnerability of structures and services**

### **8.5.1** *Retaining walls*

Unlike conventional buildings, which are tied together by crosswalls, intermediate floors and roofs, retaining walls may have little lateral restraint near their tops. This can result in substantial amplification of vibrations particularly in the horizontal mode normal to the plane of the wall. Amplification factors of between 3 and 5 are typical.

For slender and potentially sensitive masonry walls it is recommended that threshold limits for p.p.v. of 10 mm/s at the toe and 40 mm/s at the crest should generally be adopted. Propped or tied walls or mass gravity walls can be subject to values 50 % to 100 % greater than the above. Similar values could be applied to well supported steel pile and reinforced concrete retaining walls. Where walls are in poor condition the allowable values should be diminished and at the same time additional propping or other methods of support should be devised. For continuous vibrations all the above levels should be reduced by a factor of 1.5 to 2.5 according to individual circumstances.

### **8.5.2** *Slopes and temporary excavations*

When piling is to be installed close to slopes. vibration of any form may cause movement of the slope material.

The effect of ground borne vibrations on the stability of' temporary earthworks such as modified soil slopes and open excavations should receive careful consideration in order to avoid risk to personnel and partially completed works from dislodged lumps of soil, local collapse of soil faces or even ground movement due to overloading and failure of temporary ground retention systems.



The risk to stability is dependent on the extent to which the factor of safety under static loading is reduced by the vibrations, and hence on the intensity, characteristics and duration of the vibration and the soil response. The possibility that inherent weaknesses might exist in the soil due to the release of stress and subsequent surface weathering should be borne in mind.

When the pile type is chosen, care should be taken to avoid substituting the risk from vibration, pore pressure changes and soil displacement associated with driven piling and other systems which generate vibrations, by threats to stability resulting from uncontrolled soil removal or the release of ground water.

Consideration should be given to the use of controlled trials to establish a safe method of working, from observations of vibration intensity, of the onset of local distress to the soil face and of changes in line and level.

Where doubt about the loss of stability remains, action should be taken either to phase the work so that piling can be completed before earthworks are carried out, or to retain the soil effectively to allow piling to take place safely.

### **8.5.3** *Underground services*

Some statutory undertakings have introduced criteria governing the maximum level of vibrations to which their services should be subjected. These vibrations are usually extremely conservative and it is recommended that the following limits be used:

- a) maximum p.p.v. for intermittent or transient vibrations 30 mm/s;
- b) maximum p.p.v. for continuous vibrations 15 mm/s.

Values should be applied at the crown unless the lateral dimension of the service is large in relation to the space between the service and the pile.

It should be noted that even a p.p.v. of 30 mm/s gives rise to a dynamic stress which is equivalent to approximately 5 % only of the allowable working stress in typical concrete and even less in iron or steel.

In the event of encountering elderly and dilapidated brickwork sewers the base data should be reduced by 20 % to 50 %. For most metal and reinforced concrete service pipes, however, the values in a) and b) should be quite tolerable. There is often some difficulty in assessing the true condition of underground pipes, culverts and sewers. Among the factors which could mean that such services are in a



are poorly formed joints, ed trench bases, distortion re, or unstable surrounding us or existing leaks.

NOTE The extraction of temporary piling can also generate vibration.

### **8.6 Assessment of vulnerability of content of buildings**

### **8.6.1** *Computer installations*

Although modern computer installations incorporate solid state electronics, the disc drive units are considered to be vulnerable to excessive vibration or shock. These devices generate their own continuous internal vibrations from the spinning discs and associated machinery. Major manufacturers have set acceptable external vibration criteria for their equipment, in both operating and transit modes.

The criteria are often expressed in terms of limits on vibratory displacement up to a certain frequency and limits on vibratory acceleration at higher frequencies. A sinusoidal relationship is given between these parameters which can therefore be used to calculate the corresponding particle velocities. For continuous vibrations the allowable thresholds are set at about 40 % of the permitted levels of intermittent vibrations. An example from one major manufacturer quotes permitted levels for intermittent vibrations varying between 50 mm/s at 8 Hz and 10 mm/s at 40 Hz, a frequency range which covers much of that associated with piling in soils. These criteria are judged to apply to computer equipment correctly installed on the ground floor of a building.

Thus computers are not as fragile as is often believed and, with care, piling need not pose a threat to the continued safe use of a typical computer installation. Extra care may be needed if the installation is mounted on a suspended floor which might accentuate the level of transmitted vibrations.

### **8.6.2** *Telephone exchanges*

In telephone exchanges where electro-mechanical methods of circuit selection are used, excessive vibrations of the appropriate frequencies may set up resonances in the contact arms leading to wrong lines or other malfunction. Research on one type of installation resulted in the adoption of a limiting p.p.v. of 5 mm/s for intermittent vibrations, as measured on the floor of the exchange room. With advances in telecommunication technology many different systems exist, some of which are less sensitive to vibration. Individual installations should be treated on their merits.

### **8.6.3** *Miscellaneous*

The sensitivity to vibrations of hospital operating theatres, especially those where microsurgery is undertaken, can well be imagined. Some scientific laboratories are similarly susceptible, whilst a range of other industrial processes ranging from optical typesetting to automatic letter sorting could be inconvenienced. In electrical power generation, turbine shafts are not able to accommodate large oscillatory displacements.

Where there is uncertainty concerning the level of transmitted vibration and its acceptability to the particular environment, it is advisable to investigate the actual conditions and requirements in detail. Preliminary trials and monitoring can then be designed to establish a suitable procedure for the work.

### **9 Practical measures to reduce vibration**

### **9.1 General**

Where the predictions indicate that a particular piling method could prove marginal in terms of critical vibration levels, further consideration should be given to the problem along the lines suggested in **8.4**. Additionally, methods of alleviating the problem may be adopted as recommended in **9.2**.

### **9.2 Reduction of transmitted vibration levels**

### **9.2.1** *Use of alternative methods*

As with noise control methods it should be borne in mind that piling and ground engineering processes are primarily selected on the basis of the strata to be encountered, the loads to be supported and the economics of the system. After consideration of these constraints, however, it should be possible to select the process least likely to give rise to unacceptable vibrations in particular circumstances. Examples would include the use of continuous flight auger injected piles, jacked preformed piles, auger bored piles, or possibly impact bored piles in preference to driven piles. Some form of ground treatment might also be possible, depending on soil conditions and loading requirements.

There are sometimes cases in which the majority of a site is amenable to a particular form of ground treatment or foundation construction but where a limited area is too close to existing structures or services to permit unrestricted use of the process. For example, from Table 1 it may be deduced that dynamic compaction using large tamping weights should be kept a reasonable distance away from such features. If a small intervening area remains to be treated this may be done using one of the vibro processes of ground treatment. Similarly, the majority of a site may be piled using the driven cast-in-place process leaving a minority to be completed with continuous flight auger injected piling.

It should be noted that a change in method part of the way across the site might result in a mismatch in subsequent foundation behaviour. The engineering implications of any such changes should be considered carefully prior to construction on site.

### **9.2.2** *Removal of obstructions*

Obstructions constitute a hindrance to progress and exacerbate the transmission of environmental vibrations, especially where they occur at shallow depths. Obstructions known to exist, e.g. old basement floors, old foundations, timbers, etc., should be broken out at pile or stone column positions and the excavation backfilled. Where an unexpected obstruction is encountered it may be preferable that piling should be halted at that position until such time as the obstruction can be dealt with, rather than attempting prolonged hard driving.

### **9.2.3** *Provision of cut-off trenches*

A cut-off trench may be regarded as analogous to a noise screen, in that it interrupts the direct transmission path of vibrations between source and receiver. It should be noted that there are serious limitations to the efficacy of trenches. For maximum effect the trench should be as close to the source or to the receiver as possible. The trench should have adequate length and adequate depth. With normally available excavators on site, trench depths are seldom in excess of 4 m or 5 m. The length of the trench needed would be a function of the relevant plan dimensions of the piling site and the structure to be protected.

