

BS EN 62541-9:2015



BSI Standards Publication

# OPC unified architecture

Part 9: Alarms and conditions

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This British Standard is the UK implementation of EN 62541-9:2015. It is identical to IEC 62541-9:2015. It supersedes BS EN 62541-9:2012 which is withdrawn.

The UK participation in its preparation was entrusted to Technical Committee AMT/7, Industrial communications: process measurement and control, including fieldbus.

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(IEC 62541-9:2015)

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Comité Européen de Normalisation Electrotechnique  
Europäisches Komitee für Elektrotechnische Normung

**CEN-CENELEC Management Centre: Avenue Marnix 17, B-1000 Brussels**

## Foreword

The text of document 65E/382/CDV, future edition 2 of IEC 62541-9, prepared by SC 65E "Devices and integration in enterprise systems", of IEC/TC 65 "Industrial-process measurement, control and automation" was submitted to the IEC-CENELEC parallel vote and approved by CENELEC as EN 62541-9:2015.

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- latest date by which the national standards conflicting with the document have to be withdrawn (dow) 2018-04-29

This document supersedes EN 62541-9:2012.

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The text of the International Standard IEC 62541-9:2015 was approved by CENELEC as a European Standard without any modification.

In the official version, for Bibliography, the following notes have to be added for the standards indicated:

IEC 62541-7	NOTE	Harmonized as EN 62541-7.
IEC 62541-11	NOTE	Harmonized as EN 62541-11.

## Annex ZA (normative)

### Normative references to international publications with their corresponding European publications

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

NOTE 1 When an International Publication has been modified by common modifications, indicated by (mod), the relevant EN/HD applies.

NOTE 2 Up-to-date information on the latest versions of the European Standards listed in this annex is available here: [www.cenelec.eu](http://www.cenelec.eu).

<u>Publication</u>	<u>Year</u>	<u>Title</u>	<u>EN/HD</u>	<u>Year</u>
IEC/TR 62541-1	-	OPC unified architecture - Part 1: Overview and concepts	CLC/TR 62541-1	-
IEC 62541-3	-	OPC unified architecture - Part 3: Address Space Model	EN 62541-3	-
IEC 62541-4	-	OPC Unified Architecture - Part 4: Services	EN 62541-4	-
IEC 62541-5	-	OPC unified architecture - Part 5: Information Model	EN 62541-5	-
IEC 62541-6	-	OPC unified architecture - Part 6: Mappings	EN 62541-6	-
IEC 62541-8	-	OPC Unified Architecture - Part 8: Data Access	EN 62541-8	-
EEMUA 191		Alarm systems - A guide to design, management and procurement	-	-

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## INTERNATIONAL ELECTROTECHNICAL COMMISSION

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**OPC UNIFIED ARCHITECTURE –****Part 9: Alarms and conditions****FOREWORD**

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International Standard IEC 62541-9 has been prepared by subcommittee 65E: Devices and integration in enterprise systems, of IEC technical committee 65: Industrial-process measurement, control and automation.

This second edition cancels and replaces the first edition published in 2012. This edition constitutes a technical revision.

This edition includes the following significant technical changes with respect to the previous edition:

- a) added section to describe expect behaviour for A&C servers and the associated information model in the case of redundancy or communication faults, see 5.14 for additional details.[ref 698 & 967];
- b) changed the DialogConditionType to be not abstract since it is expect that instance of this type will exist in the system, see Table 19 for additional details [ref 1622];

- c) updated ConditionRefresh Method to allow the use of the well know NodeIds associated with the types for the MethodId and ConditionId instead of requiring the call to use only the MethodId and ConditionId that is part of an instance. Without this change, servers that do not expose instance may have problems with ConditionRefresh, see 5.5.7 for additional details [ref 2091];
- d) Fixed ExclusiveLimitStateMachineType and ShelvedStateMachineType to be sub-types of FinitStateMachineType not StateMachineType. See 5.8.3 and 5.8.5.2 for additional details [ref 2091].

The text of this standard is based on the following documents:

CDV	Report on voting
65E/382/CDV	65E/408/RVC

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.

A list of all parts of the IEC 62541 series, published under the general title *OPC Unified Architecture*, can be found on the IEC website.

The committee has decided that the contents of this publication will remain unchanged until the stability date indicated on the IEC web site under "<http://webstore.iec.ch>" in the data related to the specific publication. At this date, the publication will be

- reconfirmed,
- withdrawn,
- replaced by a revised edition, or
- amended.

**IMPORTANT – The 'colour inside' logo on the cover page of this publication indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.**

## OPC UNIFIED ARCHITECTURE –

### Part 9: Alarms and conditions

#### 1 Scope

This part of IEC 62541 specifies the representation of *Alarms* and *Conditions* in the OPC Unified Architecture. Included is the *Information Model* representation of *Alarms* and *Conditions* in the OPC UA address space.

#### 2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC TR 62541-1, *OPC Unified Architecture – Part 1: Overview and Concepts*

IEC 62541-3, *OPC Unified Architecture – Part 3: Address Space Model*

IEC 62541-4, *OPC Unified Architecture – Part 4: Services*

IEC 62541-5, *OPC Unified Architecture – Part 5: Information Model*

IEC 62541-6, *OPC Unified Architecture – Part 6: Mappings*

IEC 62541-8, *OPC Unified Architecture – Part 8: Data Access*

EEMUA: 2nd Edition EEMUA 191 – *Alarm System – A guide to design, management and procurement* (Appendixes 6, 7, 8, 9), available at <http://www.eemua.co.uk/>

#### 3 Terms, definitions, and abbreviations

##### 3.1 Terms and definitions

For the purposes of this document, the terms and definitions given in IEC TR 62541-1, IEC 62541-3, IEC 62541-4, and IEC 62541-5 as well as the following apply.

###### 3.1.1

###### **acknowledge**

*operator* action that indicates recognition of a new *Alarm*

Note 1 to entry: This definition is copied from EEMUA. The term “Accept” is another common term used to describe *Acknowledge*. They can be used interchangeably. This standard will use *Acknowledge*.

###### 3.1.2

###### **active**

*state for an Alarm* that indicates that the situation the *Alarm* is representing currently exists

Note 1 to entry: Other common terms defined by EEMUA are “Standing” for an *Active Alarm* and “Cleared” when the *Condition* has returned to normal and is no longer *Active*.

### 3.1.3

#### **ConditionClass**

Condition grouping that indicates in which domain or for what purpose a certain *Condition* is used

Note 1 to entry: Some top-level *ConditionClasses* are defined in this specification. Vendors or organisations may derive more concrete classes or define different top-level classes.

### 3.1.4

#### **ConditionBranch**

specific state of a *Condition*

Note 1 to entry: The *Server* can maintain *ConditionBranches* for the current state as well as for previous states.

### 3.1.5

#### **ConditionSource**

element which a specific *Condition* is based upon or related to

Note 1 to entry: Typically, it will be a *Variable* representing a process tag (e.g. FIC101) or an *Object* representing a device or subsystem.

In *Events* generated for *Conditions*, the *SourceNode Property* (inherited from the *BaseEventType*) will contain the *NodeId* of the *ConditionSource*.

### 3.1.6

#### **confirm**

*operator* action informing the *Server* that a corrective action has been taken to address the cause of the *Alarm*

### 3.1.7

#### **disable**

system is configured such that the *Alarm* will not be generated even though the base *Alarm Condition* is present

Note 1 to entry: This definition is copied from EEMUA and is further described in EEMUA.

### 3.1.8

#### **operator**

special user who is assigned to monitor and control a portion of a process

Note 1 to entry: "A Member of the operations team who is assigned to monitor and control a portion of the process and is working at the control system's Console" as defined in EEMUA. In this standard an Operator is a special user. All descriptions that apply to general users also apply to Operators.

### 3.1.9

#### **refresh**

act of providing an update to an *Event Subscription* that provides all *Alarms* which are considered to be *Retained*

Note 1 to entry: This concept is further described in EEMUA.

### 3.1.10

#### **retain**

*alarm* in a state that is interesting for a *Client* wishing to synchronize its state of *Conditions* with the *Server's* state.

### 3.1.11

#### **shelving**

facility where the *Operator* is able to temporarily prevent an *Alarm* from being displayed to the *Operator* when it is causing the *Operator* a nuisance

Note 1 to entry: A Shelved *Alarm* will be removed from the list and will not re-annunciate until un-shelved. This definition is copied from EEMUA..

**3.1.12****suppress**

act of determining whether an *Alarm* should not occur

Note 1 to entry: “An *Alarm* is suppressed when logical criteria are applied to determine that the *Alarm* should not occur, even though the base *Alarm Condition* (e.g. *Alarm* setting exceeded) is present” as defined in EEMUA.

**3.2 Abbreviations and symbols**

A&E	Alarm&Event (as used for OPC COM)
COM	(Microsoft Windows) Component Object Model
DA	Data Access
UA	Unified Architecture

**3.3 Used data types**

The following tables describe the data types that are used throughout this standard. These types are separated into two tables. Base data types defined in IEC 62541-3 are given in Table 1. The base types and data types defined in IEC 62541-4 are given in Table 2.

**Table 1 – Parameter Types defined in IEC 62541-3**

Parameter Type
Argument
BaseDataType
NodeId
LocalizedText
Boolean
ByteString
Double
Duration
String
UInt16
Int32
UtcTime

**Table 2 – Parameter Types defined in IEC 62541-4**

Parameter Type
IntegerId
StatusCode

**4 Concepts****4.1 General**

This standard defines an *Information Model* for *Conditions*, *Dialog Conditions*, and *Alarms* including acknowledgement capabilities. It is built upon and extends base Event handling which is defined in IEC 62541-3, IEC 62541-4 and IEC 62541-5. This *Information Model* can also be extended to support the additional needs of specific domains. The details of what aspects of the Information Model are supported are provided via Profiles (see IEC 62541-7). It is expected that systems will provide historical Events and Conditions via the standard Historical Access framework (see IEC 62541-11).

**4.2 Conditions**

*Conditions* are used to represent the state of a system or one of its components. Some common examples are:

- a temperature exceeding a configured limit;



- a device needing maintenance;
- a batch process that requires a user to confirm some step in the process before proceeding.

