

BS EN 62575-2:2012



BSI Standards Publication

# Radio frequency (RF) bulk acoustic wave (BAW) filters of assessed quality

Part 2: Guidelines for the use

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The UK participation in its preparation was entrusted to Technical Committee EPL/49, Piezoelectric devices for frequency control and selection.

A list of organizations represented on this committee can be obtained on request to its secretary.

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**Radio frequency (RF) bulk acoustic wave (BAW) filters  
of assessed quality -  
Part 2: Guidelines for the use  
(IEC 62575-2:2012)**

Filtres radiofréquences (RF) à ondes  
acoustiques de volume (OAV)  
sous assurance de la qualité -  
Partie 2: Lignes directrices d'emploi  
(CEI 62575-2:2012)

Volumenwellenfilter für  
Hochfrequenzanwendungen  
(HFBAW-Filter) -  
Teil 2: Leitfaden für die Anwendung  
(IEC 62575-2:2012)

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## Foreword

The text of document 49/994/FDIS, future edition 1 of IEC 62575-2, prepared by IEC/TC 49 "Piezoelectric, dielectric and electrostatic devices and associated materials for frequency control, selection and detection" was submitted to the IEC-CENELEC parallel vote and approved by CENELEC as EN 62575-2:2012.

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In the official version, for Bibliography, the following notes have to be added for the standards indicated:

IEC 60862-1:2003	NOTE	Harmonised as EN 60862-1:2003 (not modified).
IEC 62047-7:2011	NOTE	Harmonised as EN 62047-7:2011 (not modified).

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## INTRODUCTION

RF BAW filters are now widely used in mobile communications. While the RF BAW filters have various specifications, many of them can be classified within a few fundamental categories.

Standard specifications, given in IEC 62575, and national specifications or detail specifications issued by manufacturers, define the available combinations of nominal frequency, pass bandwidth, ripple, shape factor, terminating impedance, etc. These specifications are compiled to include a wide range of RF BAW filters with standardized performances. It cannot be over-emphasized that the user should, wherever possible, select his RF BAW filters from these specifications, when available, even if it may lead to making small modifications to his circuit to enable standard filters to be used. This applies particularly to the selection of the nominal frequency.

This standard has been compiled in response to a generally expressed desire on the part of both users and manufacturers for guidance on the use of RF BAW filters, so that the filters may be used to their best advantage. To this end, general and fundamental characteristics have been explained in this part of IEC 62575.

It is not the aim of this standard to explain theory, nor to attempt to cover all the eventualities which may arise in practical circumstances. This standard draws attention to some of the more fundamental questions, which should be considered by the user before he places an order for an RF BAW filter for a new application. Such a procedure will be the user's insurance against unsatisfactory performance.

# RADIO FREQUENCY (RF) BULK ACOUSTIC WAVE (BAW) FILTERS OF ASSESSED QUALITY –

## Part 2: Guidelines for the use

### 1 Scope

This part of IEC 62575 gives practical guidance on the use of RF BAW filters which are used in telecommunications, measuring equipment, radar systems and consumer products. General information, standard values and test conditions will be provided in a future IEC standard<sup>1</sup>.

This part of IEC 62575 includes various kinds of filter configurations, of which the operating frequency range is from approximately 500 MHz to 10 GHz and the relative bandwidth is about 1 % to 5 % of the centre frequency.

### 2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

None.

### 3 Technical considerations

It is of prime interest to a user that the filter characteristics should satisfy a particular specification. The selection of tuning networks and RF BAW filters to meet that specification should be a matter of agreement between user and manufacturer.

Filter characteristics are usually expressed in terms of insertion attenuation as a function of frequency, as shown in Figure 1. A standard method for measuring insertion attenuation is described in IEC 60862-1:2003, 5.5.2. Insertion attenuation characteristics are further specified by nominal frequency, minimum insertion attenuation or maximum insertion attenuation, pass-band ripple and shape factor. The specification is to be satisfied between the lowest and highest temperatures of the specified operating temperature range and before and after environmental tests.

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<sup>1</sup> This standard (under consideration) is expected to bear the reference number IEC 62575-1.