A trench may constitute a safety hazard. If the trench is not self-supporting, a flexible support mechanism, e.g. bentonite suspension may be needed. Care should be exercised in locating the trench to avoid any loss of support to the structure it is intended to protect or to the piles being installed. Care should also be taken to ensure that the stability of the piling equipment is not endangered by the presence of the trench. The wall of the trench closest to the piling operation may suffer progressive collapse during the course of the works. Provided that the safeguards in this clause are observed, such behaviour is acceptable as an energy releasing mechanism.

At the conclusion of the relevant piling operations the trench should be backfilled carefully to reinstate the site.

Specialist advice should be sought prior to embarking on cut-off trench construction. Trenches should not be regarded as the universal panacea for vibration problems.

### **9.2.4** *Reduction of energy input per blow (or cycle)*

Consideration of the relationships 1) and 2) (see Appendix B) suggests that there is a dependence of the peak particle velocity on the energy input. For both relationships, the p.p.v. is seen to depend on the square root of the energy input. For example, halving the energy per blow (or cycle) would produce a p.p.v. of 71 % of its original value. It is sometimes found that reducing energy per blow has an appreciable effect at close quarters, but that at greater distances there is sufficient scatter in the results to indicate that modifications to the energy do not appear significantly to influence the p.p.v.

The penalty for adopting this method is that more blows at lower energy will be needed to drive the piles to a required depth. The trade-off will not necessarily be linear owing to other losses in energy in the system. The advent of modern hydraulic hammers, in particular, has permitted a greater degree of control, and flexibility in selection, of input energy and this may be used to advantage, in combination with appropriate monitoring, to minimize problems. For example, when driving piles close to buildings with shallow foundations or in the vicinity of shallow buried services, monitoring of the vibrations could enable an assessment to be made as to the appropriateness of starting the drive with low hammer drops, subsequently increasing the energy as the toe of the pile reaches the founding

stratum at greater depth.



NOTE Special arrangements may be needed where piles are driven to a set. Driving to a set entails counting a number of blows from a standard height of drop (standard for the particular piling system) for a given (small) penetration, or by measuring the penetration obtained after a given number of blows from the standard height of drop. It should be borne in mind that set may not be achieved when using the lower drop height initially chosen to reduce vibration magnitude.

### **9.2.5** *Reduction of resistance to penetration*

### **9.2.5.1** *Pre-boring for driven piles*

When piles are to be driven and there is the risk of excessive vibrations emanating especially from the upper strata, the problem can sometimes be reduced by pre-boring. This process removes some of the soil which would otherwise have to be displaced in the early stages of pile driving. There is some evidence to suggest that the final level of vibration during driving would not be reduced, although there would be a reduction in the number of blows needed to achieve the proper penetration.

A variant of this procedure which can be used with top driven cast in place piling is to commence by driving the tube open-ended. A plug of soil is formed within the tube, which is then withdrawn and the plug is removed. This may be repeated several times before the shoe is fitted and the tube driven closed-ended in the normal manner.

### **9.2.5.2** *Mudding in for rotary bored piles*

Whilst pre-boring is used in the construction of rotary bored piles in order to reduce the resistance of penetration of temporary casing, it is often coupled with mudding in to reduce the risk of collapse of the sides of the bore.

Following normal pre-boring a small quantity of bentonite slurry is added to the borehole and the auger is rotated rapidly in order to stir up the slurry and any collapsed material from the unlined sides. The casing is then offered into the hole, its penetration being assisted by the lubricating action of the mud slurry. Depending on conditions the final seating of the casing may be assisted either by use of a twister bar (the casing being spun in), or by tapping with a heavy casing dolly or by using a vibrator. The use of these latter two items should, however, be minimized.

### **9.2.5.3** *Adding water to the bore hole for impact bored piles*

The level of vibration from the impact bored piling method is generally considered acceptable and the method is frequently used on confined sites adjacent to existing structures. The level of vibration increases with the resistance to boring and particularly when the boring tool fails to make measurable progress, for example in dense dry gravel. Progress can be increased by adding water to the bore but great care is needed to ensure that the casing is advanced in pace with the boring tool and that excessive use of water is avoided to reduce overboring and the consequent risk of undermining adjacent structures.

### **9.2.6** *Excavation under bentonite*

An alternative procedure for bored piles using very long casings where there are substantial depths of water bearing sands and silts, is to drill the piles under bentonite suspension.

It may then be possible to restrict casing to a relatively short length, thereby avoiding the need to resort to the use of either vibratory or percussive dollies for insertion or withdrawal.

### **9.2.7** *Avoidance of shear leg contact with sensitive structures*

Tripod impact bored piling rigs can impart vibrations and shocks through the shear legs. Where, as is often the case, there is a confined working area for a tripod care should be taken in setting up the rig at any pile position, to avoid having one of the legs or its support in direct contact with any adjacent building which may be sensitive to vibrations.

### **9.2.8** *Removal of the "plug" when using casing vibrators*

As explained in **A.3**, vibratory drivers have difficulty in penetrating dense cohesionless soils. Where such a machine is used to insert a casing into a stratum of medium dense to dense granular soil, a plug of this soil will accumulate inside the casing. The vibrator will now be confronted with additional resistance, thus slowing penetration and probably accentuating environmental vibration levels.

Provided the boring rig has a sufficiently high rotary table it should be used to drill out the plug at intervals between short periods of vibratory driving. This procedure should substantially reduce the total amount of time needed for use of the vibrator.

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### **9.2.9** *Bottom-driving*

Claims are made from time to time that bottom-driving results in lower vibration levels than top-driving. The method can be applied to some permanently cased piles and some specialized cast-in-place systems.

The process is certainly quieter than its top-driven counterpart; however any reduction in vibration intensity may be associated with the generally slower rate of production. Maintaining the same rate of pile penetration as top-driving may result in similar vibration levels.

### **10 Measurement**

### **10.1 Monitoring**

In order to ensure optimum control of vibration, monitoring should be regarded as an essential operation. In addition to vibration monitoring, static tell-tale measurements can also be useful. Precision tell-tales are capable of registering longer term trends and can provide early warning of impending structural problems.

It should be remembered that failures, sometimes catastrophic, can occur as a result of conditions not directly connected with the transmission of vibrations, e.g. the removal of supports from retaining structures to facilitate site access.

Where site activities other than pile driving may affect existing structures, a thorough engineering appraisal of the situation should be made at the planning stage.

### **10.2 Methods of measurement**

### **10.2.1** *General*

The method selected to characterize building vibration will depend upon the purpose of the measurement and the way in which the results are intended to be used. Although a measurement technique which records unfiltered time histories allows any desired value to be extracted at a later stage, it may not be strictly necessary for the purpose of routine monitoring.

### **10.2.2** *Positions*

The number of measurement positions will also depend upon the size and complexity of the building. When the purpose is to assess the possibility of structural damage, the preferred primary position is in the lowest storey of the building, either on the foundation of the outer wall, in the outer wall, or in recesses in the outer wall. For buildings having no basement, the point of measurement should be not more than 0.5 m above ground level. For buildings with more than one storey, the vibration may be amplified within the building. In the case of horizontal vibration, such amplification may be in proportion to the height of the building, whereas vertical vibration tends to increase away from walls, towards the mid-point of suspended floors.

It may therefore be necessary to carry out measurements (which should be simultaneous if a transfer function is required) at several other positions to record maximum vibration magnitudes. When the building is higher than four floors (approximately 12 m) additional measuring points should be added every four floors and at the top of the building. When the building is more than 10 m long, the measuring positions should be selected at a horizontal spacing not exceeding 10 m. Measurements should be made on the side of the building facing the source.

