

BS ISO 18774:2015



BSI Standards Publication

Securities and related financial instruments — Financial Instrument Short Name (FISN)

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National foreword

This British Standard is the UK implementation of ISO 18774:2015.

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A list of organizations represented on this committee can be obtained on request to its secretary.

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**Securities and related financial
instruments — Financial Instrument
Short Name (FISN)**

*Valeurs mobilières et instruments financiers connexes — Nom court
de l'instrument financier (FISN)*



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Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the WTO principles in the Technical Barriers to Trade (TBT), see the following URL: [Foreword — Supplementary information](#).

The committee responsible for this document is ISO/TC 68, *Financial services*, SC 4, *Securities and related financial instruments*.

Introduction

The Financial Instrument Short Name (FISN) code has been developed to provide a consistent and uniform approach to standardize short descriptions for financial instruments. It aims to harmonize existing market practices which are in use on a national and individual entity level.

With the growth of cross-border trading, the requirement to improve communication of information among market participants has become critical. A worldwide implementation of Straight Through Processing in the securities business requires the use of complementary standardized data elements. For instance, ISO 6166 (ISIN) and ISO 10962 (CFI) are key information elements for identifying and classifying financial instruments, and FISN aids human-readability.

The market players of the securities industry usually report on securities transactions by using short descriptions of the involved financial instruments. Currently, market data vendors, banks and securities exchanges describe financial instruments in their databases using their own short names. The names vary in length and also with regard to the attributes composing them and their sequence. The fact that they are defined in local languages limits their use to the local area.

Besides the requirements of Straight Through Processing there are other developments which make the use of standardized short names an urgent issue such as the internet technology which has allowed the growth of e-issuing, e-trading and e-settlements. The prices reported on websites are usually accompanied by short names of the involved securities. Screens limit the space available for the different data elements displayed and especially for short names. Therefore, a standardization of the short name's length, structure and contents will be a benefit for communication and processing purposes. In addition, the retail investor is more likely to identify his securities by using the instrument short name, rather than any identification number.

The solution envisaged consists of using a standard maximum length as well as a standardized structure of the field. The issuer short name which builds the first element (beginning from the left) is followed by a set of characteristics of the financial instrument.

The development of these codes will encourage market participants to take advantage of other ISO standards, particularly ISINs (ISO 6166) and Securities Messages to simplify the communication process among market participants and increase the efficiency, reliability, data consistency and transparency of financial services transactions and reference data. Describing financial instruments in a structured and standardized way could also be beneficial for regulatory reporting.

The improved identification of financial instruments will lead to a better understanding by investors, giving them more confidence to make investment decisions and leading to more active markets. This, in turn, will result in improved market liquidity.

Securities and related financial instruments — Financial Instrument Short Name (FISN)

1 Scope

This International Standard defines and describes rules for an internationally valid system for building short names of any kind of financial instrument within a defined structure.

This International Standard is intended for use in any application in the trading and administration of securities globally.

The FISN has been developed after taking into account the need of human-readability as well as interoperability with existing standards and systems.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 8859-1, *Information technology — 8-bit single-byte coded graphic character sets — Part 1: Latin alphabet No. 1*

3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

3.1

issuer short name

abbreviation of the official issuer name, limited to a maximum of 15 alphanumeric characters

3.2

abbreviation of securities terms

short version of terms used in the securities business processes, specifically in bank reports, pricing, instructions, event notifications, etc.

3.3

instrument description

collection of characteristics and attributes defining a financial instrument

4 Conventions and principles

The FISN has a maximum length of 35 alphanumeric characters as specified in ISO 8859-1. In order to create FISNs that fit into the defined maximum length, certain terms need to be abbreviated. Rules and guidelines are provided to specify the data elements, sequence, delimiters and punctuation related to the FISN. It consists of abbreviations of securities terms and issuer names which are necessary components of the FISN structure. The Registration Authority (RA) for this International Standard is responsible for the allocation and maintenance of the abbreviations list.

The FISN is composed of the following:

- issuer short name with a maximum length of 15 alphanumeric characters. In the case of collective investment vehicles and derivatives, the issuer short name can be extended beyond 15 alphanumeric characters up to the maximum length of the FISN (see [5.3](#) and [5.5](#));

- “/” as the delimiter between the issuer short name and the instrument description, abbreviations forming the FISN must be separated by blanks where required;
- instrument description with a maximum length up to 19 alphanumeric characters, assuming that the available length of the issuer short name has been fully used including the delimiter (“/”). In the event that all characters have not been used in the issuer short name, the remaining space may be used to describe the characteristics of the financial instrument.