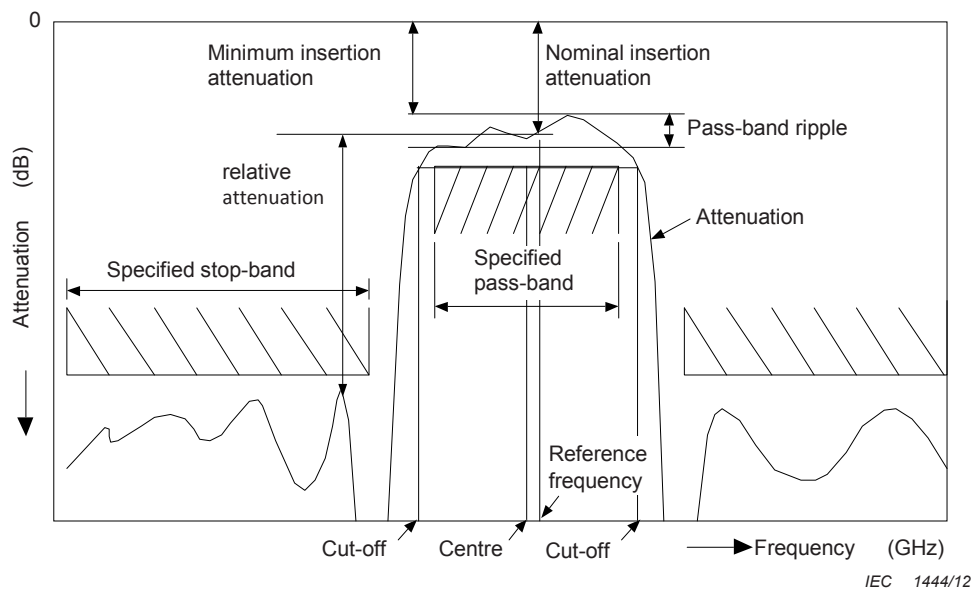


Figure 1 – Frequency response of a RF BAW filter

## 4 Fundamentals of RF BAW filters

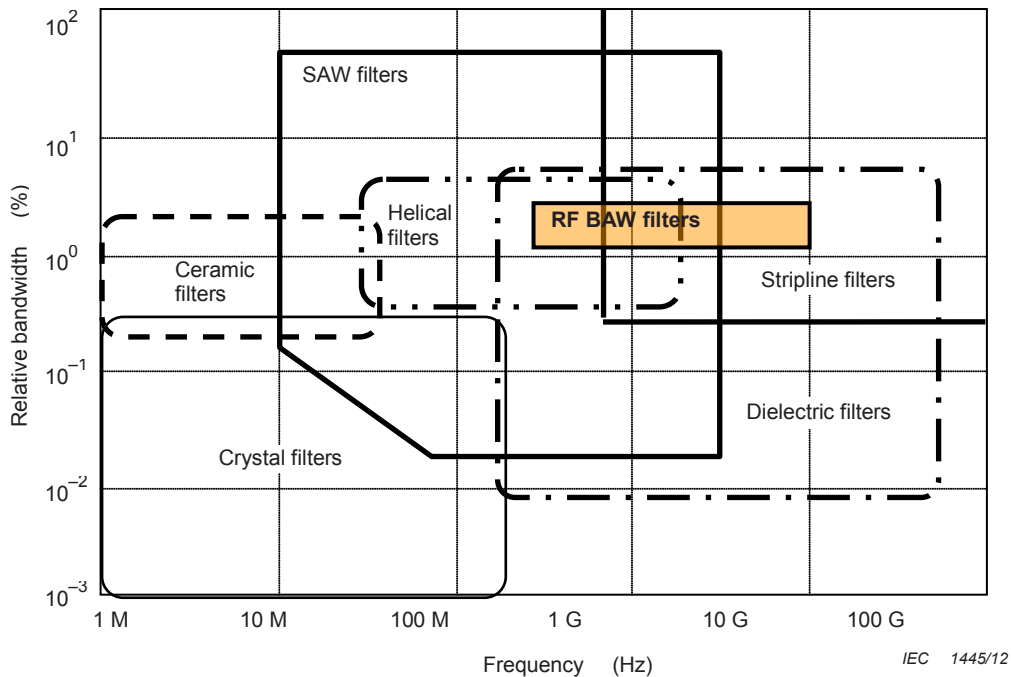
### 4.1 General

The features of RF BAW filters are their small size, light weight, adjustment-free, high stability and high reliability. RF BAW filters add new features and applications to the field of surface acoustic wave (SAW) filters and dielectric resonator filters. Nowadays, RF BAW filters with low insertion attenuation are widely used in various applications in the gigahertz range.

RF BAW filters are becoming rapidly popular as miniature and low insertion attenuation filters for mobile communication application. RF BAW resonator filters can realize low insertion attenuation easily and of a smaller size than that of the RF SAW filters with the same bandwidth. Their feasible bandwidth is, however, limited by employing piezoelectric materials, design methods and so on. It is desirable for users to understand these factors for RF BAW resonator filters. This standard explains the principles and characteristics of RF BAW resonator filters.

RF BAW filters usually employ a filter configuration called the ladder filter, which is composed of multiple RF BAW resonators. They are classified into two types: film bulk acoustic resonators and solidly mounted resonators. In Figure 2, the applicable frequency range and relative bandwidth of the RF BAW filters are shown in comparison with those of ceramic, crystal, dielectric, helical, SAW and stripline filters.





**Figure 2 – Applicable range of frequency and relative bandwidth of the RF BAW filter and the other filters**

## 4.2 Fundamentals of RF BAW resonators

### a) Acoustic resonance

When a mechanical impact is applied to a solid surface, acoustic waves are generated, and a portion of their energy is transmitted by propagation of the acoustic waves in the bulk. This type of wave is called the bulk acoustic wave (BAW). Remaining energy may be transferred by acoustic waves propagating along the surface. This type of wave is called the surface acoustic wave (SAW).

There are two types of BAWs: the longitudinal or dilatational BAW, with the displacement toward the propagation direction, and the transverse or shear BAW, with the displacement normal to the propagation direction. Acoustic wave velocities in solids are a few hundreds of meters per second to twenty thousands of meters per second. Usually the longitudinal BAW is few times faster than the shear BAW for a given material and orientation.

In the case of acoustic wave propagation in a parallel plate, it is known that the plate causes a mechanical resonance (thickness resonance) when the plate thickness  $h$  is half-integer times the wavelength  $\lambda$  of acoustic waves propagating in the plate normal to the plate surface, i.e.  $h = n\lambda/2$ , where  $n$  is an integer and called the order of modes. We obtain mechanical resonance frequencies  $f_r$  as

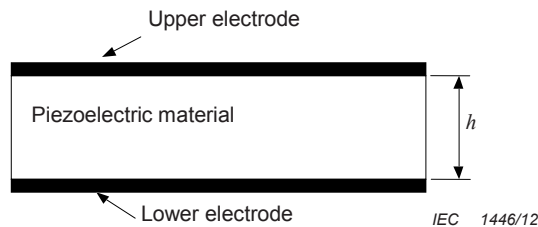
$$f_r = V/\lambda = nV/(2h) \quad (1)$$

where  $V$  is the acoustic wave velocity. Equation (1) indicates that in addition to a lowest-order resonance ( $n=1$ ) called the fundamental resonance, a series of higher-order ( $n \neq 1$ ) ones might be excited. Since  $f_r$  for  $n \neq 1$  will be integer times  $f_r$  for  $n=1$  in this case, higher-order resonances are often called harmonics or harmonic resonances. When the longitudinal BAW is responsible for the thickness resonance, it is called the thickness extensional (TE) resonance but when the shear wave is responsible, it is called the thickness shear (TS) resonance.