When the purpose is to evaluate human exposure to vibration in the building, or to assess the effect of vibration on sensitive equipment within the building, measurements should be taken on the structural surface supporting the human body or the sensitive equipment.

When ground vibration sources are being considered it is usual to orientate the transducers with respect to the radial direction, defined as the line joining the source to the transducer.

When studying structural response to ground vibration it is more usual to orientate transducers with respect to the major and minor axes of the building structure.

If it is not possible to make measurements at the foundation, transducers should be well coupled to the ground.

NOTE Information is given in BS 7385-1.

### **10.2.3** *Parameter to measure*

With an impulsive source of vibration it is usual to measure the peak value attained from the beginning to the end of a drive. It is also usual to measure in terms of peak particle velocity (p.p.v.) if the risk of damage to the building is the primary concern, and there is also an interest in human reaction. If the concern is purely for human tolerance, then

 $\frac{1}{2}$  is the preferred parameter. In the case t is necessary to check the limit data supplied by the  $\blacksquare$  accordingly.



In order to adopt an appropriate cost effective piling procedure, a survey of the sensitivity of the neighbourhood to vibration prior to issuing tender documents is desirable.

### **10.2.4** *Record sheets*

An important aspect of monitoring vibrations is the preparation and maintenance of records of salient details of the site observations. The format to be adopted will vary according to the circumstances appropriate to each investigation.

NOTE Appendix D contains examples of pro forma record sheets for site measurements and for vibration data summaries which have been devised for a multi-channel digital data acquisition system. Appendix D is included for information only and does not form part of this standard.

### **10.3 Trial measurements**

The various formulae which have been developed empirically to predict vibration levels at a receiving point do not take into account variability of ground strata, the pile-soil interaction process, coupling between the ground and the foundations, etc. Hence these formulae can only provide a first assessment of whether or not the vibrations emanating from a site are likely to constitute a problem.

More accurate assessment can be achieved by the "calibration" of the site, i.e. the establishment of a site-specific formula. The data necessary for the derivation of the formula can be obtained from a trial drive using a piling rig, or by dropping a large weight (typically 1 t to 2 t) in the case of impact driving, on to the ground surface and recording the vibration levels successively at various distances from the point of impact. The preferred method is to cast a 1 m cube of concrete and to drop it from a height of 1.5 m. A range of heights can however be employed, varying between 0.5 m and 2 m. The point of impact should be well away from adjacent structures.

Vibration measurements may also be taken on structures to provide information on the coupling between the soil and the foundations and amplification effects within a building. A range of impact energies should be used to encompass the energy levels associated with the intended piling works.



### **Table 2 — Vibration effects on different subjects: the parameters to measure and the ranges of sensitivity of apparatus to use**

NOTE 1 Except where root mean square (r.m.s.) accelerations are quoted, all measurement ranges, whether displacement, velocity or accelerations, are in terms of zero-to-peak.

NOTE 2 The ranges given depend on the dominant frequency of vibration (see clause **8**).

NOTE 3 Typical ranges from equipment and processes vary considerably, depending on the sensitivity of the equipment installed. NOTE 4  $g_n$  is acceleration due to gravity, i.e. 9.81 m/s<sup>2</sup>.



### **Appendix A Description of vibration**

### **A.1 Types of vibration**

Vibrations may be categorized in several ways as follows:

a) continuous vibrations in which the cyclic variation in amplitude is repeated many times;

b) transient vibrations in which the cyclic variation in amplitude reaches a peak and then decays away towards zero relatively quickly;

c) intermittent vibrations in which a sequence (sometimes regular, sometimes irregular) of transient vibrations occurs but with sufficient intervals between successive events to permit the amplitude to diminish to an insignificant level in the interim periods.

Examples of these types of vibration within the piling field are:

1) continuous vibrations from a vibrating pile driver;

2) transient vibrations from an isolated hammer blow;

3) intermittent vibrations from a drop hammer pile driver.

NOTE Some air operated hammers have sufficiently rapid striking rates to prevent the amplitude of vibration diminishing to an insignificant level between successive events (or impacts). In spite of the impulsive nature of the wave form the resulting vibrations may be described as continuous.

The response of soil and structures to continuous vibrations is to vibrate in sympathy with the vibrating source, i.e. at the same frequency or harmonics thereof. The resulting vibrations are, therefore, known as forced vibrations. Impulsive shocks giving rise to transient vibrations, on the other hand, excite the natural frequencies of the soil-structure combination and thus the resulting vibrations are known as free vibrations.

### **A.2 Characteristics of vibration**

Vibrations are physically characterized as wave phenomena. They may be transmitted in one or more wave types, the most common of which are compression, shear and Rayleigh (or surface) waves. Each type of wave travels at a velocity which is characteristic of the material properties of the medium through which it is propagated. The wave velocity determines the time lag between the event at the source, e.g. the pile position and the remote receiving point. It does not, however, determine the severity of the vibration response at the remote receiving point, although the material properties of the transmitting medium play a significant role in this.

As the wave passes through the receiving point the particles of matter undergo a vibratory or oscillatory motion. It is the intensity of these oscillatory particle motions which determine the vibration response at the receiving point.

The oscillatory motion can be characterized physically in terms of the following:

a) a displacement about the mean value *A*;

b) a particle velocity *v*;

- c) an acceleration *a*;
- d) frequency of the disturbance  $f$ .

In the case of sinusoidal wave propagation these parameters are simply related by the formulae:

$$
v=2\pi fA
$$

$$
a = 4\pi^2 f^2 A = 2\pi f v
$$

where the symbols are each assigned their peak values.

It is not normally practicable to measure all four parameters simultaneously and indeed this is not generally necessary, since for the majority of frequencies of interest in piling operations the peak particle velocity (p.p.v.) is the best indicator of the vibratory response, especially when it is combined with the frequency content of the disturbance. Further guidance on human response to vibrations may be found in BS 6472.



### **A.3 Vibrations associated with specific operations**

### **A.3.1** *Intermittent and transient vibrations*

### **A.3.1.1** *Single-acting pile hammers*

Intermittent vibrations are obtained with most single-acting pile hammers. A variety of mechanisms may be used to raise the hammer after each blow, e.g. winch rope, diesel, hydraulic, steam or compressed air. Some diesel and air hammers are double acting and have considerably more rapid striking (or repetition) rates than conventional free fall hammers. This may result in vibrations being set up in certain circumstances (see note to **A.1**).

### **A.3.1.2** *Impact bored piling*

Traditional impact bored piling gives rise to intermittent vibrations, both in the boring process when the boring tool is allowed to fall freely to form the borehole, and also when temporary casing is being driven or extracted.

### **A.3.1.3** *Rotary bored piling*

Although rotary bored piling tends to set up low level vibrations, transient vibrations may also occur when the auger strikes the base of the borehole. If it is necessary to insert an appreciable length of temporary casing to support the boring, a casing dolly may be used and, as with the impact bored piling method, this will give rise to intermittent vibrations. The use of special tools, such as chisels, will also result in intermittent vibrations.

### **A.3.1.4** *Clamshell grabs*

The construction of diaphragm walls and barrettes using clamshell grabs may also give rise to transient or intermittent vibrations. The grabs may be operated either hydraulically, or by rope, but in each case they impact (with open jaws) on the soil in the trench. Since the excavation is filled with a bentonite suspension for temporary support there will be a modest buoyancy factor.

### **A.3.1.5** *Free falling tamping weights*

Ground treatment by dynamic compaction using large free falling tamping weights results in intermittent vibrations. The process is generally carried out on large sites to improve the density of relatively loose soils or fill materials. The major frequency content of the free vibrations tends to be very low.

### **A.3.1.6** *Other operations causing intermittent vibrations*

The formation of stone columns using plant designed for driven cast-in-place piling is another source of intermittent vibrations.