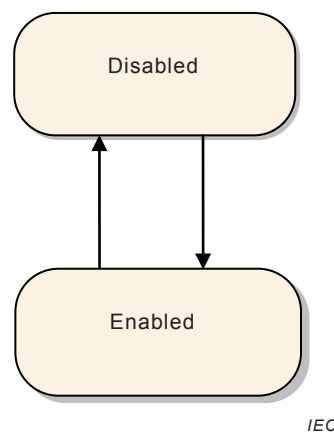
Each *Condition* instance is of a specific *ConditionType*. The *ConditionType* and derived types are sub-types of the *BaseEventType* (see IEC 62541-3 and IEC 62541-5). This part defines types that are common across many industries. It is expected that vendors or other standardisation groups will define additional *ConditionTypes* deriving from the common base types defined in this part. The *ConditionTypes* supported by a *Server* are exposed in the *AddressSpace* of the *Server*.

*Condition* instances are specific implementations of a *ConditionType*. It is up to the *Server* whether such instances are also exposed in the *Server's AddressSpace*. Subclause 4.10 provides additional background about *Condition* instances. *Condition* instances shall have a unique identifier to differentiate them from other instances. This is independent of whether they are exposed in the *AddressSpace*.

As mentioned above, *Conditions* represent the state of a system or one of its components. In certain cases, however, previous states that still need attention also have to be maintained. *ConditionBranches* are introduced to deal with this requirement and distinguish current state and previous states. Each *ConditionBranch* has a *BranchId* that differentiates it from other branches of the same *Condition* instance. The *ConditionBranch* which represents the current state of the *Condition* (the trunk) has a Null *BranchId*. *Servers* can generate separate *Event Notifications* for each branch. When the state represented by a *ConditionBranch* does not need further attention, a final *Event Notification* for this branch will have the *Retain Property* set to False. Subclause 4.4 provides more information and use cases. Maintaining previous states and therefore also the support of multiple branches is optional for *Servers*.

Conceptually, the lifetime of the *Condition* instance is independent of its state. However, *Servers* may provide access to *Condition* instances only while *ConditionBranches* exist.

The base *Condition* state model is illustrated in Figure 1. It is extended by the various *Condition* subtypes defined in this standard and may be further extended by vendors or other standardisation groups. The primary states of a *Condition* are disabled and enabled. The *Disabled* state is intended to allow *Conditions* to be turned off at the *Server* or below the *Server* (in a device or some underlying system). The *Enabled* state is normally extended with the addition of sub-states.



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**Figure 1 – Base Condition State Model**

A transition into the *Disabled* state results in a *Condition Event* however no subsequent *Event Notifications* are generated until the *Condition* returns to the *Enabled* state.

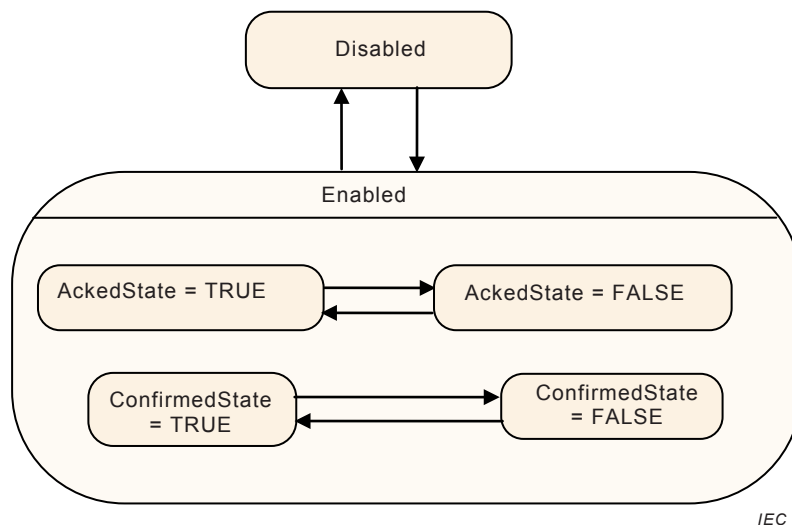
When a *Condition* enters the Enabled state, that transition and all subsequent transitions result in *Condition Events* being generated by the *Server*.

If *Auditing* is supported by a *Server*, the following *Auditing* related action shall be performed. The *Server* will generate *AuditEvents* for *Enable* and *Disable* operations (either through a *Method* call or some *Server / vendor* – specific means), rather than generating an *AuditEvent Notification* for each *Condition* instance being enabled or disabled. For more information, see the definition of *AuditConditionEnableEventType* in 5.10.2. *AuditEvents* are also generated for any other *Operator* action that results in changes to the *Conditions*.

### 4.3 Acknowledgeable Conditions

*AcknowledgeableConditions* are sub-types of the base *ConditionType*. *AcknowledgeableConditions* expose states to indicate whether a *Condition* has to be acknowledged or confirmed.

An *AckedState* and a *ConfirmedState* extend the *EnabledState* defined by the *Condition*. The state model is illustrated in Figure 2. The enabled state is extended by adding the *AckedState* and (optionally) the *ConfirmedState*.



**Figure 2 – AcknowledgeableConditions State Model**

Acknowledgment of the transition may come from the *Client* or may be due to some logic internal to the *Server*. For example, acknowledgment of a related *Condition* may result in this *Condition* becoming acknowledged, or the *Condition* may be set up to automatically acknowledge itself when the acknowledgeable situation disappears.

Two *Acknowledge* state models are supported by this standard. Either of these state models can be extended to support more complex acknowledgement situations.

The basic *Acknowledge* state model is illustrated in Figure 3. This model defines an *AckedState*. The specific state changes that result in a change to the state depend on a *Server*'s implementation. For example, in typical *Alarm* models the change is limited to a transition to the *Active* state or transitions within the *Active* state. More complex models however can also allow for changes to the *AckedState* when the *Condition* transitions to an inactive state.

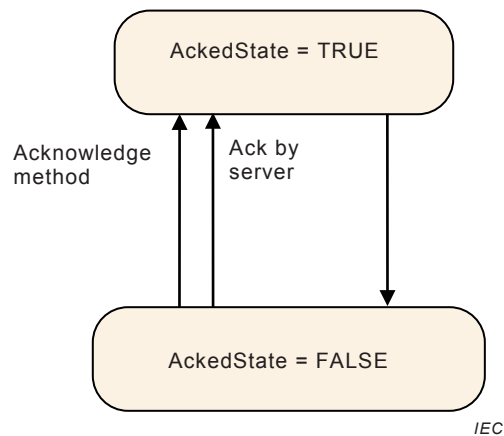


Figure 3 – Acknowledge State Model

A more complex state model which adds a confirmation to the basic *Acknowledge* is illustrated in Figure 4. The *Confirmed Acknowledge* model is typically used to differentiate between acknowledging the presence of a *Condition* and having done something to address the *Condition*. For example an *Operator* receiving a motor high temperature *Notification* calls the *Acknowledge Method* to inform the *Server* that the high temperature has been observed. The *Operator* then takes some action such as lowering the load on the motor in order to reduce the temperature. The *Operator* then calls the *Confirm Method* to inform the *Server* that a corrective action has been taken.

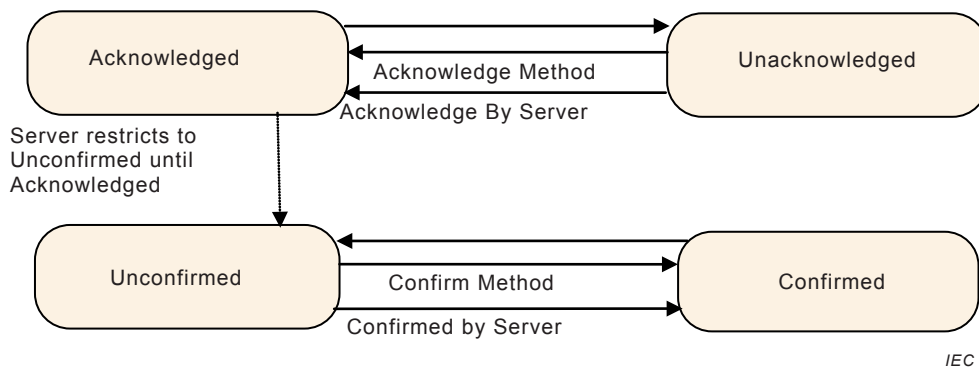


Figure 4 – Confirmed Acknowledge State Model

#### 4.4 Previous states of Conditions

Some systems require that previous states of a *Condition* are preserved for some time. A common use case is the acknowledgement process. In certain environments it is required to acknowledge both the transition into *Active* state and the transition into an inactive state. Systems with strict safety rules sometimes require that every transition into *Active* state has to be acknowledged. In situations where state changes occur in short succession there can be multiple unacknowledged states and the *Server* has to maintain *ConditionBranches* for all previous unacknowledged states. These branches will be deleted after they have been acknowledged or if they reached their final state.

*Multiple ConditionBranches* can also be used for other use cases where snapshots of previous states of a *Condition* require additional actions.

#### 4.5 Condition state synchronization

When a *Client* subscribes for *Events*, the *Notification* of transitions will begin at the time of the *Subscription*. The currently existing state will not be reported. This means for example that *Clients* are not informed of currently *Active Alarms* until a new state change occurs.

*Clients* can obtain the current state of all *Condition* instances that are in an interesting state, by requesting a *Refresh* for a *Subscription*. It should be noted that *Refresh* is not a general replay capability since the *Server* is not required to maintain an *Event* history.

*Clients* request a *Refresh* by calling the *ConditionRefresh Method*. The *Server* will respond with a *RefreshStartEvent*. This *Event* is followed by the *Retained Conditions*. The *Server* may also send new *Event Notifications* interspersed with the *Refresh* related *Event Notifications*. After the *Server* is done with the *Refresh*, a *RefreshEndEvent* is issued marking the completion of the *Refresh*. *Clients* shall check for multiple *Event Notifications* for a *ConditionBranch* to avoid overwriting a new state delivered together with an older state from the *Refresh* process. If a *ConditionBranch* exists, then the current *Condition* shall be reported. This is true even if the only interesting item regarding the *Condition* is that *ConditionBranches* exist. This allows a *Client* to accurately represent the current *Condition* state.

A *Client* that wishes to display the current status of *Alarms* and *Conditions* (known as a “current *Alarm* display”) would use the following logic to process *Refresh Event Notifications*. The *Client* flags all *Retained Conditions* as suspect on reception of the *Event* of the *RefreshStartEvent*. The *Client* adds any new *Events* that are received during the *Refresh* without flagging them as suspect. The *Client* also removes the suspect flag from any *Retained Conditions* that are returned as part of the *Refresh*. When the *Client* receives a *RefreshEndEvent*, the *Client* removes any remaining suspect *Events*, since they no longer apply.

The following items should be noted with regard to *ConditionRefresh*:

- As described in 4.4 some systems require that previous states of a *Condition* are preserved for some time. Some *Servers* – in particular if they require acknowledgement of previous states – will maintain separate *ConditionBranches* for prior states that still need attention.