There are also acoustic waves propagating along the plate top surface. When wave energy is well confined near the top surface and influence of the back surface is negligible, the waves are called the surface acoustic waves (SAWs). On the other hand, when wave energy penetrates into the plate and influence of the back surface is not negligible, the waves are called plate waves or Lamb waves.

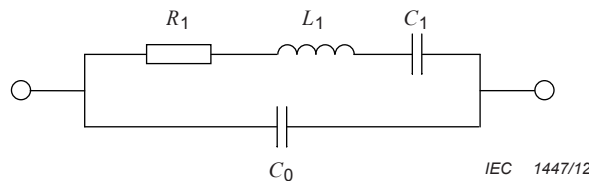
b) Piezoelectric excitation and detection

In the case where a piezoelectric plate is sandwiched between two parallel electrodes (see Figure 3), when an electrical voltage  $E$  is applied between two electrodes, mechanical force is generated through the piezoelectricity, and acoustic motion will be induced. On the other hand, electrical charges will be induced to the electrodes by electric fields associated with propagating acoustic waves.



**Figure 3 – Basic BAW resonator structure**

An electromechanical equivalent circuit shown in Figure 4 may be deduced from these relations. In Figure 4,  $C_0$  is the clumped capacitance originating from the electrostatic coupling between two electrodes, and  $C_1$ ,  $L_1$  and  $R_1$  are the motional capacitance, inductance and resistance, respectively, originating from mechanical reaction, i.e. elasticity, inertia and damping, respectively. This circuit is called the Butterworth-Van Dyke (BVD) model.



**Figure 4 – BVD model**

Figure 4 implies that mechanical resonances described above can be excited and detected electrically through the electrodes. Namely, this device serves as an electrical resonator. This type of resonator is called the BAW resonator. Proper choice of the piezoelectric material offers small acoustic attenuation, which results in long duration of the mechanical vibration. This mechanical property influences the electrical one as large quality ( $Q$ ) factor of the electrical resonance circuit.

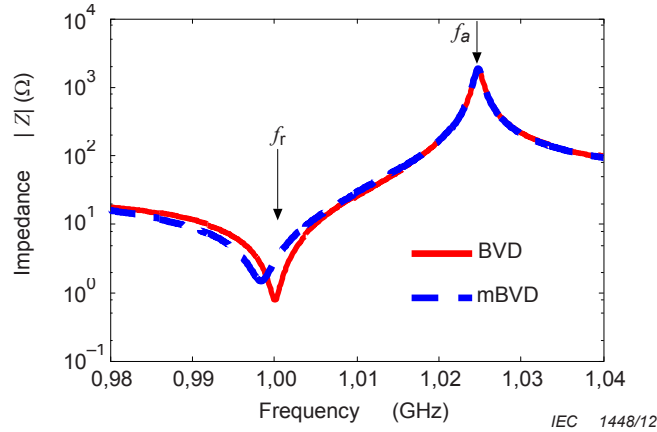
Figure 5 shows typical resonance characteristics calculated by the BVD model. It is seen that a series resonance occurs at a frequency  $f_r$  where the electrical impedance  $Z$  between two electrodes becomes pure resistive and very small. From the BVD model,  $f_r$  is given by

$$f_r \approx 1/2\pi\sqrt{L_1C_1} \quad (2)$$

On the other hand, at a frequency  $f_a$  slightly above  $f_r$ , a parallel resonance occurs where  $Z$  becomes pure resistive and very large. From the BVD model,  $f_a$  is given by

$$f_a \approx 1/\sqrt{2\pi\sqrt{L_1(C_1^{-1} + C_0^{-1})}} \quad (3)$$

These frequencies are called the resonance and the anti-resonance frequencies, respectively<sup>2</sup>



**Figure 5 – Typical impedance characteristics**

The capacitance ratio  $r$  is often used as a measure of the resonator performance, and is defined by

$$r = \left[ (f_a/f_r)^2 - 1 \right]^{-1} \quad (4)$$

From the BVD model, and  $r$  is given by

$$r = C_0/C_1 \quad (5)$$

In the filter design discussed later,  $r$  limits achievable fractional frequency bandwidth for filter applications.

At frequencies much lower than  $f_r$ , the resonator is equivalent to a capacitor with the capacitance of  $C_0 + C_1 = C_0(1 + r^{-1})$ , which is given by  $\epsilon S/h$ , where  $\epsilon$  is the dielectric constant and  $S$  is the electrode area. Thus  $C_0$  is adjustable only by  $S$  because  $h$  is mostly determined by the frequency setting.

It is clear from Equation 5 that  $r$  indicates weakness of the piezoelectricity. In fact, full wave analysis gives a relation between  $f_r/f_a$  and the electromechanical coupling factor  $k_t^2$  for the thickness-longitudinal vibration of the piezoelectric material as

$$k_t^2 = (n\pi f_r / 2f_a) / \tan(n\pi f_r / 2f_a) \quad (6)$$

When  $f_r \cong f_a$ , Equations (3) and (5) become

<sup>2</sup> Frequencies  $f_m$  and  $f_n$  giving minimum  $|Z|$  and maximum  $|Z|$  are the frequencies of maximum and minimum admittance or those of minimum and maximum impedance. When  $Q$  is large,  $f_m$  and  $f_n$  are almost equal to  $f_r$  and  $f_a$ , respectively.

$$(f_a - f_r)/f_a \cong 1/2\gamma \cong \begin{cases} 4k_t^2/n^2\pi^2 & n:\text{odd} \\ 0 & n:\text{even} \end{cases} \quad (7)$$

This indicates three important facts:

- 1) achievable  $r$  is limited by  $k_t^2$  of employed piezoelectric material;
- 2) even-order overtones cannot be excited electrically; and
- 3)  $\gamma$  increases rapidly with an increase in  $n$ .