In the event that only preliminary information is available, the abbreviation shall be distributed and updated as more complete information becomes available.

EXAMPLE News America Inc. — 7.3 % Debenture 1998–30.4.2028 Guaranteed Senior, ISIN US652482AJ95.

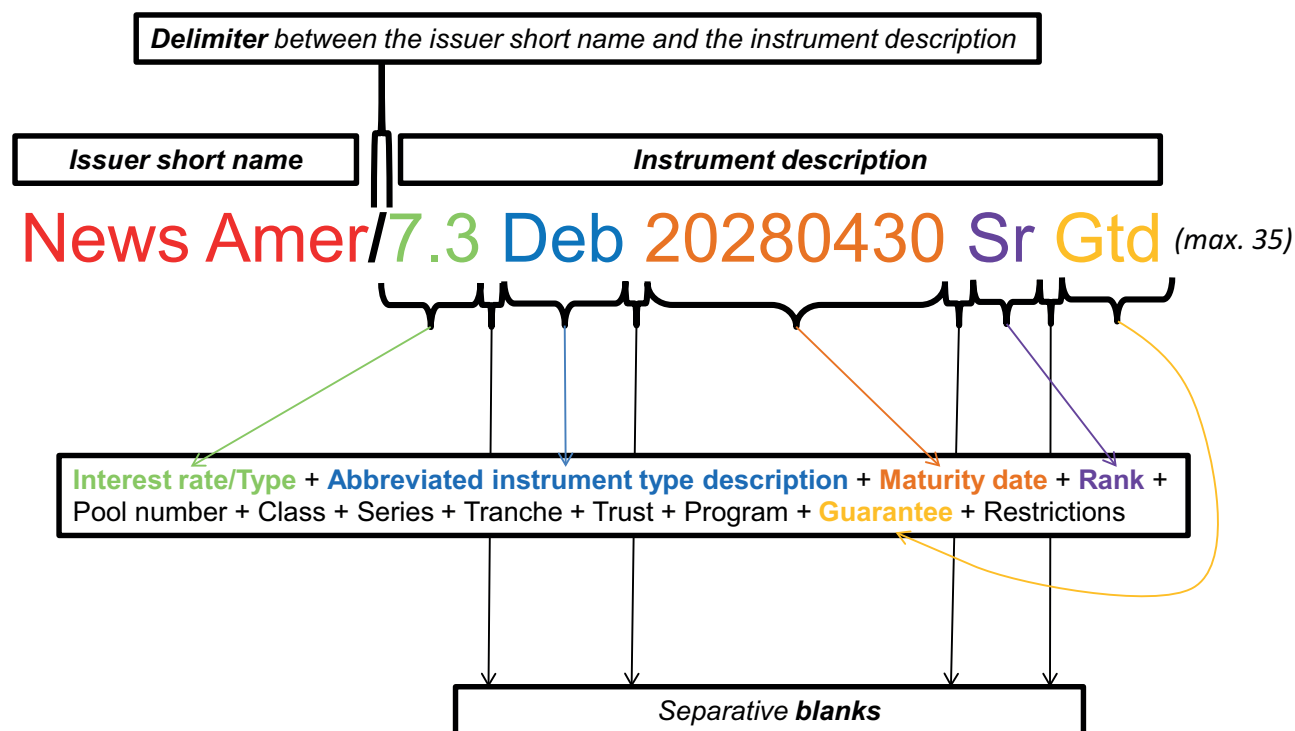


Figure 1 — FISN example

5 Structures of the instrument description

5.1 General

For the purposes of this International Standard, the following structures reflect all possible attributes as being potential components per type of financial instrument. In most cases, not all of them will apply to the same instrument. However, the indicated sequence shall be applied.

5.2 Debt

For debt groups the sequence of the components considered critical will be as follows:

Interest rate/Type + Abbreviated instrument type description + Maturity date + Rank + Pool number + Class + Series + Tranche + Trust + Program + Guarantee + Restrictions.