### **A.3.2** *Continuous vibrations*

### **A.3.2.1** *General*

Continuous vibrations differ from intermittent or transient vibrations in that the vibratory stimulus is maintained through a sequence of cycles. If the frequency of the vibrations coincides with a natural frequency of, e.g. a structural element, then resonance can be induced. The resulting vibrations then exhibit substantially higher amplitudes than otherwise would be the case. This should be borne in mind if the criteria recommended in **8.4.2** are used for the setting of acceptable limits for vibrations at the remote receiving point.

NOTE For continuous vibrations the variables mentioned primarily in conjunction with intermittent vibrations are all significant (except that energy per blow is replaced by energy per cycle) in determining the intensity of vibration.

Continuous vibrations are associated primarily with vibratory pile drivers. They are used for installing or extracting steel sheet and H-section piles and temporary or permanent casings for bored piles. Small vibrators are used for inserting reinforcement cages in continuous flight auger injected piles, and during the extraction of the driving tube following the concreting of a driven cast-in-place pile. The vibration in this latter case assists in compacting the concrete in the pile shaft, and the technique is employed as an alternative to hammering the tube during its extraction.

### **A.3.2.2** *Vibratory pile drivers*

Vibratory pile drivers can be very effective in loose to medium, cohesionless or weakly cohesive soils. The continuous vibration of the pile member effectively fluidizes the immediately surrounding soil, removing



each vibration cycle. The mechanism is thwarted in dense cohesionless ibrator used at length under these circumstances merely succeeds, in al vibrations at the expense of very slow penetration, especially with

Most vibratory pile drivers derive their cyclic axial motion from one or more pairs of horizontally opposed contra-rotating eccentric weights which may be powered hydraulically or electrically. The design operating frequency of these vibrators is typically in the range 25 Hz to 30 Hz which is rather higher than natural frequencies associated with loose or medium loose soil sites. This can lead to a high and possibly dangerous (although short-lived) response at the remote receiving station whenever the vibrator is switched on or off, as it accelerates or decelerates through the range either of site frequencies or of the natural frequencies of floor slabs, etc.

NOTE 1 As a guide, whole building response for buildings up to four storeys in height, as opposed to building element response, generally occurs at frequencies between 5 Hz and 15 Hz. Buildings element response, e.g. slabs, may occur at frequencies between 5 Hz and 40 Hz. For buildings more than four storeys in height, the whole building response frequency is likely to be less than 5 Hz to 12 Hz.

NOTE 2 Care should be taken when using vibrators with frequencies less than 25 Hz.

### **A.3.2.3** *Resonant pile drivers*

A similar principle to that for vibratory pile drivers applies to very high frequency resonant pile drivers. In this case the vibrator is capable of oscillating at high frequencies (up to 135 Hz) and is designed to tune to one of the natural modes of vibration of the pile being driven, in order to obtain the benefits of pile resonance.

### **A.3.2.4** *Continuous flight auger injected piling and jacked piling*

The levels of vibration associated with continuous flight auger injected piling and jacked piling are minimal as the processes do not involve rapid acceleration or deceleration of tools in contact with the ground but rely to a large extent on steady motions. Continuous vibrations at a low level could be expected from the prime movers.

### **A.3.2.5** *Vibroflotation and vibroreplacement*

In ground treatment processes by vibroflotation or vibroreplacement, a rotating eccentric weight in the nose of the machine sets up a mainly horizontal vibration pattern. This is basically a much enlarged version of the familiar vibrating poker used for compacting concrete. Pokers for vibroflotation are generally energized by electric or hydraulic motors and typically operate at frequencies between 30 Hz and 50 Hz.

### **A.3.2.6** *Vibrating lances*

Another ground treatment process is the installation of vertical band drains. This may be achieved by using a vibrating lance. The vibrator is similar in concept to, but somewhat smaller than, vibrators used for pile driving.

### **A.3.2.7** *Other operations causing continuous vibrations*

Continuous vibrations, albeit at low intensities, may be experienced from diesel engines, for example from impact bored piling winches mounted on skids, crawler mounted base machines, and attendant plant.

### **Appendix B Prediction of vibration levels**

Simple empirical formulae relating peak particle velocity with source energy and distance from the pile were deduced by Attewell and Farmer<sup>2)</sup> from field measurements, and have been used for many years for prediction. More recent studies by Attewell and his co-workers have confirmed and refined their 1973 proposals, with a series of formulae characterizing different types of pile and piling hammer being derived. For the purpose of this appendix it is sufficient to note that a general relationship for hammer-driven piles is:

$$
v = 0.75 \times \sqrt{\frac{W_0}{r}} \tag{1}
$$

and for vibratory-driven piles is:

 $v = 1.0 \times \sqrt{\frac{W_{\text{o}}}{r}}$ 

where:

*v* elocity (vertical component) (in mm/s);

LW., Attenuation of ground vibrations from pile driving, *Ground Engineering*, 6(4), 26-29, 1973.<br>33 2) ATTEWELL, P.B., FARMER, I.W., Attenuation of ground vibrations from pile driving, *Ground Engineering*, **6**(4), 26-29, 1973.

(2)

 $W_0$  is the source energy per blow (or per cycle) (in J);

*r* is the radial distance between source and receiver (in m).

Use of either of these formulae will enable a prediction to be made of peak particle velocities (p.p.v.) which are unlikely to be exceeded significantly in the vast majority of cases. In fact in many cases the predicted values thus deduced will be found to over-estimate those which will occur in practice, for some or all of the following reasons.

a) Regression analysis of data from numerous case histories was performed on the highest peak particle velocities found in each data set rather than "average" values.

b) Although in driven piling the source of the vibrations is axially directed and therefore predominantly vertical, the three-dimensional nature of the resulting wave pattern ensures that some oscillatory movement will occur in the horizontal plane. Furthermore, horizontal components may well dominate at elevated locations on retained or retaining walls or on structures subject to vibrations from vibroflotation operations.

c) The constant 0.75 in equation (1) reconciles differences in units and averages soil conditions and driving efficiencies. Further commentary on the variations in vibration response depending on the nature of the soil may be found in other publications, e.g. Wiss  $(1967)^{3}$  and Martin  $(1980)^{4}$ .

d) Where the plan distance between the source and the receiver exceeds the depth of the pile it may reasonably be substituted for the radial distance *r*. However, when piling close to a structure the *r* value would be very dependent on pile depth, and so an indication of the depth at which significant resistance to driving is likely to occur would be important in making an assessment. In Table 3, *r* is generally taken as plan (or horizontal radial) rather than radial distance.

e) Measurements made on the ground surface tend to yield levels which are greater than those made on adjacent load bearing structure. A variation of a factor of 2 is not uncommon (see for example Martin<sup>4)</sup> and Greenwood and  $Kirsch<sup>5</sup>$ ).

f) It can be seen from Table 3 to Table 13 that in many cases satisfactory levels can be achieved when the remote receiving point (see **8.1**) is at relatively close quarters. In this nearfield situation it is not practicable to discriminate between the various wave types.

### **Appendix C Measured vibration levels**

Information on measured vibration levels arising from various forms of piling and kindred operations has been summarized in Table 3 to Table 13. Data have been compiled from case histories recorded throughout the UK. Examination of the tabulated results will indicate the magnitude of scatter that can be anticipated.