It should be noted that Equations (6) and (7) are only valid when a uniform piezoelectric layer is sandwiched between two infinitesimally thin electrodes with infinite conductance. Since influence of electrodes is not negligible as will be discussed later, piezoelectric strength of the resonator structure is often characterized by the effective electromechanical coupling factor defined by

$$k_{\text{teff}}^2 = (\pi f_r / 2f_a) / \tan(\pi f_r / 2f_a) \quad (8)$$

From the BVD model, the  $Q$  factor at  $f_r$  is given by

$$Q_r = 2\pi f_r L_1 / R_1 \quad (9)$$

and is often referred to as the resonance  $Q$  or  $Q_r$ . We can also evaluate the  $Q$  factor at the anti-resonance frequency, and the value is called the anti-resonance  $Q$  or  $Q_a$ . In the filter design,  $Q_r$  and  $Q_a$  determine steepness of the pass-band edges for filter applications.

For resonator characterization, the figure of merit,  $M$  is defined as

$$M = Q_r / r \quad (10)$$

In the filter design,  $M$  determines achievable minimum insertion attenuation.

It is interesting to note that the BVD model indicates that

$$M \cong 2\pi f_a C_0 Z_{\text{max}} \cong 1/2\pi f_r C_0 Z_{\text{min}} \quad (11)$$

where  $Z_{\text{max}}$  and  $Z_{\text{min}}$  are electrical impedances of the resonator at  $f_n$  ( $\approx f_a$ ) and  $f_m$  ( $\approx f_r$ ), respectively. Thus  $Z_{\text{max}}/Z_{\text{min}}$  called the impedance ratio is also used for the resonator characterization.

NOTE 1 This approximated form is valid only when  $Q_r$  and  $r$  are large.

### c) Secondary effects

Basic operation of BAW resonators is simulated fairly well by the use of the BVD model described above. In real devices, however, various secondary effects occur, and their influences shall be well-controlled for device design and production. Significant secondary effects are:

#### 1) Lateral wave propagation

At frequencies close to the resonance, Lamb waves are excited and propagate along the surface. If their wave energy is dissipated, it will cause  $Q$  reduction of the main resonance. If the resonator structure is designed to confine the wave energy, the resonance  $Q$  might be

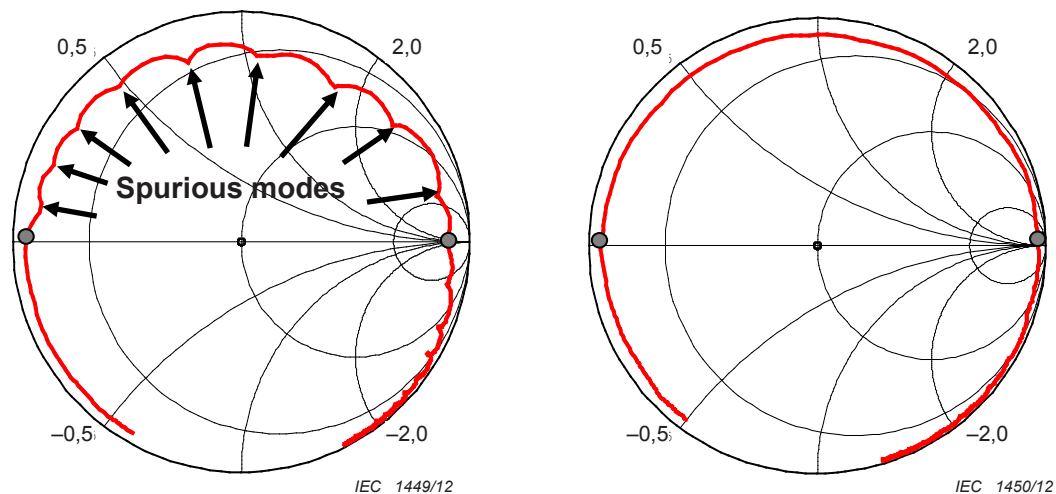
preserved, while it may cause unwanted resonances often called spurious resonances. Since lateral structural size is significantly larger than the BAW wavelength in general, frequency separation between the resonances is narrow. From this property, these spurious resonances are called inharmonics. The top surface of the resonator is sometimes shaped in an irregular polygon to smear out spurious resonance peaks.

## 2) Parasitic impedances

Ohmic resistances, parasitic capacitances, and inductances of the electrodes and pads are not negligible in radio frequencies (RF).

Figure 6 shows polar plot (Smith chart) of the return coefficient  $\Gamma$  of two RF BAW resonators.  $\Gamma$  is given by  $(Z-R_0)/(Z+R_0)$ , where  $Z$  is the device impedance and  $R_0$  is the characteristic impedance of the measurement system. The trace rotates clockwise with the frequency, and leftmost and rightmost points of the trace correspond to the resonance and anti-resonance frequencies, respectively. Series of inharmonics are seen in Figure 6 a). It should be noted that the overtones are only seen above the resonance frequency, and this property called the cut-off indicates they are due to lateral wave propagation.

Application of an appropriate technology enables to suppress inharmonics almost completely as shown in Figure 6 b). In addition, it is seen that the trace approaches to the outermost circle, namely  $|\Gamma|$  close to unity. This indicates the lateral wave propagation can be one of the most significant loss mechanisms.



a) before suppressing spurious resonances

b) after suppressing spurious resonances

**Figure 6 – Typical impedance characteristics of RF BAW devices**

The BVD model is often modified to take these effects into account. Figure 7 shows an example, where series resistance  $R_s$  and shunt resistance  $R_0$  are added to express variation of energy dissipation with frequency, and  $L_s$  expresses inductance of the interconnecting electrodes and/or bonding wires.