EXAMPLE If the security type description has two characters left, and the next component in the sequence is “Rank”, then this component is incorporated within the financial security short name structure to allocate a maximum of 35 characters. If the information relating to “Rank” does not exist, then “Pool number” will be used accordingly.

Interest rate/Type will consist of the interest rate, which could be either numeric or alphanumeric. The percent sign will not be included. The decimal point will be used in the interest rate.

The abbreviated instrument type description will be in accordance with the abbreviations list.

Maturity date will consist of eight characters, which will include YYYYMMDD. In the event that the security is perpetual, the associated abbreviation will be used.

5.3 Equity

Equity groups shall have the following structure and sequence of components that are critical for equity securities:

Shares: Abbreviated instrument type description + Non-voting + Class + Series + Partly paid + Restrictions + Par value.

Depository receipts: Abbreviated instrument type description [e.g. S (for Sponsored) ADR (American Depository Receipt), GDR (Global Depository Receipt), etc.] + Restrictions.

Depository shares: Abbreviated instrument type description + Class + Series.

Preferred/Preference shares: Interest rate/Dividend amount + Characteristics (Cumulative, Convertible, Exchangeable, Redeemable) + Abbreviated instrument type description (Preference, Preferred, Preferred share of beneficial interest) + Class + Series.

Collective investment vehicles: Abbreviated instrument type description + Class + Series + Currency.

The Class/Series/Currency characteristics must be included in case they exist and are relevant. In the event that they do not exist, the remaining space may be used for the issuer short name up to the maximum length of the FISN.

The issuer short name will consist of the collective investment vehicle name.

Limited partnership: Abbreviated instrument type description + Nil/Partly/Fully paid + Class + Series + Currency.

5.4 Entitlements

For entitlements, the sequence of the components considered critical will be as follows:

Rights: Abbreviated instrument type description + Expiration date (YYYYMMDD) + Nil/Fully paid + Underlying (limit issuer name, commodity, index, currency, etc. to six characters).

In the event that the underlying issuer is the same as the issuer of the rights, the issuer name should not appear in the instrument description.

Warrants: Warrant type (for instance: Call or Put, using the appropriate abbreviation) + Abbreviated instrument type description + Underlying (limit issuer name, commodity, index, currency, etc. to six characters) + Strike price + Expiration date (YYYYMMDD) + Class + Restriction.

In the event that the underlying issuer is the same as the issuer of the warrants, the issuer name should not appear in the instrument description.

5.5 Derivatives

In the event that all characters have not been used to describe the characteristics of the derivative instrument, the remaining space may be used for the issuer short name up to the maximum length of the FISN.

The critical elements and sequence will be as follows:

Options: Abbreviated instrument type description (O for Option) + Expiration date + Type of option (Call or Put) + Option style (for instance: European or American, using the appropriate abbreviation) + Underlying (limit issuer name, commodity, index, currency, etc. to six characters) + Strike price.

Futures: Abbreviated instrument type description (F for Future) + Expiration date + Underlying (limit issuer name, commodity, index, currency, etc. to six characters).

Options on Futures: Abbreviated instrument type description (O for Option) + Expiration date + Call/Put + Option style (for instance: European or American, using the appropriate abbreviation) + Underlying (preceded by F- for Future) (limit issuer name, commodity, index, currency, etc. to six characters) + Strike price.

Futures on Options: Abbreviated instrument type description (F for Future) + Expiration date + call/put + Underlying (preceded by O- for Option) (limit issuer name, commodity, index, currency, etc. to six characters) + Strike price.

Maturity date will consist of eight characters, which will include YYYYMMDD. However, it is acceptable to omit the day (DD) in those cases when considered not relevant.

5.6 Structured Products

For structured products, the sequence of the components considered critical will be as follows:

Interest rate/Type + Abbreviated instrument type description + Maturity date + Underlying (limit issuer name, commodity, index, currency, etc. to six characters) + Barrier type (e.g.: knock in, knock out) + Other characteristics (e.g.: Rank + Pool number + Class + Series + Tranche + Trust + Program + Guarantee + Restrictions).

Interest rate/Type will consist of the interest rate, which could be either numeric or alphanumeric. The percent sign will not be included. The decimal point will be used in the interest rate.

The abbreviated security type description will be in accordance with the abbreviations list.