3) WISS, J.F., *Damage effects of pile driving vibrations*, Highways Research Board USA No. 155, 14-20, 1967.



pact pile driving during road construction, TRRL Supplementary Report 549, 1980,  $1$ ecialist ground treatment by vibratory and dynamic methods. *Proceedings of the 1983 Institution of Civil Engineers International Conference on advances in piling and ground treatment for*







### **Table 3 — Summary of case history data on vibration levels measured during impact bored piling (tripod)**

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| Ref. | Year and                       | Soil conditions   | Pile  | Mode                              | Measured peak particle velocity (p.p.v.) at various plan distances |                              |                |                  |                |                  |            | Remarks   |
|------|--------------------------------|---|---|-----------------------------------|--|------------------------------|----------------|------------------|----------------|------------------|------------|---|
| No.  | location                       |   | dimensions  |                                   | <b>Theoretical</b><br>energy per<br>blow                           | Plan<br>distance             | p.p.v.         | Plan<br>distance | p.p.v.         | Plan<br>distance | p.p.v.     |   |
|      |                                |   |   |                                   |  | m                            | mm/s           | m                | mm/s           | m                | mm/s       |   |
| 10   | 1982 Bristol                   | Soft silts  | $500 \text{ mm} \phi$ and                                   | Boring                            | N/R  | 4.5                          | 8              | 7                | 2.7            | 12               | 1.8        | Medieval listed                                   |
|      | (Avon)                         | overlying<br>sandstone  | $600 \text{ mm} \phi 3 \text{ m}$                           | Chiselling                        | $\ensuremath{\text{N}}\xspace/\ensuremath{\text{R}}\xspace$        | 4.5                          | 12             | 10               | $\overline{7}$ | 12               | 3          | buildings adjacent to<br>site                     |
|      |                                |   | to 12 m depth<br>according to                               | Driving casing                    | N/R  | 4.5                          | $\overline{4}$ |                  |                | 12               | 2.5        |   |
|      |                                |   | rockhead.   | Boring                            | N/R  | 4.5                          | 2.6            | 7.5              | 2.1            |                  |            |   |
|      |                                |   | $1.5$ m penetration<br>rock sockets                         | Chiselling                        | N/R  | 4.5                          | 6.5            | 8                | 1.7            |                  |            | After pre-drilling<br>rock                        |
| 11   | 1982 Halifax                   | Loose rock fill   | $500 \text{ mm}$ $\phi$                                     | Boring                            | N/R  | 10                           | 0.8            | 25               | 0.65           | 48               | 0.45       | Sensitive industrial                              |
|      | (W. Yorks)                     | over weathered<br>rock over rock  | $15 \text{ m}$ to $17 \text{ m}$<br>depth                   | Base ramming<br>Rockfill          | N/R  | 10                           | 1.5            | $15\,$           | 1.3            | 30               | 1.2        | process in adjacent<br>building                   |
| 12   | 1983 Swansea<br>(W. Glamorgan) | Made ground/<br>dense sands and<br>gravel with<br>cobbles and<br>boulders | $500$ mm $\phi$ 4.5 m<br>depth                              | Driving casing<br>Boring          | $\rm N/R$<br>N/R   | $\mathbf{1}$<br>$\mathbf{1}$ | 10<br>9.8      | 10<br>11         | 0.85<br>0.75   |                  |            | Measured on<br>adjacent<br>commercial<br>building |
|      |                                |   |   | Driving casing                    | N/R  | $\overline{7}$               | 6.4            | 11               | 1.5            |                  |            | Measured on                                       |
|      |                                |   |   | Boring                            | N/R  | $\overline{7}$               | 6.6            | 14               | 1.4            |                  |            | road surface<br>above 19th<br>century sewer       |
| 13   | $1983$ Lincoln<br>(Lincs)      | Backfilled<br>quarry-grouted<br>stiff sandy clay<br>and limestone         | 500 mm $\phi$   | Base ramming<br>Initial boring    | N/R<br>$\ensuremath{\text{N}}\xspace/\ensuremath{\text{R}}\xspace$ | 4.5<br>4.5                   | 22.2<br>12.4   | 20<br>20         | 1.6<br>0.73    |                  |            |   |
|      |                                |   | $12 \text{ m}$ to $15 \text{ m}$                            | Driving casing                    | $\rm N/R$  | 4.5                          | $3.3\,$        | 20               | 0.41           |                  |            |   |
|      |                                | block/lias clay<br>below 6 m  | depth   | Clay boring                       | N/R  | 4.5                          | 0.75           | $20\,$           | 0.16           |                  |            |   |
| 14   | 1983 London                    | Backfilled<br>sand/soft sandy   | $600 \text{ mm}$ $\phi$<br>$23 \text{ m}$ to $25 \text{ m}$ | Boring<br>(obstruction)           | N/R  | 0.7                          | 9.5            | $\overline{5}$   | 3.7            |                  |            | Measured on<br>retained facades                   |
|      | EC <sub>3</sub>                | soil/ballast<br>becoming dense<br>with stones/                            | depth   | Boring (stones)<br>Driving casing | N/R<br>$\rm N/R$   | 8<br>0.7                     | 8.9<br>11.5    | $\bf 5$          | 4.5            | $8^{91}$         | $4.9^{91}$ | Different pile<br>position                        |
|      |                                | London clay<br>below 8.7 m  |   |                                   |  |                              |                |                  |                |                  |            |   |

**Table 3 — Summary of case history data on vibration levels measured during impact bored piling (tripod)**

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### **Table 3 — Summary of case history data on vibration levels measured during impact bored piling (tripod)**

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| Ref.               | Year and                       | Soil conditions   | Pile   | Mode  | Measured peak particle velocity (p.p.v.) at various plan distances  |                                       |                       |                      |                   |                  |                   | Remarks  |
|--------------------|--------------------------------|---|--|---|---|---------------------------------------|-----------------------|----------------------|-------------------|------------------|-------------------|--|
| No.                | location                       |   | dimensions   |   | Theoretical<br>energy per<br>blow   | Plan<br>distance                      | p.p.v.                | Plan<br>distance     | p.p.v.            | Plan<br>distance | p.p.v.            |  |
|                    |                                |   |  |   |   | m                                     | mm/s                  | ${\bf m}$            | mm/s              | m                | mm/s              |  |
| $18 \bullet$       | 1981 London SE1                | Made<br>ground/peat/<br>Thames ballast/<br>London clay<br>below 10 m                | $500 \text{ mm}$ $\phi$<br>6 m depth with<br>enlarged base                               | Driving tube<br>Enlarging base                    | N/R   | 20<br>20                              | 2.7<br>3.6            | 100<br>100           | 0.96<br>1.4       |                  |                   | Bottom-driven  |
| $19 \triangleleft$ | 1982 London<br>SW <sub>6</sub> | Fill/ballast/<br>London clay  | $500 \text{ mm}$ $\phi$<br>$4 \text{ m}$ to $7 \text{ m}$<br>depth with<br>enlarged base | Driving tube<br>Expelling plug<br>Enlarging base  | N/R<br>$\ensuremath{\text{N}}\xspace/\ensuremath{\text{R}}\xspace$<br>N/R   | 30<br>30<br>30                        | 2.3<br>$2.6\,$<br>2.3 |                      |                   |                  |                   | Bottom-driven  |
| $20 \triangleleft$ | 1983 Aylesbury<br>(Bucks)      | Fill/soft<br>material/clay<br>becoming stiff  | $450$ mm $\phi$<br>10 m depth with<br>enlarged base                                      | Driving tube<br>Expelling plug<br>Enlarging base  | $\ensuremath{\text{N}}\xspace/\ensuremath{\text{R}}\xspace$<br>$\ensuremath{\text{N}}\xspace/\ensuremath{\text{R}}\xspace$<br>N/R | 4<br>$\overline{4}$<br>$\overline{4}$ | 8.4<br>6.1<br>4.0     | 20<br>20<br>20       | 5.0<br>4.8<br>4.4 |                  |                   | Bottom-driven  |
| $21 \bullet$       | 1983 Aldershot<br>(Hants)      | Dense fine sand   | $450$ mm $\phi$<br>approx 6 m<br>depth   | Driving tube                                      | 58.9 kJ   | 120                                   | 1.0                   |                      |                   |                  |                   | Tube driven open<br>ended initially to<br>remove some sand<br>prior to driving with<br>shoe top-driven |
| $22 \bullet$       | 1983 Horsham<br>(W. Sussex)    | Peaty, silty<br>alluvia over<br>shale and<br>sandstone                              | $350 \text{ mm}$ $\phi$<br>$7.5 \text{ m}$ to $8 \text{ m}$<br>depth                     | Driving tube<br>Extracting tube                   | 38.8 kJ   | 21<br>21                              | 2.9<br>3.2            | 28<br>28             | 2.7<br>3.9        | 35<br>35         | 2.4<br>3.1        | Top-driven   |
| $23 \bullet$       | 1983 Redhill<br>(Surrey)       | Dense fine sand<br>with ironstone<br>bands  | $450$ mm $\phi$<br>8 m depth (max)<br>$(6 \text{ m} \text{ average})$                    | Driving tube<br>Expelling plug                    | $\ensuremath{\text{N}}\xspace/\ensuremath{\text{R}}\xspace$   | 22.5                                  | 3.1                   | 43<br>43             | 1.1<br>1.25       |                  |                   | Bottom-driven.<br>computer etc. in<br>adiacent building  |
| 24 $\bullet$       | 1984 Weymouth<br>(Dorset)      | $2\text{ m}$ to $3\text{ m}$ thick<br>crust of sands<br>and gravel over             | 350 mm $\phi$<br>15 m depth<br>Some with   | Driving tube<br>open ended                        | 47.1 kJ   | 8.5                                   | 6.1                   | 13                   | 3.6               |                  |                   | Top-driven   |
|                    |                                | astuarial silty<br>clay becoming<br>firmer at greater<br>depth                      | enlarged base  | Driving tube<br>with shoe<br>Extracting tube      | 47.1 kJ   | 8.5<br>8.5                            | 8.3<br>2.9            | 13<br>25             | 4.4<br>2.1        |                  |                   |  |
|                    |                                |   |  | Enlarging base                                    |   | 25                                    | $2.2\,$               |                      |                   |                  |                   |  |
| $25 \triangleleft$ | 1984 Cambridge<br>(Cambs.)     | 4.75 m to 6.75 m<br>loose fill over<br>gault clay<br>becoming stiffer<br>with depth | 350 mm $\phi$<br>$10 \text{ m}$ to $11 \text{ m}$<br>depth with<br>enlarged base         | Driving tube<br>Enlarging base<br>Extracting tube | 47.1 kJ   | 13<br>13<br>13                        | 5.6<br>4.9<br>4.6     | $\bf 22$<br>22<br>22 | 3.1<br>1.9<br>2.5 | 34<br>34<br>34   | 2.6<br>1.1<br>1.6 | Top-driven, sensitive<br>equipment in<br>adjacent building   |