NOTE 2 A modification of the equivalent circuit is not unique. For example,  $R_0$  in Figure 7 is sometimes placed in parallel with  $C_0$  instead of series.

Even if  $L_s$  and/or  $R_s$  are small, their impact is significant near the resonance frequency where  $|Z|$  becomes extremely small. This modified BVD model gives the resonance frequency  $f_r$  and the resonance  $Q$ ,  $Q_r$ , as

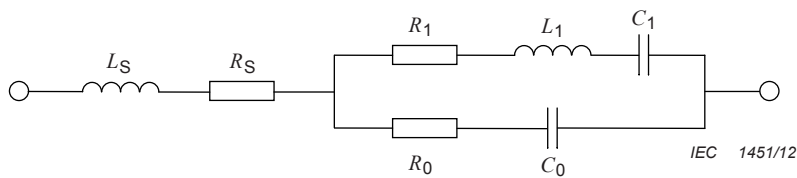
$$f_r \approx 1/2\pi\sqrt{(L_s + L_1)C_1} \quad (12)$$

and

$$Q_r = 2\pi f_r(L_1 + L_s)/(R_1 + R_s) \quad (13)$$

respectively. On the other hand, impact of  $R_0$  is significant near the anti-resonance frequency where  $|Z|$  becomes extremely large. This modified BVD model gives the anti-resonance  $Q$ ,  $Q_a$ , as

$$Q_a = 2\pi f_a L_1/(R_1 + R_0) \quad (14)$$



**Figure 7 – Modified BVD model**

Figure 5 compares the electrical impedance  $|Z|$  given by this modified BVD model with that given by the original one. In this calculation,  $R_0$  is set to zero. It is seen that  $f_r$  and  $Q_r$  are slightly decreased while  $f_a$  and  $Q_a$  are unchanged. It should be noted that the original BVD model indicates  $Q_r \cong Q_a$ , but this is not true in general.

Since  $L_s$  effectively increases  $k_{t\text{eff}}^2$ , it is often used positively to enhance the filter performance. On the other hand, the parasitic capacitance between terminals effectively increases  $C_0$  and results in decreased  $k_{t\text{eff}}^2$ . Thus the device package shall be co-designed with the BAW device chip itself so as to optimize the total device performance.

### 4.3 RF resonator structures

For applications lower than a few tens of MHz, BAW resonators can be mass-produced by thinning and polishing piezoelectric materials. For higher frequencies, on the other hand, since required thickness  $h$  is reduced to micro metre order, thin film technologies are applicable instead of mechanical processing. Although use of overtones with  $n \neq 1$  is another choice, it results in significant increase in  $r$ .

Aluminium nitride (AlN) is widely used as a piezoelectric layer for the RF BAW resonator because of its several distinct features: low propagation loss, high electrical resistivity, and possible growth of high quality films on underneath metal electrodes. Although various materials such as zinc oxide (ZnO), lead zirconate titanate (PZT), etc. have been investigated extensively, realized performances are much lower than those attained by AlN and far from practical use.

Lack of material choice limits applicability of RF BAW filters. That is,  $r$  limiting the filter bandwidth is mostly determined by the piezoelectric material as indicated in 4.2. Molybdenum, Ruthenium, Tungsten, etc., are used for the electrodes because their large acoustic impedance offers slight decrease in  $r$  or increase in  $k_{t\text{eff}}^2$  and they act as a good seed layer for the AlN growth.

RF BAW resonators are categorized into two types.

The first type is called film bulk acoustic wave resonator (FBAR), which employs a free standing membrane supported at side edges. Three kinds of FBAR structures were proposed: Figure 8 a) shows the one employing an air cavity created by back-side etching of the supporting substrate. Figures 8 b) and c) show the ones employing an air cavity created by etching of a layer underneath the resonator structure after completing its fabrication.