Maturity date will consist of eight characters, which will include YYYYMMDD. In the event that the security is perpetual, the associated abbreviation will be used.

5.7 Referential Instruments

For referential instruments, the sequence of the components considered critical will be as follows:

Interest rates: Currency + Abbreviated instrument type description + Tenor (e.g.: 3M for three month, 1Y for one year).

Currencies: National currency.

Exchange rates: Cross rate (EUR/USD) or spot (CHF) + Tenor.

Indices (Financial, Economic, etc.): Abbreviated instrument type description + ISO country code (for economic indices).

Commodities: Abbreviated instrument type description + Quality + Quantity (Size/Volume/Weight).

5.8 Others

For other financial instruments the sequence of the components considered critical will be as follows:

Units (combined instruments): Abbreviated instrument type description (Ut for unit) + Components (1 Share + 1 Warrant) + Mandatory separation year (YYYY).

Insurance policies and similar documents: Currency + Abbreviated instrument type description + Maturity date + Contract number.

Loans: Interest rate/Type + Abbreviated instrument type description + Maturity date + Guarantee.

Repos/Securities lending and borrowing: Abbreviated instrument type description + ISO country code of the basket creator.

6 Responsibilities of the Registration Authority (RA)

6.1 General

The name and contact details of the organization acting as RA for this International Standard can be found at: http://www.iso.org/iso/maintenance_agencies.htm#18774.

6.2 Allocation of Financial Instrument Short Names

The Financial Instrument Short Name shall be allocated and maintained by the RA.

6.3 Application for FISN

Applications for the allocation of Financial Instrument Short Names may be submitted to the RA.

6.4 Service provision

The RA is responsible for the provision of the following services:

- a) to promote and make all efforts to ensure the proper use of this International Standard;
- b) to create, maintain and make the abbreviations list available to users;
- c) to support the exchange of FISNs and the provision of the FISN record to users;
- d) to continuously adapt the FISN guidelines, which will be made available on the RA website, to meet the needs of the market;
- e) to respond to enquiries and information requests related to this International Standard in a timely manner.

7 Information and enquiries

Information and enquiries regarding the implementation of this International Standard and the allocation of Financial Instrument Short Names may be addressed to the RA.

Annex A
(informative)

Examples of Financial Instrument Short Names

Table A.1 — Examples of financial instrument short names

| ISIN | Instrument type | Issues short name | Instrument short name | Financial instrument short name | Total characters incl. "/" |
|--------------|-----------------|-------------------|--------------------------|-------------------------------------|----------------------------|
| ES0L01406203 | DEBT | ESTADO | LT 20140620 | ESTADO/LT 20140620 | 18 |
| ES0240609026 | DEBT | CABK | 4.358 OB 20191031 | CABK/4,358 OB 20191031 | 22 |
| ES0313860498 | DEBT | SAB | 3.625 BO 20160412 | SAB/3,625 BO 20160412 | 21 |
| ES0413790256 | DEBT | POP | VAR CEDHIP 20210405 GTIA | POP/VAR CEDHIP 20210405 GTIA | 28 |
| ES0513679XT3 | DEBT | BKT | PAG 20150211 | BKT/PAG 20150211 | 16 |
| IT0004904287 | DEBT | UNICREDIT | TV OBB 20170404 SR 3/13 | UNICREDIT/TV OBB 20170404 SR 3/13 | 33 |
| IT0004935687 | DEBT | UNICREDIT | IND OBB 20190716 SR 2 | UNICREDIT/IND OBB 20190716 SR 2 | 31 |
| IT0004941412 | DEBT | UNICREDIT | TV OBB SUB 20201013 | UNICREDIT/TV OBB SUB 20201013 | 30 |
| IT0004940356 | DEBT | K5 SPV | 5 ABS 20311027 SEN CLA | K5 SPV/5 ABS 20311027 SEN CL A | 30 |
| IT0004511959 | DEBT | UNICREDIT | 4.25 CB 20160729 | UNICREDIT/4.25 CB 20160729 | 26 |
| CH0127181011 | DEBT | Schweiz Eidg | 2 OB 20220525 SR | Schweiz Eidg/2 BD 20220525 SR | 29 |
| DE0001102317 | DEBT | Bund | 1.5 ANL 20230515 sgar | Bund/1.5 ANL 20230515 sgar | 26 |
| DE000A1RE1Q3 | DEBT | Allianz SE | VAR SFN 20421017 NACH ub | Allianz SE/VAR SFN 20421017 NACH ub | 35 |
| DE000DX4W8R7 | DEBT | Deutsche Bank | 5 AAD 20141001 ubes V | Deutsche Bank/5 AAD 20141001 ubes V | 35 |
| US684001AJ90 | DEBT | Opus CDO I Ltd | 4.527 Nt 20500301 | Opus CDO I Ltd/4.527 Nt 20500301 | 32 |
| US073730AG89 | DEBT | Beam Inc | 3.25 Nt 20230615 | Beam Inc/3.25 Nt 20230615 | 25 |
| US071813BG33 | DEBT | Baxter Intl Inc | 4.5 Nt 20430615 Sr | Baxter Intl Inc/4.5 Nt 20430615 Sr | 34 |
| US652482AJ95 | DEBT | News Amer | 7.3 Deb 20280430 Sr Gtd | News Amer/7.3 Deb 20280430 Sr Gtd | 33 |
| ES0113211835 | EQUITY – Shares | BBVA | AC 0,49 | BBVA/AC 0,49 | 12 |