**Table 4 — Summary of case history data on vibration levels measured during driven cast-in-place piling (drop hammer)**



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### **Table 4 — Summary of case history data on vibration levels measured during driven case-in-place piling (drop hammer)**

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### **Table 5 — Summary of case history data on vibration levels measured during dynamic consolidation**

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| Ref.         | Year and                 | Soil conditions   | Tamping | Mode             | Measured peak particle velocity (p.p.v.) at various plan distances |                  |            |                  |        |                  |        | Remarks           |
|--------------|--------------------------|---|---------|------------------|--|------------------|------------|------------------|--------|------------------|--------|-------------------|
| No.          | location                 |   | weight  |                  | <b>Theoretical</b><br>energy per<br>blow                           | Plan<br>distance | p.p.v.     | Plan<br>distance | p.p.v. | Plan<br>distance | p.p.v. |                   |
|              |                          |   |         |                  |  | m                | mm/s       | m                | mm/s   | ${\bf m}$        | mm/s   |                   |
| $49 \bullet$ | 1985 Gravesend<br>(Kent) | Old domestic fill $ 8 $<br>including bottles<br>overlying<br>Thanet sands<br>and chalk.<br>Depth of<br>fill $1.5 \text{ m}$ to $6 \text{ m}$    |         | Pass 1<br>Pass 2 | $1.26 \mathrm{MJ}$<br>$1.26$ MJ                                    | 50<br>50         | 2.8<br>2.6 |                  |        |                  |        |                   |
| $50 \bullet$ | 1985 Preston<br>(Lancs)  | Old brickworks<br>clay pit<br>backfilled with<br>loose ash,<br>bottles, etc.<br>Depth of fill 1 m<br>to $5.5 \text{ m}$                         | 15      | Pass 1<br>Pass 2 | 2.94 MJ<br>1.47 MJ   | 38<br>38         | 6.5<br>8.1 |                  |        |                  |        | Fill very shallow |
| $51 \bullet$ | 1985 Exeter<br>(Devon)   | Old quarry<br>backfilled with<br>rubble, clays and<br>miscellaneous<br>waste overlying<br>hard shale.<br>Depth of fill 4 m<br>to $12 \text{ m}$ | 8       | Pass 1           | $1.26$ MJ  | 30               | 4.2        |                  |        |                  |        |                   |

**Table 5 — Summary of case history data on vibration levels measured during dynamic consolidation**

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### **Table 6 — Summary of case history data on vibration levels measured during vibroflotation/vibroreplacement**

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### **Table 6 — Summary of case history data on vibration levels measured during vibroflotation/vibroreplacement**

**BS 5228-4:1992**



### **Table 6 — Summary of case history data on vibration levels measured during vibroflotation/vibroreplacement**

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| Ref.         | Year and   | Soil conditions   | Depth of       | Mode     |                              | Measured peak particle velocity (p.p.v.) at various plan distances |                  |            |                  |            | Remarks          |         |                             |
|--------------|--|---|----------------|----------|------------------------------|--|------------------|------------|------------------|------------|------------------|---------|-----------------------------|
| No.          | location   |   | treatment      |          |                              | Theoretical<br>energy per<br>cycle                                 | Plan<br>distance | p.p.v.     | Plan<br>distance | p.p.v.     | Plan<br>distance | p.p.v.  |                             |
|              |  |   | m              |          |                              | kJ   | m                | mm/s       | m                | mm/s       | m                | mm/s    |                             |
| 80           | 1983 Oxford                                      | Miscellaneous fill<br>over weak cohesive                                    | 2.2            | Airflush | $\mathbf{P}$                 | 3.0  | 1.9              | 7.6        | $\overline{4}$   | 2.4        | 10.5             | 1.1     | Cut-off trench              |
|              | (Oxon)   | soil over gravel  |                |          | $\mathbf C$                  | 3.0  | 1.9              | 6.9        | $\overline{4}$   | 2.3        | 10.5             | 0.55    |                             |
| 81           | 1983 London E1                                   | Demolition rubble   | 1.5 to 2.5     | Airflush | $\, {\bf P}$                 | 3.0  | 18               | 0.75       | 26               | 0.44       | $32\,$           | 0.15    | Sensitive industrial        |
|              |  | and other fill over<br>gravel   |                |          | $\mathbf C$                  | 3.0  | 18               | 0.76       | 26               | 0.62       | $32\,$           | 0.15    | processes nearby            |
| 82           | 1984 London                                      | Brick rubble fill over  | 2.5 to 3       | Airflush | $\mathbf P$                  | 3.0  | 3.5              | 12.6       | 5                | 10.7       | 18               | $1.6\,$ | Measured on                 |
|              | SW <sub>6</sub>                                  | clavey sand and<br>sands and gravels  |                |          | $\mathbf C$                  | 3.0  | 3.5              | 16.5       | $\overline{5}$   | 10.3       | 18               | 1.7     | service pipes               |
| 83 $\bullet$ | 1984 Gravesend<br>(Kent)                         | Ash. brick and<br>demolition, rubble<br>backfilled into old<br>basements    | $2.5$ to $3$   | Airflush | $\mathbf P$<br>$\mathcal{C}$ | 3.0<br>3.0   | 8<br>8           | 2.4<br>2.1 | 14<br>14         | 1.2<br>0.9 |                  |         |                             |
| 84           | 1985 Dudley                                      | Granular fill over  | $2.5$ to $4$   | Airflush | $\mathbf{P}$                 | 3.0  | 3.5              | 7.4        | 6                | 5.4        | 15               | 1.4     | Cut-off trench,             |
|              | (W. Midlands)                                    | clay over black coal<br>shale   |                |          | $\mathbf C$                  | 3.0  | 3.5              | 5.5        | 6                | 2.7        |                  |         | measured on<br>service pipe |
| $85 \bullet$ | 1985<br>Birmingham<br>Bordesley<br>(W. Midlands) | Miscellaneous fill<br>over stiff clay                                       | 2 to 2.5       | Airflush | $\mathbf P$<br>$\mathcal{C}$ | 3.0<br>3.0   | 3.5<br>3.5       | 7.7<br>4.2 |                  |            |                  |         | Cut-off trench              |
| 86 $\bullet$ | 1985 Hull<br>(N. Humberside)                     | Miscellaneous fill<br>over dense loamy<br>sand                              | $\overline{4}$ | Airflush |                              | 3.0  | 12               | 8.1        |                  |            |                  |         |                             |
| $87 \bullet$ | 1985 Worcester<br>(Hereford and<br>Worcester)    | Fill including sands,<br>rubble and porcelain<br>waste over dense<br>gravel | 3              | Airflush | $\mathbf{P}$                 | 3.0  | 9                | 5.5        | 13               | 3.3        | 26               | $1.2\,$ | Cut-off trench              |