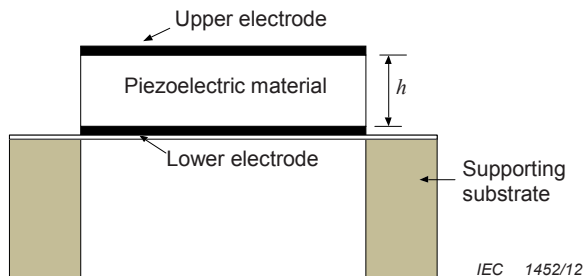


Figure 8 a) – Back-side etched

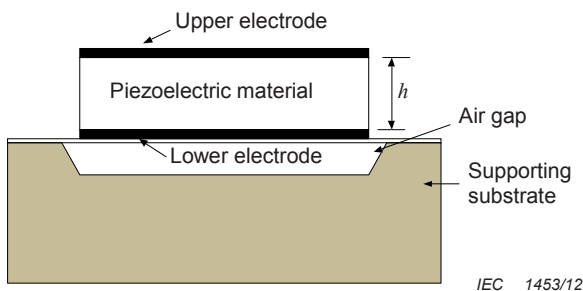


Figure 8 b) – Front-side etched

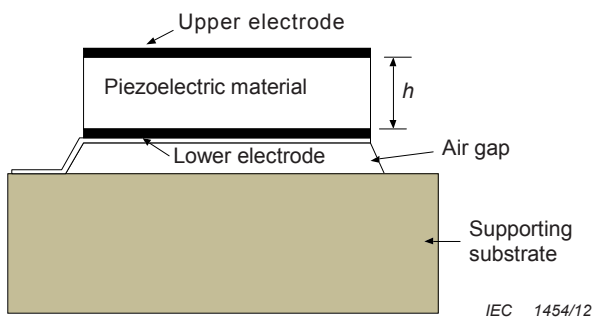
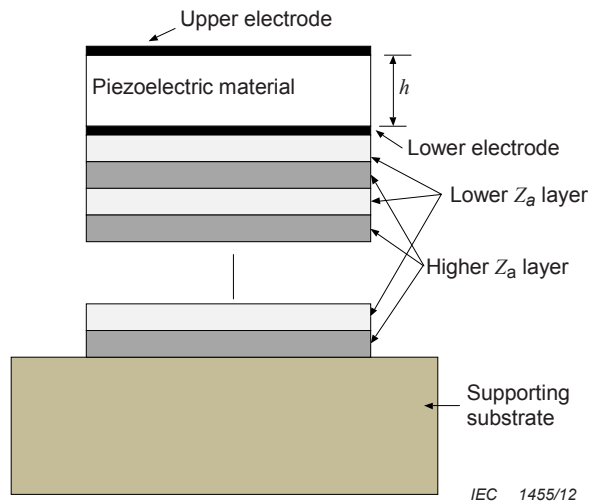


Figure 8 c) – Sacrificial-layer etched

**Figure 8 – FBAR structures**

The second type is called the solidly mounted resonator (SMR), which employs an acoustic mirror giving acoustic isolation from the substrate and tight physical contact with it. Figure 9 shows the SMR structure. This mirror is composed of multiple layers with different acoustic impedances. For example, the combination of W and SiO<sub>2</sub> is suitable for the use, and a few layers are enough for sufficient reflection. Each of the layers is designed with about a quarter wave thickness for an optimal reflection at the intended operation frequency.



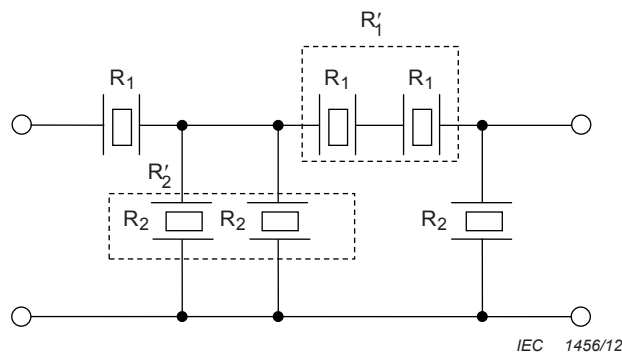
**Figure 9 – SMR structure**

#### 4.4 Ladder filters

##### 4.4.1 Basic structure

Ladder type filters are comprised of series and parallel BAW resonators in a ladder type arrangement. Basically, it can be represented in and near the pass-band with resonant circuits using the BVD model. Although various kinds of RF BAW resonator filters have been proposed, only the ladder type is put into practice.

Figure 10 shows an example of a filter structure and Figure 11 shows an example of an equivalent circuit of a half-section of a ladder filter assuming that the resistance is negligible. The half-section of the filter consists of a series-arm resonator ( $R_1$ ) and a parallel-arm resonator ( $R_2$ ). A series-arm resonator has slightly higher resonance frequency than that of a parallel-arm resonator. The resonators  $R_1'$  and  $R_2'$  are synthesized resonators.  $R_1'$  has half-static capacitance of  $R_1$ , and  $R_2'$  has twice static capacitance of  $R_2$ .



**Figure 10 – Structure of ladder filter**



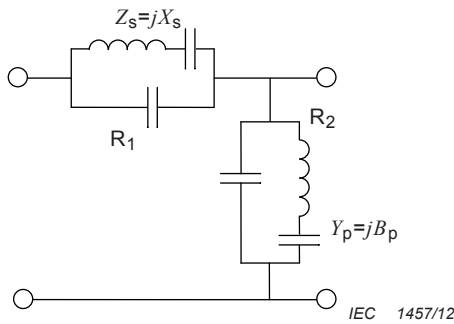


Figure 11 – Equivalent circuit of basic section of ladder filter

4.4.2 Principle of operation

Figure 12 shows the variations of  $X_s$  and  $B_p$  as a function of frequency, where  $X_s$  is the reactance of  $R_1$ , and  $B_p$  is the susceptance of  $R_2$ . Here, the anti-resonance frequency ( $f_{ap}$ ) of the parallel-arm resonator is nearly equal to the resonance frequency ( $f_{rs}$ ) of the series-arm resonator. The image transfer constant  $\gamma$  is expressed with  $X_s$  and  $B_p$  in the following equation:

$$\tanh \gamma = \sqrt{B_p X_s / (B_p X_s - 1)} \tag{15}$$

According to the theory of image-parameter filters, a filter shows a pass-band characteristic when  $\gamma$  is an imaginary number. On the other hand, it shows a stop-band characteristic when  $\gamma$  is a real number. Therefore, the condition  $0 < B_p X_s < 1$  gives the pass-band, and the condition  $B_p X_s > 1$  or  $B_p X_s < 0$  gives the stop-band shown in Figure 12.