*ConditionRefresh* shall issue *Event Notifications* for all interesting states (current and previous) of a *Condition* instance and *Clients* can therefore receive more than one *Event* for a *Condition* instance with different *BranchIds*.

- Under some circumstances a *Server* may not be capable of ensuring the *Client* is fully in sync with the current state of *Condition* instances. For example if the underlying system represented by the *Server* is reset or communications are lost for some period of time the *Server* may need to resynchronize itself with the underlying system. In these cases the *Server* shall send an *Event* of the *RefreshRequiredEventType* to advise the *Client* that a *Refresh* may be necessary. A *Client* receiving this special *Event* should initiate a *ConditionRefresh* as noted in this clause.
- To ensure a *Client* is always informed, the three special *EventTypes* (*RefreshEndEventType*, *RefreshStartEventType* and *RefreshRequiredEventType*) ignore the *Event* content filtering associated with a *Subscription* and will always be delivered to the *Client*.

#### 4.6 Severity, Quality, and Comment

Comment, Severity and Quality are important elements of *Conditions* and any change to them will cause *Event Notifications*.

The Severity of a *Condition* is inherited from the base *Event* model defined in IEC 62541-5. It indicates the urgency of the *Condition* and is also commonly called ‘priority’, especially in relation to *Alarms* in the *ProcessConditionClass*.

A Comment is a user generated string that is to be associated with a certain state of a *Condition*.

Quality refers to the quality of the data value(s) upon which this *Condition* is based. Since a *Condition* is usually based on one or more *Variables*, the *Condition* inherits the quality of these *Variables*. E.g., if the process value is “Uncertain”, the “LevelAlarm” *Condition* is also questionable. If more than one variable is represented by a given condition or if the condition is from an underlining system and no direct mapping to a variable is available, it is up to the application to determine what quality is displayed as part of the condition.

#### 4.7 Dialogs

Dialogs are *ConditionTypes* used by a *Server* to request user input. They are typically used when a *Server* has entered some state that requires intervention by a *Client*. For example a *Server* monitoring a paper machine indicates that a roll of paper has been wound and is ready for inspection. The *Server* would activate a Dialog *Condition* indicating to the user that an inspection is required. Once the inspection has taken place the user responds by informing the *Server* of an accepted or unaccepted inspection allowing the process to continue.

#### 4.8 Alarms

*Alarms* are specializations of *AcknowledgeableConditions* that add the concepts of an *Active* state, a *Shelving* state and a *Suppressed* state to a *Condition*. The state model is illustrated in Figure 5

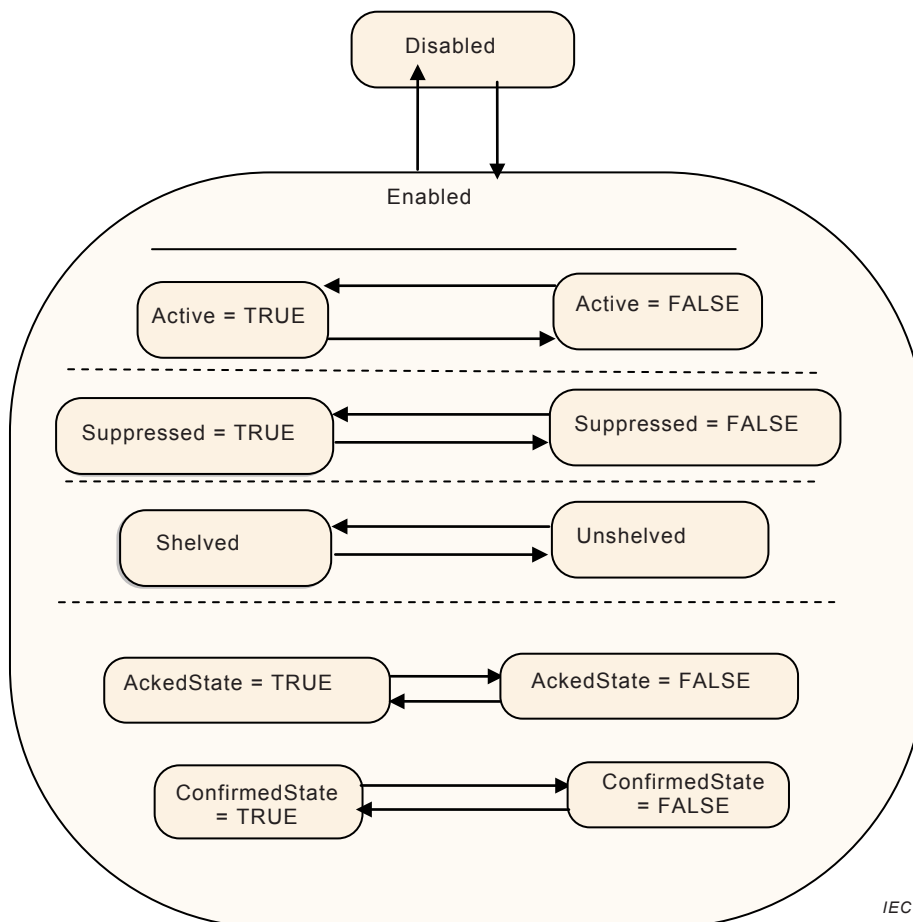


Figure 5 – Alarm State Machine Model

An *Alarm* in the *Active* state indicates that the situation the *Condition* is representing currently exists. When an *Alarm* is in an inactive state it is representing a situation that has returned to a normal state.

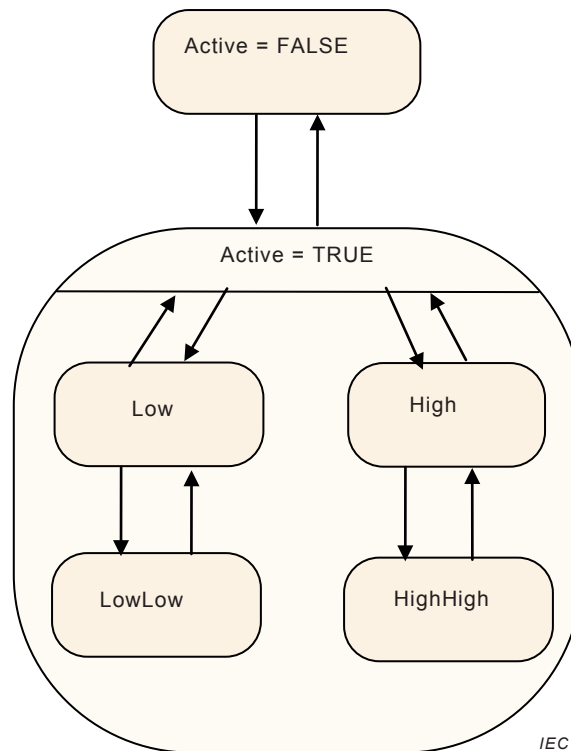
Some *Alarm* subtypes introduce sub-states of the *Active* state. For example an *Alarm* representing a temperature may provide a high level state as well as a critically high state (see following Clause).

The *Shelving* state can be set by an *Operator* via *OPC UA Methods*. The *Suppressed* state is set internally by the *Server* due to system specific reasons. *Alarm* systems typically implement the *Suppress* and *Shelve* features to help keep *Operators* from being overwhelmed during *Alarm* “storms” by limiting the number of *Alarms* an *Operator* sees on a current *Alarm* display. This is accomplished by setting the *SuppressedOrShelved* flag on second order dependent *Alarms* and/or *Alarms* of less severity, leading the *Operator* to concentrate on the most critical issues.

The *Shelved* and *Suppressed* states differ from the *Disabled* state in that *Alarms* are still fully functional and can be included in *Subscription Notifications* to a *Client*.

#### 4.9 Multiple Active States

In some cases it is desirable to further define the *Active* state of an *Alarm* by providing a sub-state machine for the *Active* State. For example a multi-state level *Alarm* when in the *Active* state may be in one of the following sub-states: LowLow, Low, High or HighHigh. The state model is illustrated in Figure 6.



**Figure 6 – Multiple Active States Example**

With the multi-state *Alarm* model, state transitions among the sub-states of *Active* are allowed without causing a transition out of the *Active* state.

To accommodate different use cases both a (mutually) exclusive and a non-exclusive model are supported.



Exclusive means that the *Alarm* can only be in one sub-state at a time. If for example a temperature exceeds the HighHigh limit the associated exclusive LevelAlarm will be in the HighHigh sub-state and not in the High sub-state.

Some *Alarm* systems, however, allow multiple sub-states to exist in parallel. This is called non-exclusive. In the previous example where the temperature exceeds the HighHigh limit a non-exclusive LevelAlarm will be both in the High and the HighHigh sub-state.

#### 4.10 Condition Instances in the Address Space

Because *Conditions* always have a state (*Enabled* or *Disabled*) and possibly many sub-states it makes sense to have instances of *Conditions* present in the *AddressSpace*. If the *Server* exposes *Condition* instances they usually will appear in the *AddressSpace* as components of the *Objects* that “own” them. For example a temperature transmitter that has a built-in high temperature *Alarm* would appear in the *AddressSpace* as an instance of some temperature transmitter *Object* with a *HasComponent Reference* to an instance of a *LevelAlarmType*.

The availability of instances allows Data Access *Clients* to monitor the current *Condition* state by subscribing to the *Attribute* values of *Variable Nodes*.

While exposing *Condition* instances in the *AddressSpace* is not always possible, doing so allows for direct interaction (read, write and *Method* invocation) with a specific *Condition* instance. For example, if a *Condition* instance is not exposed, there is no way to invoke the *Enable* or *Disable Method* for the specific *Condition* instance.

#### 4.11 Alarm and Condition Auditing

The OPC UA Standards include provisions for auditing. Auditing is an important security and tracking concept. Audit records provide the “Who”, “When” and “What” information regarding user interactions with a system. These audit records are especially important when *Alarm* management is considered. *Alarms* are the typical instrument for providing information to a user that something needs the user’s attention. A record of how the user reacts to this information is required in many cases. Audit records are generated for all *Method* calls that affect the state of the system, for example an *Acknowledge Method* call would generate an *AuditConditionAck Event*.

The standard *AuditEventTypes* defined in IEC 62541-5 already includes the fields required for *Condition* related audit records. To allow for filtering and grouping, this standard defines a number of sub-types of the *AuditEventTypes* but without adding new fields to them.

This standard describes the *AuditEventType* that each *Method* is required to generate. For example, the *Disable Method* has an *AlwaysGeneratesEvent Reference* to an *AuditConditionEnableEventType*. An *Event* of this type shall be generated for every invocation of the *Method*. The audit *Event* describes the user interaction with the system, in some cases this interaction may affect more than one *Condition* or be related to more than one state.