**Table 6 — Summary of case history data on vibration levels measured during vibroflotation/vibroreplacement**

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### **Table 7 — Summary of case history data on vibration levels measured during the use of casing vibrators**

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| Ref.          | Year and                                | Soil conditions   | Pile dimensions   | Mode                                | Measured peak particle velocity (p.p.v.) at various plan distances |                  |             |                  | Remarks           |                  |        |  |
|---------------|---|---|---|-------------------------------------|--|------------------|-------------|------------------|-------------------|------------------|--------|--|
| No.           | location                                |   |   |                                     | <b>Theoretical</b><br>energy per<br>cycle                          | Plan<br>distance | p.p.v.      | Plan<br>distance | p.p.v.            | Plan<br>distance | p.p.v. |  |
|               |   |   |   |                                     | kJ   | m                | mm/s        | m                | mm/s              | m                | mm/s   |  |
| 98            | 1984 Barrow-in-<br>Furness<br>(Cumbria) | Hydraulically<br>placed sand<br>fill/boulder clay<br>marl | $1350$ mm $\phi$<br>8 m depth<br>concentric with<br>$1200$ mm $\phi$<br>$17.5 \text{ m depth}$<br>permanent liner | Driving-outer<br>casing             | 26.1<br>15.35  | 19<br>19         | 13.1<br>9.2 |                  |                   |                  |        | Warning up 10 Hz<br>17 <sub>Hz</sub>                         |
| $ 99 \bullet$ | 1985 Hatfield<br>(Herts)                | Clay over<br>gravels                                      | $190 \text{ mm}$ $\phi$<br>15 m depth anchor<br>casing  | Driving casing<br>Extracting casing | 1.25<br>1.25   | 11<br>8          | 0.8<br>1.3  | 11               | $\vert 0.8 \vert$ |                  |        | Anchor casings<br>driven at 30 <sup>°</sup> to<br>horizontal |

**Table 7 — Summary of case history data on vibration levels measured during the use of casing vibrators**

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### **Table 8 — Summary of case history data on vibration levels measured during rotary bored piling (including casing dollies)**

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### **Table 10 — Summary of case history data on vibration levels measured during driven sheet steel piling**

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| Ref.                | Year and                                     | Soil conditions                                  | Pile                        | Mode                            | Measured peak particle velocity (p.p.v.) at various plan distances |                                  |                      |                  |        |                  |        | Remarks                          |
|---------------------|--|--|-----------------------------|---------------------------------|--|----------------------------------|----------------------|------------------|--------|------------------|--------|----------------------------------|
| No.                 | location                                     |  | dimensions                  |                                 | Theoretical<br>energy per<br>blow                                  | Plan<br>distance                 | p.p.v.               | Plan<br>distance | p.p.v. | Plan<br>distance | p.p.v. |                                  |
|                     |  |  |                             |                                 | kJ   | m                                | mm/s                 | m                | mm/s   | m                | mm/s   |                                  |
| $C12 \rightarrow$   | 1978 Hazel<br>Grove (Greater<br>Manchester   | Stiff clay/dense<br>sand including<br>clay bands | Frodingham 2 N              | Drop hammer<br>driving sheets   | 19.9   | 11                               | 16                   | 26               | 12.5   | 54               | 2.6    |                                  |
| $C13 \rightarrow$   | 1978 Oldham<br>(Greater)<br>Manchester)      | N/R  | N/R                         | Diesel hammer<br>driving sheets | N/R  | 60                               | $2.5 +$              |                  |        |                  |        | Vertical                         |
| C14                 | N/R Cambridge<br>(Cambs)                     | Loose to medium<br>sands over clay               | N/R                         | Driving sheets                  | $\mathrm{N}/\mathrm{R}$  | $\overline{2}$<br>$\overline{2}$ | 10<br>$\overline{2}$ |                  |        |                  |        | Vertical<br>Horizontal           |
| $C15$ $\rightarrow$ | 1979 Molesey<br>(Surrey)                     | Gravel over<br>London clay                       | N/R                         | Diesel hammer<br>driving sheets | N/R  | 5<br>5                           | 13.5<br>40.4         |                  |        |                  |        | on bungalow<br>on ground surface |
| C16                 | 1979 Rochdale<br>(Greater)<br>Manchester)    | N/R  | N/R                         | Driving sheets                  | $\rm N/R$  | 6                                | 1.9                  |                  |        |                  |        |                                  |
| C17                 | N/R Cambridge<br>(Cambs)                     | Fill/sand and<br>gravel/gault clay               | Frodingham 1 B<br>6 m depth | Drop hammer<br>driving sheets   | 13.5   | -1                               | $9.1*$               |                  |        |                  |        |                                  |
| C18                 | 1980 Newton<br>Heath (Greater<br>Manchester) | N/R  | N/R                         | Driving sheets                  | N/R  | 300                              | 0.015                |                  |        |                  |        | Vertical                         |
| C19                 | 1981 Denton<br>(Greater)<br>Manchester)      | Firm sandy<br>glacial till                       | 14 m depth                  | Diesel hammer<br>driving sheets | N/R  | 0.9                              | 15                   |                  |        |                  |        | Vertical                         |

**Table 10 — Summary of case history data on vibration levels measured during driven sheet steel piling**

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**Table 11 — Summary of case history data on vibration levels measured during driving of bearing piles**

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### **Table 11 — Summary of case history data on vibration levels measured during driving of bearing piles**