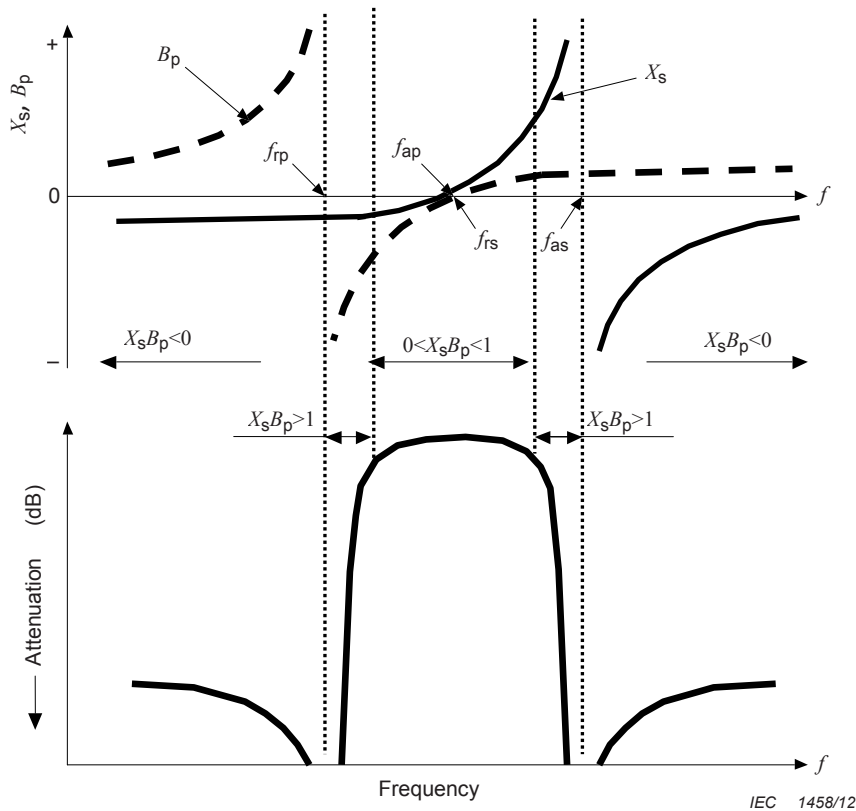


Figure 12 – Basic concept of ladder filter

#### 4.4.3 Characteristics of ladder filters

The pass-band width of a ladder filter is affected by the employed piezoelectric material. Ideally it is effective to use an appropriate piezoelectric material having a high electromechanical coupling coefficient in order to obtain a filter with a wide pass-band. However, use of AlN is the only choice at least until now. This is because performances achieved by current AlN films are far beyond those of the other piezoelectric materials. The steepness of the pass-band edges is determined by the  $Q$  factor of the resonators while the insertion attenuation of a filter is determined by the figure of merit  $M$  of the resonators. The stop-band attenuation is basically determined by the static capacitance ratio of a parallel-arm resonator to a series-arm resonator and the stage number of the resonators' connection.

Figure 13 shows the frequency characteristics of a 1,9 GHz band-pass filter. The minimum insertion attenuation of less than 2 dB and the return attenuation of more than 10 dB were obtained without an external matching circuit.

This filter was designed so as to enhance the rejection around 2,14 GHz at the expense of its deterioration at frequencies lower than the pass-band. Such characteristic is realized using tiny parasitic inductances embedded in the filter package.

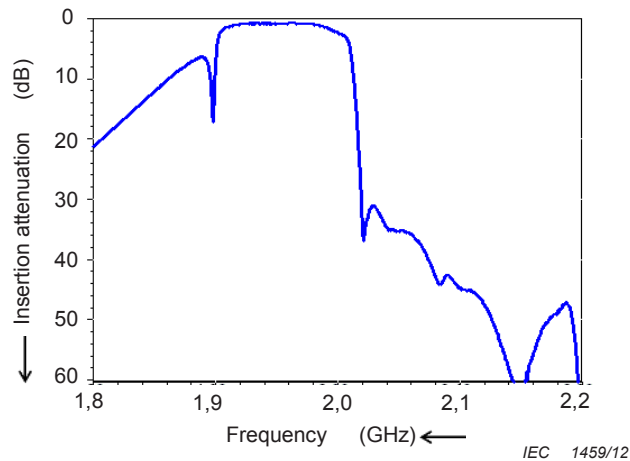


Figure 13 a) – Transmission characteristic

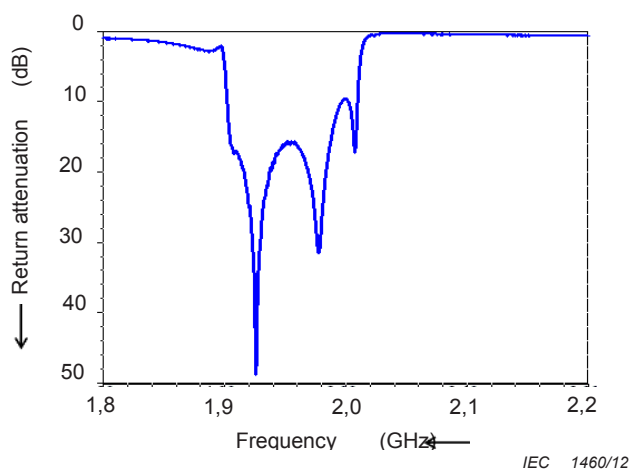


Figure 13 b) – Reflection characteristic

Figure 13 – Typical characteristics of a 1,9 GHz range ladder filter

## 5 Application guide

### 5.1 Application to electronics circuits

RF BAW filter characteristics are also influenced by electrical characteristics of peripheral circuits. In order to obtain a satisfactory performance, certain precautions are required.

Insertion attenuation for RF BAW filters is mainly caused by ohmic loss of metal electrodes, acoustic propagation loss due to scattering and/or viscosity, leakage loss from reflectors (SMR case), and lateral leakage loss to surroundings. It should be noted that AlN films are poly-crystalline, the propagation attenuation is significantly dependent on the film quality.

### 5.2 Availability and limitations

Because a RF BAW filter has a complex mechanical structure, there are numerous unwanted responses which may disturb the filter characteristics. Such unwanted responses shall be suppressed or reduced below a certain level. In practical use, long-term stability should also be considered.

#### a) Feed-through signals

Because feed-through signals travel directly between the input and output circuits due to the electrostatic or electromagnetic coupling, they appear at the output terminal instantly when the input voltage is applied. They cause ripple in the pass-band, and the frequency period ( $\delta f$ ) is equal to  $1/t$ , where  $t$  is the delay of the main signals. Sometimes, they fill the frequency traps in the stop-band and degrade the stop-band characteristics.