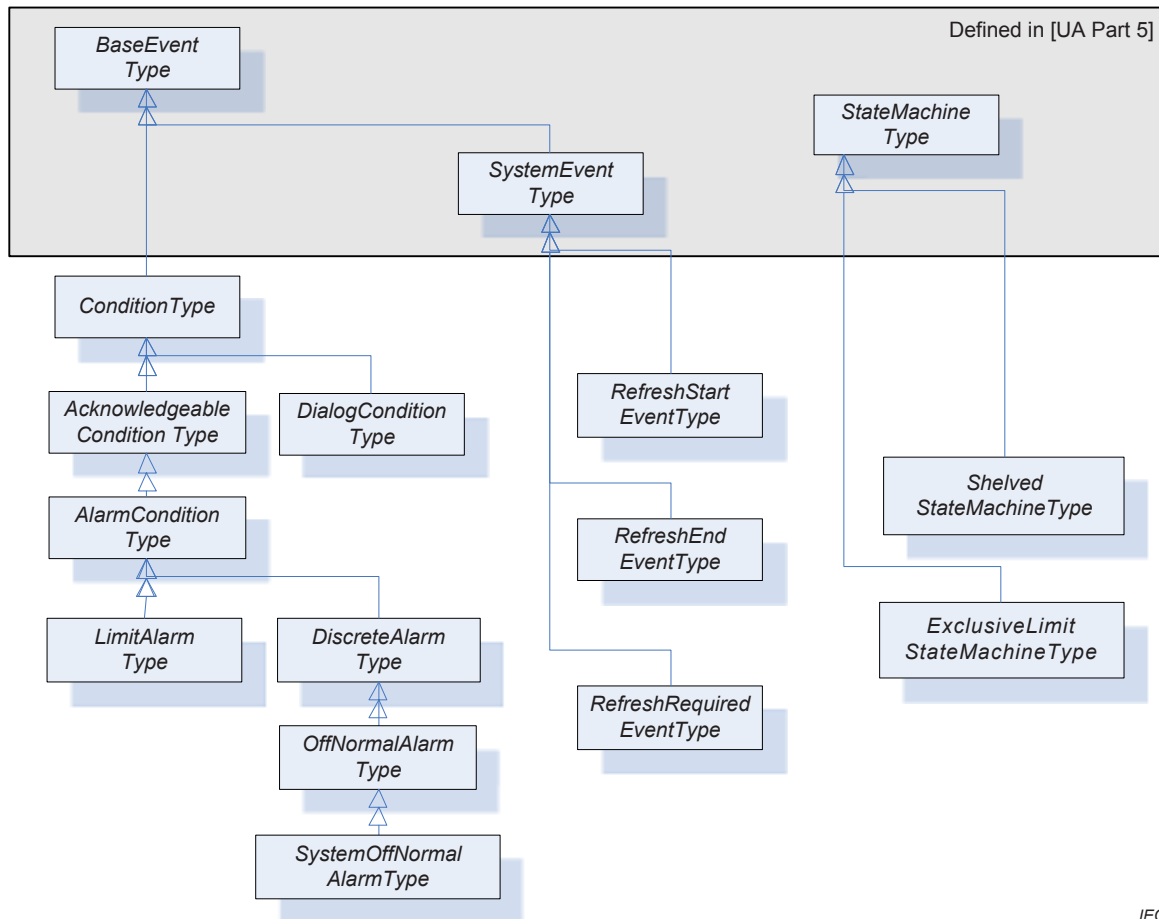
## 5 Model

### 5.1 General

The *Alarm* and *Condition* model extends the OPC UA base *Event* model by defining various *Event Types* based on the *BaseEventType*. All of the *Event Types* defined in this standard can be further extended to form domain or *Server* specific *Alarm* and *Condition Types*.

Instances of *Alarm* and *Condition Types* may be optionally exposed in the *AddressSpace* in order to allow direct access to the state of an *Alarm* or *Condition*.

The following subclauses define the OPC UA *Alarm* and *Condition* Types. Figure 7 informally describes the hierarchy of these *Types*. Subtypes of the *LimitAlarmType* and the *DiscreteAlarmType* are not shown. The full *AlarmConditionType* hierarchy can be found in Figure 11.



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Figure 7 – ConditionType Hierarchy

## 5.2 Two-State State Machines

Most states defined in this standard are simple – i.e. they are either TRUE or FALSE. The *TwoStateVariableType* is introduced specifically for this use case. More complex states are modelled by using a *StateMachineType* defined in IEC 62541-5.

The *TwoStateVariableType* is derived from the *StateVariableType* defined in IEC 62541-5 and formally defined in Table 3.



**Table 3 – TwoStateVariableType Definition**

Attribute	Value				
BrowseName	TwoStateVariableType				
DataType	LocalizedText				
ValueRank	-1 (-1 = Scalar)				
IsAbstract	False				
References	NodeClass	BrowseName	DataType	TypeDefinition	Modelling Rule
Subtype of the <i>StateVariableType</i> defined in IEC 62541-5. Note that a <i>Reference</i> to this subtype is not shown in the definition of the <i>StateVariableType</i>					
HasProperty	Variable	Id	Boolean	PropertyType	Mandatory
HasProperty	Variable	TransitionTime	UtcTime	PropertyType	Optional
HasProperty	Variable	EffectiveTransitionTime	UtcTime	PropertyType	Optional
HasProperty	Variable	TrueState	LocalizedText	PropertyType	Optional
HasProperty	Variable	FalseState	LocalizedText	PropertyType	Optional
HasTrueSubState	StateMachine or TwoStateVariableType	<StateIdentifier>	Defined in 5.4.2		Optional
HasFalseSubState	StateMachine or TwoStateVariableType	<StateIdentifier>	Defined in 5.4.3		Optional

The *Value Attribute* of a *TwoStateVariable* contains the current state as a human readable name. The *EnabledState* for example, might contain the name “Enabled” when TRUE and “Disabled” when FALSE.

*Id* is inherited from the *StateVariableType* and overridden to reflect the required *DataType* (Boolean). The value shall be the current state, i.e. either TRUE or FALSE.

*TransitionTime* specifies the time when the current state was entered.

*EffectiveTransitionTime* specifies the time when the current state or one of its sub states was entered. If, for example, a *LevelAlarm* is active and – while active – switches several times between High and HighHigh, then the *TransitionTime* stays at the point in time where the *Alarm* became active whereas the *EffectiveTransitionTime* changes with each shift of a sub state.

The optional *Property EffectiveDisplayName* from the *StateVariableType* is used if a state has sub states. It contains a human readable name for the current state after taking the state of any *SubStateMachines* in account. As an example, the *EffectiveDisplayName* of the *EnabledState* could contain “Active/HighHigh” to specify that the *Condition* is active and has exceeded the HighHigh limit.

Other optional *Properties* of the *StateVariableType* have no defined meaning for *TwoStateVariables*.

*TrueState* and *FalseState* contain the localized string for the *TwoStateVariable* value when its *Id Property* has the value TRUE or FALSE, respectively. Since the two *Properties* provide meta-data for the *Type*, *Servers* may not allow these *Properties* to be selected in the *Event* filter for a monitored item. *Clients* can use the *Read Service* to get the information from the specific *ConditionType*.

A *HasTrueSubState Reference* is used to indicate that the TRUE state has sub states.

A *HasFalseSubState Reference* is used to indicate that the FALSE state has sub states.

### 5.3 Condition Variables

Various information elements of a *Condition* are not considered to be states. However, a change in their value is considered important and supposed to trigger an *Event Notification*. These information elements are called *ConditionVariables*.

*ConditionVariables* are represented by a *ConditionVariableType* formally defined in Table 4.

**Table 4 – ConditionVariableType Definition**

Attribute	Value				
BrowseName	ConditionVariableType				
DataType	BaseDataType				
ValueRank	-2 (-2 = Any)				
IsAbstract	False				
References	NodeClass	BrowseName	DataType	TypeDefinition	Modelling Rule
Subtype of the <i>BaseDataVariableType</i> defined in IEC 62541-5.					
HasProperty	Variable	SourceTimestamp	UtcTime	PropertyType	Mandatory

*SourceTimestamp* indicates the time of the last change of the *Value* of this *ConditionVariable*. It shall be the same time that would be returned from the *Read Service* inside the *DataValue* structure for the *ConditionVariable Value Attribute*.

## 5.4 Substate Reference Types

### 5.4.1 General

This Clause defines *ReferenceTypes* that are needed beyond those already specified as part of IEC 62541-3 and IEC 62541-5 to extend *TwoState* state machines with substates. These *References* will only exist when substates are available. For example if a *TwoState* machine is in a FALSE State, then any SubStates referenced from the TRUE state will not be available. If an Event is generated while in the FALSE state and information from the TRUE state substate is part of the data that is to be reported than this data would be reported as a NULL. With this approach *TwoStateVariables* can be extended with subordinate state machines in a similar fashion to the *StateMachineType* defined in IEC 62541-5.

### 5.4.2 HasTrueSubState ReferenceType

The *HasTrueSubState ReferenceType* is a concrete *ReferenceType* that can be used directly. It is a subtype of the *NonHierarchicalReferences ReferenceType*.

The semantics indicate that the sub state (the target *Node*) is a subordinate state of the TRUE super state. If more than one state within a *Condition* is a sub state of the same super state (i.e. several *HasTrueSubState References* exist for the same super state) they are all treated as independent substates. The representation in the *AddressSpace* is specified in Table 5.

The *SourceNode* of the *Reference* shall be an instance of a *TwoStateVariableType* and the *TargetNode* shall either be an instance of a *TwoStateVariableType* or an instance of a subtype of a *StateMachineType*.

It is not required to provide the *HasTrueSubState Reference* from super state to sub state, but it is required that the sub state provides the inverse *Reference* (*IsTrueSubStateOf*) to its super state.

**Table 5 – HasTrueSubState ReferenceType**

Attributes	Value		
BrowseName	HasTrueSubState		
InverseName	IsTrueSubStateOf		
Symmetric	False		
IsAbstract	False		
References	NodeClass	BrowseName	Comment

### 5.4.3 HasFalseSubState ReferenceType

The *HasFalseSubState ReferenceType* is a concrete *ReferenceType* that can be used directly. It is a subtype of the *NonHierarchicalReferences ReferenceType*.

The semantics indicate that the sub state (the target *Node*) is a subordinate state of the FALSE super state. If more than one state within a *Condition* is a sub state of the same super state (i.e. several *HasFalseSubState References* exist for the same super state) they are all treated as independent substates. The representation in the *AddressSpace* is specified in Table 6.