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| Ref.                      | Year and                                   | Soil conditions   | Pile                                     | Mode  | Measured peak particle velocity (p.p.v.) at various plan distances |                                  |             |                  |        |                  |        | Remarks        |
|---------------------------|--|---|--|---|--|----------------------------------|-------------|------------------|--------|------------------|--------|----------------|
| No.                       | location                                   |   | dimensions                               |   | <b>Theoretical</b><br>energy per<br>blow                           | Plan<br>distance                 | p.p.v.      | Plan<br>distance | p.p.v. | Plan<br>distance | p.p.v. |                |
|                           |  |   |  |   | kJ   | m                                | mm/s        | m                | mm/s   | m                | mm/s   |                |
| $C44 \triangleleft$       | N/R Bridlington<br>(Humberside)            | 4 m to 5 m soft<br>saturated sand<br>over soft to firm<br>clay  | Sheet steel<br>piling.<br>dimensions N/R | Driving or<br>extracting                                  | N/R  | 6                                | 2.6         | 8                | 2.2    |                  |        | 27.5 Hz        |
| C <sub>45</sub> $\bullet$ | N/R Glasgow<br>Cowcaddens<br>(Strathclyde) | 3 m fill, blaes,<br>clay and<br>boulders over<br>8 m soft to firm<br>silty clay over<br>sandstone                                   | $450$ mm $\phi$<br>casing, depth<br>N/R  | Driving casing  | 2.18 to 3.15   | 13                               | $1.4*$      |                  |        |                  |        | 25 Hz          |
| $C46 \rightarrow$         | N/R New Haw                                | $1 \text{ m}$ fill/8 m to<br>12 m dense fine  | Casing<br>dimensions N/R                 | Driving casing  | N/R  | $\overline{7}$                   | 44          | 10               | 23.5   | 17.5             | 18.5   | $25$ Hz        |
|                           | (Surrey)                                   | and medium<br>sand with silty<br>clay lenses<br>$(Bagshot)$ ,<br>Claygate beds,<br>London clay                                      |  | Extracting casing   | N/R  | $\overline{7}$                   | 53          | 15               | 27     | 25               | 2.9    | 25 Hz          |
| C <sub>47</sub>           | 1968 Drax<br>(N. Yorks)                    | N/R   | N/R                                      | Warming up to<br>drive pile<br>(Resonant pile)<br>driver) | N/R  | $\overline{2}$                   | 10 to<br>15 |                  |        |                  |        | 70 Hz to 80 Hz |
| $C48 \triangleleft$       | 1968 Hastings<br>(E. Sussex)               | 4 m clay/8 m<br>peat/2.5 m<br>clay/1 m sandy<br>silt with<br>gravel/6 m stiff<br>clay (Hastings)<br>beds)/mudstone<br>and siltstone | N/R                                      | Resonant pile<br>driver                                   | N/R  | 6                                | 2.5         |                  |        |                  |        | 70 Hz to 80 Hz |
| $C49 \triangleleft$       | 1972 London<br>EC4                         | Sand and gravel<br>over London clay   | N/R                                      | Driving pile  | 2.18 to 3.15   | 10                               | 0.55        |                  |        |                  |        | $25$ Hz        |
| $C50 \triangleleft$       | 1975 Milngavie<br>(Strathclyde)            | N/R   | casings.<br>dimensions N/R               | Driving casing<br>Extracting casing                       | N/R<br>N/R   | $\overline{5}$<br>$\overline{5}$ | 2.5<br>2.0  |                  |        |                  |        | 27.5 Hz        |

**Table 12 — Summary of case history data on vibration levels measured during use of vibratory pile drivers**



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### **Table 12 — Summary of case history data on vibration levels measured during use of vibratory pile drivers**

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| BSI 02-1999 | Ref.<br>No.    | Year and<br>location                                 | Soil conditions  | Pile<br>dimensions  | Mode   |  |                  |                  | distances                            | Measured peak particle velocity (p.p.v.) at various plan |                  |                    | Remarks   |
|-------------|----------------|--|--|---|--|--|------------------|------------------|--------------------------------------|--|------------------|--------------------|---|
|             |                |  |  |   |  | <b>Theoretical</b><br>energy per<br>blow | Plan<br>distance | p.p.v.           | Plan<br>distance                     | p.p.v.   | Plan<br>distance | p.p.v.             |   |
|             |                |  |  |   |  | kJ                                       | ${\bf m}$        | mm/s             | ${\bf m}$                            | mm/s   | ${\bf m}$        | mm/s               |   |
|             | M1             | c1970 London<br>$\rm WC2$                            | $0.3$ m fill/ $0.8$ m<br>clay and<br>gravel/3.6 m<br>dense sand and<br>gravel/stiff<br>London clay<br>including clay<br>stones | Impact bored<br>(tripod) pile<br>dimensions N/R                                 | Driving casing<br>Boring gravel                          | 4.25<br>4.25                             | 2.7<br>2.7       | $3.1*$<br>$1.0*$ | 4.3                                  | 0.6  |                  |                    | Measured at footings<br>adjacent to old listed<br>timber framed<br>building               |
|             | M2             | 1971 Bristol<br>(Avon)                               | Soft clays over<br>sandstone/marl<br>at 10 m to 11 m<br>depth  | Driven steel<br>H-piles<br>$305$ mm $\times$<br>$305$ mm $\times$<br>12 m depth | Drop hammer<br>driving piles                             | 35.7<br>35.7                             | 1.5<br>1.5       | 68.4*<br>$48.8*$ | $\boldsymbol{3}$<br>$\boldsymbol{3}$ | $50.2*$<br>$39.4*$                                       | 4.6<br>4.6       | $37.7*$<br>$20.6*$ | 4 t hammer 0.9 m<br>drop,<br>3 t hammer 1.2 m<br>drop, all ground<br>surface measurements |
|             | M3             | 1971 Stevenage<br>(Herts)                            | Medium dense<br>sands and gravels  | Bottom driven<br>cast-in-place<br>piling  | Drop hammer<br>driving pile<br>tube                      | 127                                      | $\,3$            | $116*$           | 6.1                                  | $30.3*$  | 9.1              | $25.1*$            | Ground surface<br>measurements  |
|             | M <sub>4</sub> | 1986 Reading<br>(Berks)                              | 5 m granular fill<br>and medium<br>dense sands and<br>gravels over<br>chalk  | Open ended<br>casing 610 mm<br>O.D. 10 m depth                                  | Hydraulic<br>vibrator<br>PTC 25H2<br>$(27.5 \text{ Hz})$ | 7.08 <sub>per</sub><br>cycle             | 8<br>8           | 5.8<br>7.2       | 11.5<br>11.5                         | 3.8<br>5.6   | 16<br>16         | 2.9<br>3.0         | On sewer 6.5 m below<br>ground level Ground<br>surface measurements                       |
|             | M5             | 1982 Edinburgh<br>(Lothian)                          | Fill and clay over<br>sands and gravels  | Driven precast<br>$\frac{1}{15}$ m to 21 m<br>depth                             | Drop hammer<br>driving piles                             | 26.5 to 44.1                             | 8                | 23.7             | 16                                   | 7.4  | 32               | 2.7                | Ground surface<br>measurements  |
|             | M6             | 1982 Linlithgow<br>(Lothian)                         | Softish ground<br>unspecified  | Driven precast<br>concrete piles<br>12 m depth                                  | Drop hammer<br>driving piles                             | 15.5 to 30.9                             | 18               | 13.4             | 16                                   | 4.4  | 32               | 1.5                | Ground surface<br>measurements  |
|             | M7             | 1982 Ulceby<br>(Humberside)<br>File de O'Estate Peru | 1.5 m crushed<br>and rolled<br>limestone over<br>cohesive soils<br>over limestone or<br>chalk                                  | Driven precast<br>concrete piles<br>18 m depth                                  | Drop hammer<br>driving piles                             | 26.5 to 44.1                             | 8                | 18.6             | 16                                   | 6.6  | 32               | 1.3                | Ground surface<br>measurements  |

**Table 13 — Summary of miscellaneous case history data on vibration levels measured during piling and kindred operations**

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### **Appendix D Examples of record sheets**

This appendix does not form part of this British Standard.

Investigators of piling vibrations may find the example pro forma record sheets in Figure 4 and Figure 5 helpful in formulating their own site record sheets. Figure 4 and Figure 5 are based on models extensively used by the University of Durham whose permission to publish them in this appendix is duly acknowledged.





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### **Appendix E Bibliography**

NOTE See also "Publications referred to".

### **E.1 Publications relating to section 2**

Publications relating to section 2 include the items listed in Appendix E of BS 5228-1:1984 together with the following.

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### **E.2 Publications relating to section 3**

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# **Publication(s) referred to**

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BS 5228-1, *Code of practice for basic information and procedures for noise control.* 

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BS 6841, *Guide to measurement and evaluation of human exposure to whole-body mechanical vibration and repeated shock.* 

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