Table A.1 (continued)

| ISIN | Instrument type | Issues short name | Instrument short name | Financial instrument short name | Total characters incl. "/" |
|--------------|---|----------------------------|-----------------------|-------------------------------------|----------------------------|
| ES0118594417 | EQUITY – Shares | IDR | ACA 0,20 | IDR/ACA 0,20 | 13 |
| IT0004781412 | EQUITY – Shares | UNICREDIT | AOR SVN | UNICREDIT/AOR SVN | 17 |
| IT0004194871 | EQUITY – Shares | CAPITOLOTRE | AOR CV CAT B EUR 1 | CAPITOLOTRE/AOR CV CAT B EUR 1 | 30 |
| IT0004068083 | EQUITY – Shares | GBL | AZ SPEC CAT B SVN | GBL/AZ SPEC CAT B SVN | 21 |
| IT0004827496 | EQUITY – Shares | FONDIARIA-SAI | RNC SDV CAT B SVN | FONDIARIA-SAI/RNC SDV CAT B SVN | 31 |
| CH0024899483 | EQUITY – Shares | UBS | NA CHF0.1 | UBS/NA CHF0.1 | 13 |
| CH0012032048 | EQUITY – Shares | Roche Hldg | G OST ON | Roche Hldg/G OST ON | 19 |
| DE000BASF111 | EQUITY – Shares | BASF SE | AKT o.N. | BASF SE/AKT o.N. | 16 |
| DE000DFAG997 | EQUITY – Shares | Dresd.Factor | AKT EO 1 | Dresd.Factor/AKT EO 1 | 21 |
| US5797802064 | EQUITY – Shares | McCormick | Sh Non-Vtg | McCormick/Sh Non-Vtg | 20 |
| US9113121068 | EQUITY – Shares | United Parcel | Sh Cl B | United Parcel/Sh Cl B | 21 |
| US8550301027 | EQUITY – Shares | Staples Inc | Sh | Staples Inc/Sh | 14 |
| US7281151065 | EQUITY – Depository Shares | Plava Laguna | GDR 144A | Plava Laguna/GDR 144A | 21 |
| US7281152055 | EQUITY – Depository Shares | Plava Laguna | GDR RegS | Plava Laguna/GDR RegS | 21 |
| ES0114818042 | EQUITY – Preferred/Preference Shares | CAJAMURCIA PREF | VAR NACU AMTB PPR D | CAJAMURCIA PREF/VAR NACU AMTB PPR D | 35 |
| ES0182834020 | EQUITY – Preferred/Preference Shares | CANTABRIA PREF | VAR NACU AMTB PPR 2 | CANTABRIA PREF/VAR NACU AMTB PPR 2 | 34 |
| IT0004338791 | EQUITY – Preferred/Preference Shares | GRUPPO FERRERO | APL EUR 25 | GRUPPO FERRERO/APL EUR 25 | 25 |
| US1968782011 | EQUITY – Preferred/Preference Shares | Coltec Cap | 5.25 TIDES 144A | Coltec Cap/5.25 TIDES 144A | 26 |
| ES0176936005 | EQUITY – Collective Investment Vehicles | SANTANDER CUMBRE 2018 PLUS | PART B | SANTANDER CUMBRE 2018 PLUS/PART B | 33 |
| ES0105002010 | EQUITY – Collective Investment Vehicles | FONCAIXA AHORRO | PART PLUS | FONCAIXA AHORRO/PART PLUS | 25 |