The *SourceNode* of the *Reference* shall be an instance of a *TwoStateVariableType* and the *TargetNode* shall either be an instance of a *TwoStateVariableType* or an instance of a subtype of a *StateMachineType*.

It is not required to provide the *HasFalseSubState Reference* from super state to sub state, but it is required that the sub state provides the inverse *Reference* (*IsFalseSubStateOf*) to its super state.

**Table 6 – HasFalseSubState ReferenceType**

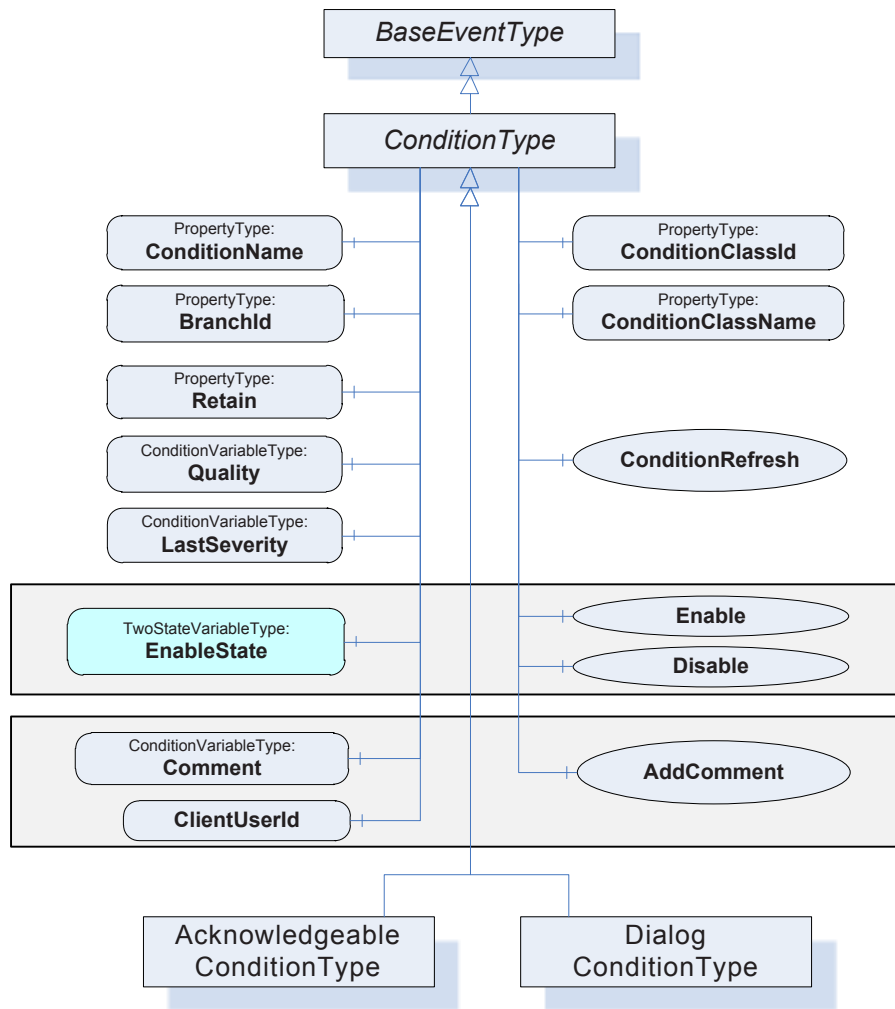
Attributes	Value		
BrowseName	HasFalseSubState		
InverseName	IsFalseSubStateOf		
Symmetric	False		
IsAbstract	False		
References	NodeClass	BrowseName	Comment

## 5.5 Condition Model

### 5.5.1 General

The *Condition* model extends the *Event* model by defining the *ConditionType*. The *ConditionType* introduces the concept of states differentiating it from the base *Event* model. Unlike the *BaseEventTypes*, *Conditions* are not transient. The *ConditionType* is further extended into *Dialog* and *AcknowledgeableConditionTypes*, each of which have their own subtypes.

The *Condition* model is illustrated in Figure 8 and formally defined in the subsequent tables. It is worth noting that this figure, like all figures in this standard, is not intended to be complete. Rather, the figures only illustrate information provided by the formal definitions.



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Figure 8 – Condition Model

### 5.5.2 ConditionType

The *ConditionType* defines all general characteristics of a *Condition*. All other *ConditionTypes* derive from it. It is formally defined in Table 7. The FALSE state of the *EnabledState* shall not be extended with a sub state machine.

Table 7 – ConditionType Definition

Attribute	Value				
BrowseName	ConditionType				
IsAbstract	True				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
Subtype of the <i>BaseEventType</i> defined in IEC 62541-5					
HasSubtype	ObjectType	DialogConditionType	Defined in 5.6.2		
HasSubtype	ObjectType	AcknowledgeableConditionType	Defined in 5.7.2		
HasProperty	Variable	ConditionClassId	NodeId	PropertyType	Mandatory
HasProperty	Variable	ConditionClassName	LocalizedText	PropertyType	Mandatory
HasProperty	Variable	ConditionName	String	PropertyType	Mandatory
HasProperty	Variable	BranchId	NodeId	PropertyType	Mandatory
HasProperty	Variable	Retain	Boolean	PropertyType	Mandatory
HasComponent	Variable	EnabledState	LocalizedText	TwoStateVariableType	Mandatory
HasComponent	Variable	Quality	StatusCode	ConditionVariableType	Mandatory
HasComponent	Variable	LastSeverity	UInt16	ConditionVariableType	Mandatory
HasComponent	Variable	Comment	LocalizedText	ConditionVariableType	Mandatory
HasProperty	Variable	ClientUserId	String	PropertyType	Mandatory
HasComponent	Method	Disable	Defined in 5.5.4		Mandatory
HasComponent	Method	Enable	Defined in 5.5.5		Mandatory
HasComponent	Method	AddComment	Defined in 5.5.6		Mandatory
HasComponent	Method	ConditionRefresh	Defined in 5.5.7		None

The *ConditionType* inherits all *Properties* of the *BaseEventType*. Their semantic is defined in IEC 62541-5. *SourceNode* identifies the *ConditionSource*. See 5.12 for more details. If the *ConditionSource* is not a *Node* in the *AddressSpace* the *NodeId* is set to null. The *SourceNode* is the *Node* which the condition is associated with, it may be the same as the *InputNode* for an alarm, but it may be a separate node. For example a motor, which is a variable with a value that is an RPM, may be the *ConditionSource* for *Conditions* that are related to the motor as well as a temperature sensor associated with the motor. In the former the *InputNode* for the High RPM alarm is the value of the Motor RPM, while in the later the *InputNode* of the High Alarm would be the value of the temperature sensor that is associated with the motor.

*ConditionClassId* specifies in which domain this *Condition* is used. It is the *NodeId* of the corresponding *ConditionClassType*. See 5.9 for the definition of *ConditionClass* and a set of *ConditionClasses* defined in this standard. When using this *Property* for filtering, *Clients* have to specify all individual *ConditionClassType* *NodeIds*. The *OfType* operator cannot be applied. *BaseConditionClassType* is used as class whenever a *Condition* cannot be assigned to a more concrete class.

*ConditionClassName* provides the display name of the *ConditionClassType*.

*ConditionName* identifies the *Condition* instance that the *Event* originated from. It can be used together with the *SourceName* in a user display to distinguish between different *Condition* instances. If a *ConditionSource* has only one instance of a *ConditionType*, and the *Server* has no instance name, the *Server* shall supply the *ConditionType* browse name.

*BranchId* is Null for all *Event Notifications* that relate to the current state of the *Condition* instance. If *BranchId* is not Null it identifies a previous state of this *Condition* instance that still needs attention by an *Operator*. If the current *ConditionBranch* is transformed into a previous *ConditionBranch* then the *Server* needs to assign a non-null *BranchId*. An initial *Event* for the branch will generated with the values of the *ConditionBranch* and the new *BranchId*. The *ConditionBranch* can be updated many times before it is no longer needed. When the *ConditionBranch* no longer requires *Operator* input the final *Event* will have *Retain* set to FALSE. The retain bit on the current *Event* is TRUE, as long as any *ConditionBranches* require *Operator* input. See 4.4 for more information about the need for creating and maintaining previous *ConditionBranches* and Clause B.1 for an example using branches. The *BranchId* *Data Type* is *NodeId* although the *Server* is not required to have *ConditionBranches*

in the *Address Space*. The use of a *NodeId* allows the *Server* to use simple numeric identifiers, strings or arrays of bytes.

*Retain* when TRUE describes a *Condition* (or *ConditionBranch*) as being in a state that is interesting for a *Client* wishing to synchronize its state with the *Server's* state. The logic to determine how this flag is set is *Server* specific. Typically all *Active Alarms* would have the *Retain* flag set; however, it is also possible for inactive *Alarms* to have their *Retain* flag set to TRUE.

In normal processing when a *Client* receives an *Event* with the *Retain* flag set to FALSE, the *Client* should consider this as a *ConditionBranch* that is no longer of interest, in the case of a “current *Alarm* display” the *ConditionBranch* would be removed from the display.

*EnabledState* indicates whether the *Condition* is enabled. *EnabledState/Id* is TRUE if enabled, FALSE otherwise. *EnabledState/TransitionTime* defines when the *EnabledState* last changed. Recommended state names are described in Annex A.

A *Condition's EnabledState* effects the generation of *Event Notifications* and as such results in the following specific behaviour:

- When the *Condition* instance enters the *Disabled* state, the *Retain Property* of this *Condition* shall be set to FALSE by the *Server* to indicate to the *Client* that the *Condition* instance is currently not of interest to *Clients*.
- When the *Condition* instance enters the enabled state, the *Condition* shall be evaluated and all of its *Properties* updated to reflect the current values. If this evaluation causes the *Retain Property* to transition to TRUE for any *ConditionBranch*, then an *Event Notification* shall be generated for that *ConditionBranch*.
- The *Server* may choose to continue to test for a *Condition* instance while it is *Disabled*. However, no *Event Notifications* will be generated while the *Condition* instance is disabled.
- For any *Condition* that exists in the *AddressSpace* the *Attributes* and the following *Variables* will continue to have valid values even in the *Disabled* state; *EventId*, *Event Type*, *Source Node*, *Source Name*, *Time*, and *EnabledState*. Other properties may no longer provide current valid values. All *Variables* that are no longer provided shall return a status of *Bad\_ConditionDisabled*.

When enabled, changes to the following components shall cause a *ConditionType Event Notification*:

- *Quality*;
- *Severity* (inherited from *BaseEventType*);
- *Comment*.