#### b) Spurious resonances

Because of high  $Q$ , excitation of unnecessary acoustic waves will cause spurious resonances, which generate ripple in the pass-band and/or satellite peaks in the rejection-band. Inharmonics caused by the lateral wave propagation is a typical example.

#### c) Ageing performance

RF BAW filters exhibit excellent long-term stability as well as SAW filters. The long-term ageing rate depends on the input level of a RF BAW filter, the substrate mounting method, the atmosphere in which the substrate is located, etc.

### 5.3 Input levels

Drive level performance is limited by:

#### a) Electrode damage

This damage is irrecoverable. When an excessive drive level is applied, this often causes a flashover. Sometimes, physical erosion of the electrodes is also caused. This brings about centre frequency shift, pass-band distortion and insertion attenuation degradation. The RF signal drive level should be agreed upon with the manufacturer.

#### b) Frequency and/or response change

RF acoustic power is confined in a small volume. Therefore, RF BAW devices may exhibit non-linear characteristics at lower drive levels more easily than conventional bulk-wave devices.

## 6 Practical remarks

### 6.1 General

The incorrect usage of a RF BAW filter may at times result in its unsatisfactory performance. It is necessary to take care of direct feed-through, impedance matching conditions, etc.

## 6.2 Feed-through signals

Feed-through signals are caused mainly by the electrostatic and electromagnetic couplings between the input and output circuits.

There are several ways to reduce the feed-through. The most effective method is to employ a balanced (differential) circuit to cancel the undesirable coupling signals induced by stray capacitance (electrostatic) or current loop (electromagnetic). Integrated circuits (ICs) can easily adopt balanced input and/or balanced output circuits. A balanced output (input) RF BAW filter connected with a balanced input (output) IC is effective to reduce the feed-through. However, it is not effective to use a balun transformer to connect an unbalanced RF BAW filter with a balanced IC.

Another method to reduce the electrostatic feed-through is a shield between the input and output circuits on the printed circuit board (PCB). In practice, in most cases, some modifications to the circuit pattern on the PCB, especially the ground configuration, are effective.

In order to reduce the electromagnetic feed-through, it is effective to design the input and output circuit patterns so that the electromagnetic coupling induced by the current loop of the input circuit is totally cancelled at the output circuit. Thus, the circuit pattern should be designed so as to reduce or cancel both the electrostatic and the electromagnetic couplings.

In the case of high-frequency range and low terminating impedance, common residual impedance in input and output ground patterns (commonly called "ground loop") also results in the same effects as feed-through signals. In order to avoid common impedance, input and output ground patterns on the PCB should be designed separately.

## 6.3 Load and source impedance conditions

The load and source impedances affect the pass-band characteristics. The specified terminating (load) impedances have to be used to obtain the specified performance. A RF BAW filter is designed for specific impedance. Impedance mismatching increases the amplitude ripple and the insertion attenuation of the RF BAW filter.

## 7 Miscellaneous

### 7.1 Soldering conditions

Incorrect soldering methods or soldering conditions may at times damage the RF BAW filter or affect the filter characteristics undesirably. In order to prevent such deterioration, the soldering method has to be an allowable method and soldering conditions have to be within the allowable soldering temperature and time ranges. When the soldering is repeated, the cumulative soldering time should be within the allowable time.

### 7.2 Static electricity

The application of high static electricity may cause degradation or destruction of a RF BAW filter. It is necessary to take care not to apply static electricity or excessive voltage such as electrostatic discharge (ESD) while transporting, assembling and measuring.

## 8 Ordering procedure

When the requirements can be met by a standard item, it will be specified in the corresponding detail specification.

When the requirements cannot be met entirely by an existing detail specification, the specification should be referred to, together with a deviation sheet. In rare cases, where the differences are such that it is not reasonable to quote an existing detail specification, a new specification is to be prepared in a similar form to that already used for a standard detail specification.

The following checklist will be useful when ordering a RF BAW filter and should be considered in drawing up a specification.

a) Application

b) Description

c) Electrical requirements:

- Test fixture(s) and test circuit(s)
- Reference frequency
- Centre frequency
- Pass-band amplitude characteristics
  - Bandwidth
  - Minimum/nominal/maximum insertion attenuation
  - Pass-band ripple
  - Cut-off frequency (if necessary)
  - Other factors
- Transition-band characteristics (if necessary)
  - Amplitude characteristics
- Stop-band characteristics
  - Guaranteed relative insertion attenuation ( \_\_\_\_ MHz to \_\_\_\_ MHz)
  - Trap frequency (if necessary)
- Unwanted responses
  - Feed-through signal suppression
  - Intermodulation distortion
  - Other factors
- Impedances
- Input level
  - Absolute maximum input level
  - Testing input level
- Insulation resistance
- DC voltage overdrive
- Ageing
- Power capability
- Time/maximum temperature/signal waveform/signal frequency range (pass-band, stop-band) for power durability
- Other factors

d) Environmental requirements:

- Temperature ranges
  - Operable temperature range
  - Operating temperature range

- Storage temperature range
- Temperature cycling
- Soldering temperature
- Shock, vibration
- Acceleration
- Humidity
- Radiation
- Sealing
- Ageing
- Other factors (for example, electrostatic damage, etc.)

e) Physical requirements:

- Outline dimensions
- Marking
- Solderability
- Terminals and accessories
- Packaging form (for example, bulk, taping, magazine, etc.)
- Other factors (for example, weight, colour, etc.)

f) Inspection requirements:

- Applicable documents (related specifications)
- Inspection authority
- Type test
- Type test procedure
- Acceptable quality levels
- Other factors

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<sup>3</sup> Under consideration.







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