Table A.1 (continued)

| ISIN | Instrument type | Issues short name | Instrument short name | Financial instrument short name | Total characters incl. “/” |
|--------------|---|----------------------------------|-----------------------|-------------------------------------|----------------------------|
| ES0180704001 | EQUITY – Collective Investment Vehicles | TRENAREZO | AC | TRENAREZO/AC | 12 |
| IT0003624506 | EQUITY – Collective Investment Vehicles | ALLIANZ LIQUIDITA | FCO ARM CL B EUR | ALLIANZ LIQUIDITA/FCO ARM CL B EUR | 34 |
| CH0038340078 | EQUITY – Collective Investment Vehicles | ZITIF 45 | AN CHF B CHF | ZI TIF 45/AN CHF B CHF | 22 |
| DE0007019317 | EQUITY – Collective Investment Vehicles | Deka Invest. | Deka-Finanzwert CL TF | Deka Invest./Deka-Finanzwert Cl TF | 34 |
| DE0008474024 | EQUITY – Collective Investment Vehicles | DWS Invt GmbH | DWS Akkumula | DWS Invt GmbH/DWS Akkumula | 26 |
| US7467782089 | EQUITY – Collective Investment Vehicles | Putnam Glob Hlth Care Fd | SBI Cl B | Putnam Glob Hlth Care Fd/SBI Cl B | 32 |
| US7864261067 | EQUITY – Collective Investment Vehicles | Safeco California Tax Free In Fd | Sh | Safeco California Tax Free In Fd/Sh | 35 |
| US4710235072 | EQUITY – Collective Investment Vehicles | Janus Invt | Venture Fd Sh | Janus Invt/Venture Fd Sh | 24 |
| US8252491050 | EQUITY – Collective Investment Vehicles | Short-term In | MM Ptf Sh Cl A | Short-term In/MM Ptf Sh Cl A | 28 |
| US5929052026 | EQUITY – Collective Investment Vehicles | Met W Fd | Low Duration Bd Fd Sh | Met W Fd/Low Duration Bd Fd Sh | 30 |
| US1566651014 | EQUITY – Collective Investment Vehicles | Century Pty Fd | LP Ut Int Cl XI | Century Pty Fd/LP Ut Int Cl XI | 30 |
| CH0214863109 | ENTITLEMENTS – Warrants | BK Vontobel | C WT GBRA 240140620 | BK Vontobel/ C WT GBRA 240140620 | 32 |
| US45168K1161 | ENTITLEMENTS – Warrants | Idera Pharms | CWt Sh 20180507 | Idera Pharms/CWt Sh 20180507 | 28 |
| US5534771267 | ENTITLEMENTS – Warrants | MRV Comms | CWt Sh 20180712 | MRV Comms/CWt Sh 20180712 | 25 |
| US58436Q1206 | ENTITLEMENTS – Warrants | Medgenics Inc | CWt Sh 20180213 2013A | Medgenics Inc/CWt Sh 20180213 2013A | 35 |
| US62945V1171 | ENTITLEMENTS – Warrants | NV5 Hldgs Inc | CWt Sh 20180327 | NV5 Hldgs Inc/CWt Sh 20180327 | 29 |

Table A.1 (continued)