This may not be the complete list. Sub-Types may define additional *Variables* that trigger *Event Notifications*. In general changes to *Variables* of the types *TwoStateVariableType* or *ConditionVariableType* trigger *Event Notifications*.

*Quality* reveals the status of process values or other resources that this *Condition* instance is based upon. If, for example, a process value is “Uncertain”, the associated “LevelAlarm” *Condition* is also questionable. Values for the *Quality* can be any of the OPC *StatusCodes* defined in IEC 62541-8 as well as *Good*, *Uncertain* and *Bad* as defined in IEC 62541-4. These *StatusCodes* are similar to but slightly more generic than the description of data quality in the various *Fieldbus Specifications*. It is the responsibility of the *Server* to map internal status information to these codes. A *Server* which supports no quality information shall return *Good*. This quality can also reflect the communication status associated with the system that this value or resource is based on and from which this *Alarm* was received. For communication errors to the underlying system, especially those that result in some unavailable *Event* fields, the quality shall be *Bad\_NoCommunication* error.



*Events* are only generated for *Conditions* that have their *Retain* field set to true.

*LastSeverity* provides the previous severity of the *ConditionBranch*. Initially this *Variable* contains a zero value; it will return a value only after a severity change. The new severity is supplied via the *Severity Property* which is inherited from the *BaseEventType*.

*Comment* contains the last comment provided for a certain state (*ConditionBranch*). It may have been provided by an *AddComment Method*, some other *Method* or in some other manner. The initial value of this *Variable* is null, unless it is provided in some other manner. If a *Method* provides as an option the ability to set a *Comment*, then the value of this *Variable* is reset to null if an optional comment is not provided.

*ClientUserId* is related to the *Comment* field and contains the identity of the user who inserted the most recent *Comment*. The logic to obtain the *ClientUserId* is defined in IEC 62541-5.

The *Nodeld* of the *Condition* instance is used as *ConditionId*. It is not explicitly modelled as a component of the *ConditionType*. However, it can be requested with the following *SimpleAttributeOperand*, Table 8, in the *SelectClause* of the *EventFilter*:

**Table 8 – Simple Attribute Operand**

Name	Type	Description
SimpleAttributeOperand		
typeId	Nodeld	<i>Nodeld</i> of the <i>ConditionType Node</i>
browsePath[]	QualifiedName	empty
attributeId	IntegerId	Id of the <i>Nodeld Attribute</i>

### 5.5.3 Condition and Branch Instances

*Conditions* are *Objects* which have a state which changes over time. Each *Condition* instance has the *ConditionId* as identifier which uniquely identifies it within the *Server*.

A *Condition* instance may be an *Object* that appears in the *Server Address Space*. If this is the case the *ConditionId* is the *Nodeld* for the *Object*.

The state of a *Condition* instance at any given time is the set values for the *Variables* that belong to the *Condition* instance. If one or more *Variable* values change the *Server* generates an *Event* with a unique *EventId*.

If a *Client* calls *Refresh* the *Server* will report the current state of a *Condition* instance by sending the last *Event* (i.e. the same *EventId* and *Time* is sent).

A *ConditionBranch* is a copy of the *Condition* instance state that can change independently of the current *Condition* instance state. Each *Branch* has an identifier called a *BranchId* which is unique among all active *Branches* for a *Condition* instance. *Branches* are typically not visible in the *Address Space* and this standard does not define a standard way to make them visible.

### 5.5.4 Disable Method

*Disable* used to change a *Condition* instance to the *Disabled* state. Normally, the *MethodId* passed to the *Call Service* is found by browsing the *Condition* instance in the *AddressSpace*. However, some *Servers* do not expose *Condition* instances in the *AddressSpace*. Therefore all *Servers* shall allow *Clients* to call the *Disable* Method by specifying *ConditionId* as the *ObjectId* and the well known *Nodeld* of the *Method* declaration on the *ConditionType* as the *MethodId*.

#### Signature

```
Disable ( ) ;
```

Method Result Codes in Table 9 (defined in Call Service)

**Table 9 – Disable Result Codes**

ResultCode	Description
Bad_ConditionAlreadyDisabled	See Table 70 for the description of this result code.

Table 10 specifies the *AddressSpace* representation for the *Disable Method*.

**Table 10 – Disable Method AddressSpace Definition**

Attribute	Value				
BrowseName	Disable				
References	NodeClass	BrowseName	DataType	TypeDefinition	ModellingRule
AlwaysGeneratesEvent	Defined in 5.10.2			AuditConditionEnableEventType	

### 5.5.5 Enable Method

*Enable* is used to change a *Condition* instance to the enabled state. Normally, the *MethodId* passed to the *Call Service* is found by browsing the *Condition* instance in the *AddressSpace*. However, some *Servers* do not expose *Condition* instances in the *AddressSpace*. Therefore all *Servers* shall allow *Clients* to call the *Enable Method* by specifying *ConditionId* as the *ObjectId* and the well known *NodeId* of the *Method* declaration on the *ConditionType* as the *MethodId*. If the *Condition* instance is not exposed, than it may be difficult for a *Client* to determine the *ConditionId* for a disabled *Condition*.

#### Signature

**Enable** ( ) ;

Method Result Codes in Table 11 (defined in Call Service)

**Table 11 – Enable Result Codes**

ResultCode	Description
Bad_ConditionAlreadyEnabled	See Table 70 for the description of this result code.

Table 12 specifies the *AddressSpace* representation for the *Enable Method*.

**Table 12 – Enable Method AddressSpace Definition**

Attribute	Value				
BrowseName	Enable				
References	NodeClass	BrowseName	DataType	TypeDefinition	ModellingRule
AlwaysGeneratesEvent	Defined in 5.10.2			AuditConditionEnableEventType	

### 5.5.6 AddComment Method

*AddComment* is used to apply a comment to a specific state of a *Condition* instance. Normally, the *MethodId* passed to the *Call Service* is found by browsing the *Condition* instance in the *AddressSpace*. However, some *Servers* do not expose *Condition* instances in the *AddressSpace*. Therefore all *Servers* shall allow *Clients* to call the *AddComment Method* by specifying *ConditionId* as the *ObjectId* and the well known *NodeId* of the *Method* declaration on the *ConditionType* as the *MethodId*. The *Method* cannot be called on the *ConditionType Node*.



## Signature

```

AddComment (
    [in] ByteString      EventId
    [in] LocalizedText  Comment
);

```

The parameters are defined in Table 13

**Table 13 – AddComment Arguments**

Argument	Description
EventId	EventId identifying a particular <i>Event Notification</i> where a state was reported for a <i>Condition</i> .
Comment	A localized text to be applied to the <i>Condition</i> .

Method Result Codes in Table 14 (defined in Call Service).

**Table 14 – AddComment result Codes**

ResultCode	Description
Bad_MethodInvalid	See IEC 62541-4 for the description of this result code. The addressed <i>Condition</i> does not support adding comments.
Bad_EventIdUnknown	See Table 70 for the description of this result code.
Bad_NodeIdUnknown	See IEC 62541-4 for the description of this result code. Used to indicate that the specified <i>Condition</i> is not valid or that the <i>Method</i> was called on the <i>ConditionType Node</i> .

## Comments

*Comments* are added to *Event* occurrences identified via an *EventId*. *EventIds* where the related *EventType* does not support *Comments* at all are rejected.

A *ConditionEvent* – where the *Comment Variable* contains this text – will be sent for the identified state. If a comment is added to a previous state (i.e. a state for which the Server has created a branch), the *BranchId* and all *Condition* values of this branch will be reported.

Table 15 specifies the *AddressSpace* representation for the *AddComment Method*.

**Table 15 – AddComment Method AddressSpace Definition**

Attribute	Value				
BrowseName	AddComment				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	<i>Variable</i>	InputArguments	Argument[]	PropertyType	Mandatory
AlwaysGenerates Event	Defined in 5.10.4			AuditConditionCommentEventType	

### 5.5.7 ConditionRefresh Method

*ConditionRefresh* allows a *Client* to request a *Refresh* of all *Condition* instances that currently are in an interesting state (they have the *Retain* flag set). This includes previous states of a *Condition* instance for which the *Server* maintains *Branches*. A *Client* would typically invoke this *Method* when it initially connects to a *Server* and following any situations, such as communication disruptions, in which it would require resynchronization with the *Server*. This *Method* is only available on the *ConditionType* or its subtypes. To invoke this *Method*, the call shall pass the well known *MethodId* of the *Method* on the *ConditionType* and the *ObjectId* shall be the well known *ObjectId* of the *ConditionType Object*.

## Signature

```
ConditionRefresh (
    [in] IntegerId    SubscriptionId
);
```

The parameters are define in Table 16

**Table 16 – ConditionRefresh Parameters**

Argument	Description
SubscriptionId	A valid <i>Subscription</i> Id of the <i>Subscription</i> to be refreshed.

Method Result Codes in Table 17 (defined in Call Service).

**Table 17 – ConditionRefresh ReturnCodes**

ResultCode	Description
Bad_SubscriptionIdInvalid	See IEC 62541-4 for the description of this result code
Bad_RefreshInProgress	See Table 70 for the description of this result code

## Comments

Subclause 4.5 describes the concept, use cases and information flow in more detail.

The input argument provides a *Subscription* identifier indicating which *Client Subscription* shall be refreshed. If the *Subscription* is accepted the *Server* will react as follows:

- 1) The *Server* issues a *RefreshStartEvent* (defined in 5.11.2) marking the start of *Refresh*. A copy of the *RefreshStartEvent* is queued into the *Event* stream for every *Notifier MonitoredItem* in the *Subscription*. Each of the *Event* copies shall contain the same *EventId*.
- 2) The *Server* issues *Event Notifications* of any *Retained Conditions* and *Retained Branches* of *Conditions* that meet the *Subscriptions* content filter criteria. Note that the *EventId* for such a refreshed *Notification* shall be identical to the one for the original *Notification*.
- 3) The *Server* may intersperse new *Event Notifications* that have not been previously issued to the notifier along with those being sent as part of the *Refresh* request. *Clients* shall check for multiple *Event Notifications* for a *ConditionBranch* to avoid overwriting a new state delivered together with an older state from the *Refresh* process.
- 4) The *Server* issues a *RefreshEndEvent* (defined in 5.11.3) to signal the end of the *Refresh*. A copy of the *RefreshEndEvent* is queued into the *Event* stream for every *Notifier MonitoredItem* in the *Subscription*. Each of the *Events* copies shall contain the same *EventId*.

If more than one *Subscription* is to be refreshed, then the standard call *Service* array processing can be used.

As mentioned above, *ConditionRefresh* shall also issue *Event Notifications* for prior states if they still need attention. In particular this is true for *Condition* instances where previous states still need acknowledgement or confirmation.

Table 18 specifies the *AddressSpace* representation for the *ConditionRefresh Method*.

**Table 18 – ConditionRefresh Method AddressSpace Definition**

Attribute	Value				
BrowseName	ConditionRefresh				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	InputArguments	Argument[]	PropertyType	Mandatory
AlwaysGeneratesEvent	Defined in 5.11.2			RefreshStartEvent	
AlwaysGeneratesEvent	Defined in 5.11.3			RefreshEndEvent	

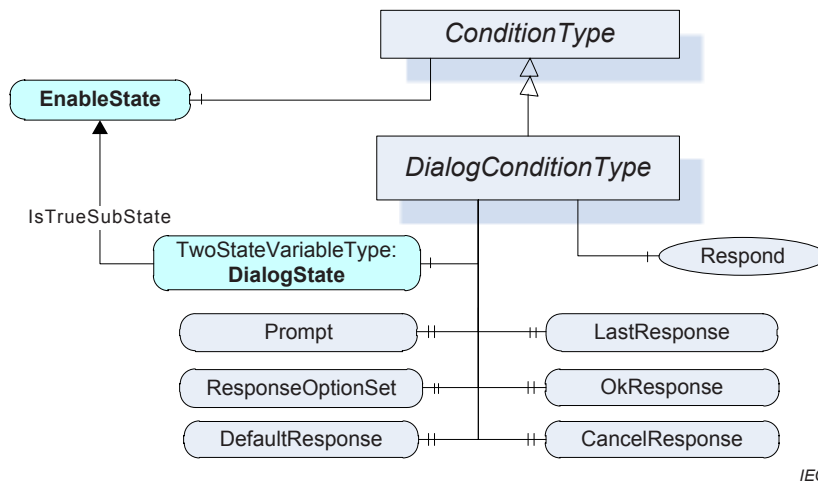
**5.6 Dialog Model**

**5.6.1 General**

The Dialog Model is an extension of the *Condition* model used by a *Server* to request user input. It provides functionality similar to the standard *Message* dialogs found in most operating systems. The model can easily be customized by providing *Server* specific response options in the *ResponseOptionSet* and by adding additional functionality to derived *Condition Types*.

**5.6.2 DialogConditionType**

The *DialogConditionType* is used to represent *Conditions* as dialogs. It is illustrated in Figure 9 and formally defined in Table 19.



**Figure 9 – DialogConditionType Overview**

**Table 19 – DialogConditionType Definition**

Attribute	Value				
BrowseName	DialogConditionType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	Modelling Rule
Subtype of the ConditionType defined in 5.5.2					
HasComponent	Variable	DialogState	LocalizedText	TwoStateVariableType	Mandatory
HasProperty	Variable	Prompt	LocalizedText	PropertyType	Mandatory
HasProperty	Variable	ResponseOptionSet	LocalizedText [ ]	PropertyType	Mandatory
HasProperty	Variable	DefaultResponse	Int32	PropertyType	Mandatory
HasProperty	Variable	LastResponse	Int32	PropertyType	Mandatory
HasProperty	Variable	OkResponse	Int32	PropertyType	Mandatory
HasProperty	Variable	CancelResponse	Int32	PropertyType	Mandatory
HasComponent	Method	Respond	Defined in 5.6.3.		Mandatory

The *DialogConditionType* inherits all *Properties* of the *ConditionType*.

*DialogState/Id* when set to TRUE indicates that the *Dialog* is active and waiting for a response. Recommended state names are described in Annex A.

*Prompt* is a dialog prompt to be shown to the user.

*ResponseOptionSet* specifies the desired set of responses as array of *LocalizedText*. The index in this array is used for the corresponding fields like *DefaultResponse*, *LastResponse* and *SelectedOption* in the *Respond Method*. The recommended localized names for the common options are described in Annex A.

Typical combinations of response options are

- OK
- OK, Cancel
- Yes, No, Cancel
- Abort, Retry, Ignore
- Retry, Cancel
- Yes, No

*DefaultResponse* identifies the response option that should be shown as default to the user. It is the index in the *ResponseOptionSet* array. If no response option is the default, the value of the *Property* is -1.

*LastResponse* contains the last response provided by a *Client* in the *Respond Method*. If no previous response exists then the value of the *Property* is -1.

*OkResponse* provides the index of the OK option in the *ResponseOptionSet* array. This choice is the response that will allow the system to proceed with the operation described by the prompt. This allows a *Client* to identify the OK option if a special handling for this option is available. If no OK option is available the value of this *Property* is -1.

*CancelResponse* provides the index of the response in the *ResponseOptionSet* array that will cause the Dialog to go into the inactive state without proceeding with the operation described by the prompt. This allows a *Client* to identify the Cancel option if a special handling for this option is available. If no Cancel option is available the value of this *Property* is -1.

### 5.6.3 Respond Method

*Respond* is used to pass the selected response option and end the dialog. *DialogState/Id* will return to FALSE.

#### Signature

```
Respond (
    [in] Int32 SelectedResponse
);
```

The parameters are defined in Table 20.

**Table 20 – Repond Parameters**

Argument	Description
SelectedResponse	Selected index of the <i>ResponseOptionSet</i> array.

Method Result Codes in Table 21 (defined in Call Service).

**Table 21 – Respond ResultCodes**

ResultCode	Description
Bad_DialogNotActive	See Table 70 for the description of this result code.
Bad_DialogResponseInvalid	See Table 70 for the description of this result code.

Table 22 specifies the *AddressSpace* representation for the *Respond Method*.

**Table 22 – Respond Method AddressSpace Definition**

Attribute	Value				
BrowseName	Respond				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	InputArguments	Argument[]	PropertyType	Mandatory
AlwaysGeneratesEvent	Defined in 5.10.5			AuditConditionRespondEventType	

## 5.7 Acknowledgeable Condition Model

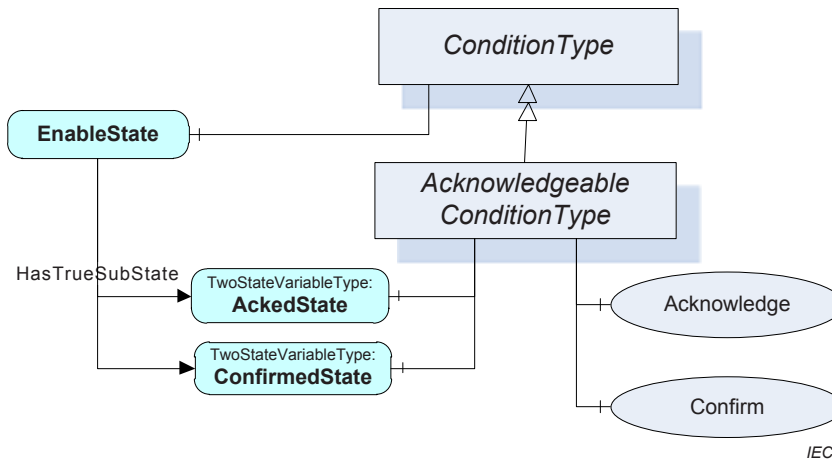
### 5.7.1 General

The Acknowledgeable *Condition* Model extends the *Condition* model. States for acknowledgement and confirmation are added to the *Condition* model.

*AcknowledgeableConditions* are represented by the *AcknowledgeableConditionType* which is a subtype of the *ConditionType*. The model is formally defined in the following subclauses.

### 5.7.2 AcknowledgeableConditionType

The *AcknowledgeableConditionType* extends the *ConditionType* by defining acknowledgement characteristics. It is an abstract type. The *AcknowledgeableConditionType* is illustrated in Figure 10 and formally defined in Table 23.



**Figure 10 – AcknowledgeableConditionType Overview**

**Table 23 – AcknowledgeableConditionType Definition**

Attribute	Value				
BrowseName	AcknowledgeableConditionType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
Subtype of the <i>ConditionType</i> defined in 5.5.2.					
HasSubtype	ObjectType	AlarmConditionType	Defined in 5.8.2		
HasComponent	Variable	AckedState	LocalizedText	TwoStateVariableType	Mandatory
HasComponent	Variable	ConfirmedState	LocalizedText	TwoStateVariableType	Optional
HasComponent	Method	Acknowledge	Defined in 5.7.3		Mandatory
HasComponent	Method	Confirm	Defined in 5.7.4		Optional

The *AcknowledgeableConditionType* inherits all *Properties* of the *ConditionType*.

*AckedState* when FALSE indicates that the *Condition* instance requires acknowledgement for the reported *Condition* state. When the *Condition* instance is acknowledged the *AckedState* is set to TRUE. *ConfirmedState* indicates whether it requires confirmation. Recommended state names are described in Annex A. The two states are sub-states of the TRUE *EnabledState*. See 4.3 for more information about acknowledgement and confirmation models. The *EventId* used in the *Event Notification* is considered the identifier of this state and has to be used when calling the *Methods* for acknowledgement or confirmation.

A *Server* may require that previous states be acknowledged. If the acknowledgement of a previous state is still open and a new state also requires acknowledgement, the *Server* shall create a branch of the *Condition* instance as specified in 4.4. *Clients* are expected to keep track of all *ConditionBranches* where *AckedState/Id* is FALSE to allow acknowledgement of those. See also 5.5.2 for more information about *ConditionBranches* and the examples in Clause B.1. The handling of the *AckedState* and branches also applies to the *ConfirmState*.

### 5.7.3 Acknowledge Method

*Acknowledge* is used to acknowledge an *Event Notification* for a *Condition* instance state where *AckedState* was set to FALSE. Normally, the *MethodId* passed to the *Call Service* is found by browsing the *Condition* instance in the *AddressSpace*. However, some *Servers* do not expose *Condition* instances in the *AddressSpace*. Therefore all *Servers* shall allow *Clients* to call the *Acknowledge* Method by specifying *ConditionId* as the *ObjectId* and the well known *NodeId* of the *Method* declaration on the *AcknowledgeableConditionType* as the *MethodId*. The *Method* cannot be called on the *AcknowledgeableConditionType* Node.

#### Signature

```
Acknowledge (
    [in] ByteString      EventId
    [in] LocalizedText  Comment
);
```

The parameters are defined in Table 24.

**Table 24 – Acknowledge Parameters**

Argument	Description
EventId	EventId identifying a particular <i>Event Notification</i> . Only <i>Event Notifications</i> where <i>AckedState/Id</i> was FALSE can be acknowledged.
Comment	A localized text to be applied to the <i>Condition</i> .