| ISIN | Instrument type | Issues short name | Instrument short name | Financial instrument short name | Total characters incl. "/" |
|--------------|--|-------------------|--------------------------------|-------------------------------------|----------------------------|
| ES0A00642017 | DERIVATIVES – Options | MEFF | OPC 20151218 VTA TEF 12,00 | MEFF/OPC 20151218 VTA TEF 12,00 | 31 |
| ES0A00648782 | DERIVATIVES – Options | MEFF | OPC 20160617 CPRA SAN 10,00 | MEFF/OPC 20161617 CPRA SAN 10,00 | 32 |
| IT0009990786 | DERIVATIVES – Options | CCG | OPT 201412 C IDX 11000 | CCG/OPT 201412 C IDX 11000 | 26 |
| DE000C0ECTT4 | DERIVATIVES – Options | Eurex | O 202212 C ESTX50 2200,00 | Eurex/O 202212 C ESTX50 2200,00 | 31 |
| ES0B00021211 | DERIVATIVES – Futures | MEFF | F 20161216 IBEX | MEFF/F 20161216 IBEX | 20 |
| DE000F7QW4J4 | DERIVATIVES – Futures | Eurex | F 201512 BASF | Eurex/F 201512 BASF | 19 |
| ES0A00709923 | DERIVATIVES – Options on Futures | MEFF | OPC 20151218 CPRA F-IBEX 15000 | MEFF/OPC 20151218 CPRA F-IBEX 15000 | 35 |
| ES0213679329 | STRUCTURED PRODUCTS | BKT | VAR OBESTR 20180522 REPSOL | BKT/VAR OBESTR 20180522 REPSOL | 30 |
| ES0340609181 | STRUCTURED PRODUCTS | CABK | VAR BOESTR 20160527 IBEX | CABK/VAR BOESTR 20160527 IBEX | 29 |
| IT0004939440 | STRUCTURED PRODUCTS | B IMI | CERT 20160822 ESTX | B IMI/CERT 20160822 ESTX | 24 |
| CH0141502481 | STRUCTURED PRODUCTS | BK Vontobel | 1 SP 20180919 Gold | BK Vontobel/1 SP 20180919 Gold | 30 |
| IT0003491476 | REFERENTIAL INSTRUMENTS – Interest rates | ITALIA | EUR INT BOT 12M | ITALIA/EUR INT BOT 12M | 22 |
| CH0049613703 | REFERENTIAL INSTRUMENTS – Interest rates | SIX | CHF INT TN | SIX/CHF INT TN | 14 |
| CH0002748082 | REFERENTIAL INSTRUMENTS – Currencies | SNB | Schweizer Franken CHF/001 | SNB/Schweizer Franken CHF/001 | 29 |
| ES0S10000005 | REFERENTIAL INSTRUMENTS – Indices | SDAD BOLSAS | IBEX 35 | SDAD BOLSAS/IBEX 35 | 19 |
| IT0003465736 | REFERENTIAL INSTRUMENTS – Indices | BORSA ITALIANA | IDX FTSE MIB | BORSA ITALIANA/IDX FTSE MIB | 27 |
| CH0009980894 | REFERENTIAL INSTRUMENTS – Indices | SMI | IND CHF | SMI/IND CHF | 11 |

Table A.1 (continued)

| ISIN | Instrument type | Issues short name | Instrument short name | Financial instrument short name | Total characters incl. "/" |
|--------------|--|-------------------|----------------------------|-------------------------------------|----------------------------|
| DE0008467416 | REFERENTIAL INSTRUMENTS – Indices | Dt.Boerse AG | IDX MDAX Perform | Dt.Boerse AG/IDX MDAX Perform | 29 |
| DE0008469008 | REFERENTIAL INSTRUMENTS – Indices | Dt.Boerse AG | IDX DAX Perform | Dt.Boerse AG/IDX DAX Perform | 28 |
| ES0SM0032042 | REFERENTIAL INSTRUMENTS – Commodities | MFAO | ACEITE LAMPANTE 1 TON | MFAO/ACEITE LAMPANTE 1 TON | 26 |
| IT0004849573 | REFERENTIAL INSTRUMENTS – Commodities | BORSA ITALIANA | MERCE GRANO D KG 1000 | BORSA ITALIANA/MERCE GRANOD KG 1000 | 35 |
| CH0002829551 | REFERENTIAL INSTRUMENTS – Commodities | SNB | Gold Muenzen 900/1000 8 GR | SNB/Gold Muenzen 900/1000 8 GR | 30 |
| US62945V2088 | OTHERS – Units | NV5 Hldgs Inc | U 1 Sh + 1 Wt 2018 | NV5 Hldgs Inc/U 1 Sh + 1 Wt 2018 | 32 |
| CH0000417441 | OTHERS – Insurance Polices | Winterthur Life | CHF POL | Winterthur Life/CHF POL | 23 |
| CH0008259613 | OTHERS – Repos | Triparty SIX | REPO CH | Triparty SIX/REPO CH | 20 |

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