Method Result Codes in Table 25 (defined in *Call Service*).

**Table 25 – Acknowledge result codes**

ResultCode	Description
Bad_ConditionBranchAlreadyAked	See Table 70 for the description of this result code.
Bad_EventIdUnknown	See Table 70 for the description of this result code.
Bad_NodeIdUnknown	See IEC 62541-4 for the description of this result code. Used to indicate that the specified <i>Condition</i> is not valid or that the <i>Method</i> was called on the <i>ConditionType Node</i> .

### Comments

A *Server* is responsible to ensure that each *Event* has a unique *EventId*. This allows *Clients* to identify and acknowledge a particular *Event Notification*.

The *EventId* identifies a specific *Event Notification* where a state to be acknowledged was reported. Acknowledgement and the optional comment will be applied to the state identified with the *EventId*. If the comment field is NULL (both locale and text are empty) it will be ignored and any existing comments will remain unchanged. If the comment is to be reset, an empty text with a locale shall be provided.

A valid *EventId* will result in an *Event Notification* where *AckedState/Id* is set to TRUE and the *Comment Property* contains the text of the optional comment argument. If a previous state is acknowledged, the *BranchId* and all *Condition* values of this branch will be reported. Table 26 specifies the *AddressSpace* representation for the *Acknowledge Method*.

**Table 26 – Acknowledge Method AddressSpace Definition**

Attribute	Value				
BrowseName	Acknowledge				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	InputArguments	Argument[]	PropertyType	Mandatory
AlwaysGeneratesEvent	Defined in 5.10.5			AuditConditionAcknowledge EventType	

### 5.7.4 Confirm Method

*Confirm* is used to confirm an *Event Notifications* for a *Condition* instance state where *ConfirmedState* was set to FALSE. Normally, the *MethodId* passed to the *Call Service* is found by browsing the *Condition* instance in the *AddressSpace*. However, some *Servers* do not expose *Condition* instances in the *AddressSpace*. Therefore all *Servers* shall allow *Clients* to call the *Confirm Method* by specifying *ConditionId* as the *ObjectId* and the well known *NodeId* of the *Method* declaration on the *AcknowledgeableConditionType* as the *MethodId*. The *Method* cannot be called on the *AcknowledgeableConditionType Node*.

### Signature

```

Confirm (
    [in] ByteString      EventId
    [in] LocalizedText  Comment
);

```

The parameters are defined in Table 27.

**Table 27 – Confirm Method Parameters**

Argument	Description
EventId	<i>EventId</i> identifying a particular <i>Event Notification</i> . Only <i>Event Notifications</i> where <i>ConfirmedState/Id</i> was TRUE can be confirmed.
Comment	A localized text to be applied to the <i>Conditions</i> .

Method Result Codes in Table 28 (defined in *Call Service*).



**Table 28 – Confirm Result Codes**

ResultCode	Description
Bad_ConditionBranchAlreadyConfirmed	See Table 70 for the description of this result code.
Bad_EventIdUnknown	See Table 70 for the description of this result code.
Bad_NodeIdUnknown	See IEC 62541-4 for the description of this result code. Used to indicate that the specified <i>Condition</i> is not valid or that the <i>Method</i> was called on the <i>ConditionType Node</i> .

## Comments

A *Server* is responsible to ensure that each *Event* has a unique *EventId*. This allows *Clients* to identify and confirm a particular *Event Notification*.

The *EventId* identifies a specific *Event Notification* where a state to be confirmed was reported. A *Comment* can be provided which will be applied to the state identified with the *EventId*.

A valid *EventId* will result in an *Event Notification* where *ConfirmedState/Id* is set to TRUE and the *Comment Property* contains the text of the optional comment argument. If a previous state is confirmed, the *BranchId* and all *Condition* values of this branch will be reported.

Table 29 specifies the *AddressSpace* representation for the *Confirm Method*.

**Table 29 – Confirm Method AddressSpace Definition**

Attribute	Value				
BrowseName	Confirm				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	<i>Variable</i>	InputArguments	Argument[]	PropertyType	Mandatory
AlwaysGeneratesEvent	Defined in 5.10.7			AuditConditionConfirm EventType	

## 5.8 Alarm Model

### 5.8.1 General

Figure 11 informally describes the *AlarmConditionType*, its sub-types and where it is in the hierarchy of *Event Types*.



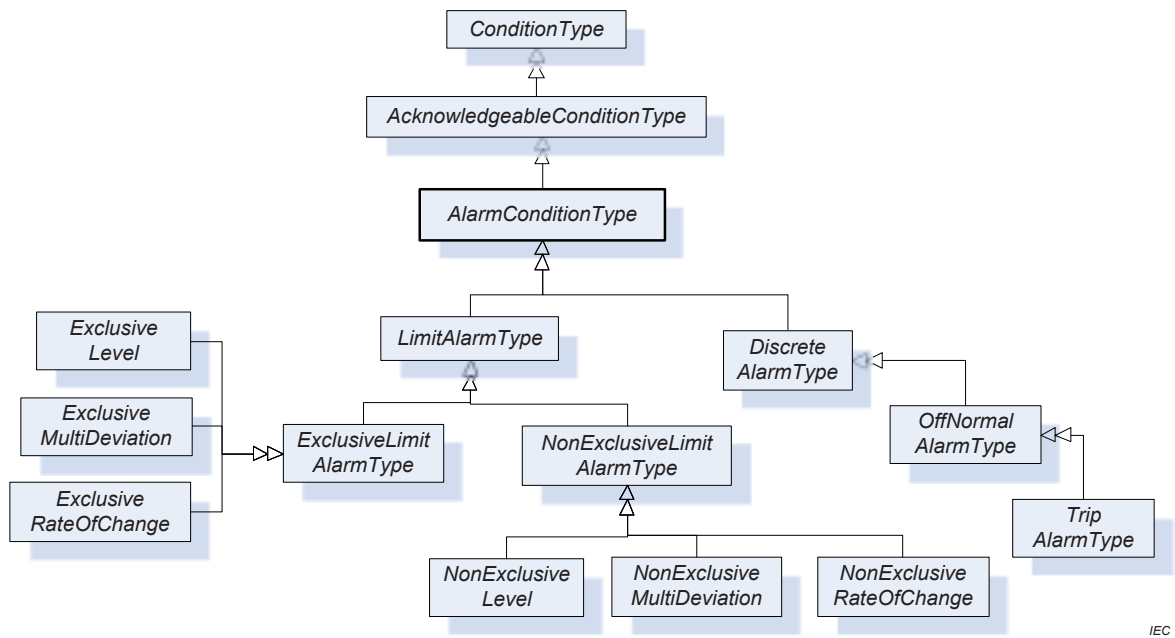


Figure 11 – AlarmConditionType Hierarchy Model

5.8.2 AlarmConditionType

The *AlarmConditionType* is an abstract type that extends the *AcknowledgeableConditionType* by introducing an *ActiveState*, *SuppressedState* and *ShelvingState*. The *Alarm* model is illustrated in Figure 12. This illustration is not intended to be a complete definition. It is formally defined in Table 30.

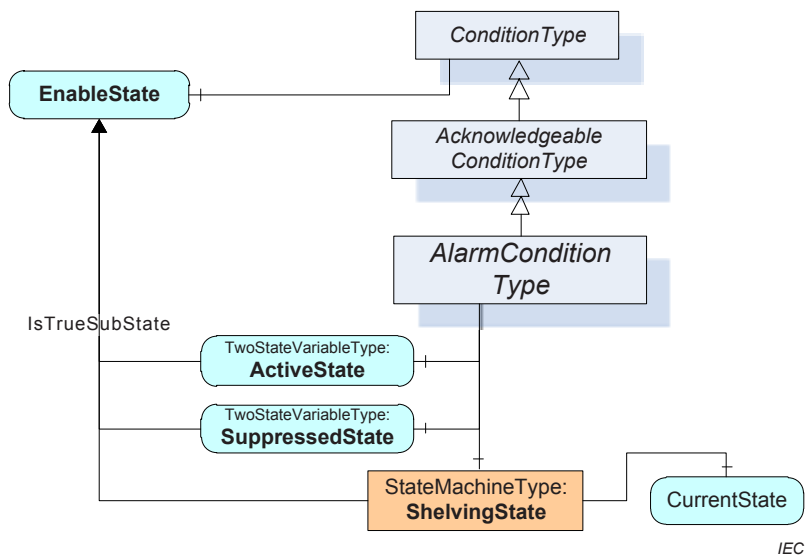


Figure 12 – Alarm Model

**Table 30 – AlarmConditionType Definition**

Attribute	Value				
BrowseName	AlarmConditionType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	Modelling Rule
Subtype of the AcknowledgeableConditionType defined in 5.7.2					
HasComponent	Variable	ActiveState	LocalizedText	TwoStateVariableType	Mandatory
HasProperty	Variable	InputNode	NodeId	PropertyType	Mandatory
HasComponent	Variable	SuppressedState	LocalizedText	TwoStateVariableType	Optional
HasComponent	Object	ShelvingState		ShelvedStateMachineType	Optional
HasProperty	Variable	SuppressedOrShelved	Boolean	PropertyType	Mandatory
HasProperty	Variable	MaxTimeShelved	Duration	PropertyType	Optional

The *AlarmConditionType* inherits all *Properties* of the *AcknowledgeableConditionType*. The following states are sub-states of the TRUE *EnabledState*.

*ActiveState/Id* when set to TRUE indicates that the situation the *Condition* is representing currently exists. When a *Condition* instance is in the inactive state (*ActiveState/Id* when set to FALSE) it is representing a situation that has returned to a normal state. The transitions of *Conditions* to the inactive and *Active* states are triggered by *Server* specific actions. Sub-Types of the *AlarmConditionType* specified later in this standard will have sub-state models that further define the *Active* state. Recommended state names are described in Annex A.

The *InputNode Property* provides the *NodeId* of the *Variable* the *Value* of which is used as primary input in the calculation of the *Alarm* state. If this *Variable* is not in the *AddressSpace*, a Null *NodeId* shall be provided. In some systems, an *Alarm* may be calculated based on multiple *Variables Values*, it is up to the system to determine which *Variable's* *NodeId* is used.

*SuppressState* is used internally by a *Server* to automatically suppress *Alarms* due to system specific reasons. For example a system may be configured to suppress *Alarms* that are associated with machinery that is shutdown, such as a low level *Alarm* for a tank that is currently not in use. Recommended state names are described in Annex A.

*ShelvingState* suggests whether an *Alarm* shall (temporarily) be prevented from being displayed to the user. It is quite often used to block nuisance *Alarms*. The *ShelvingState* is defined in 5.8.3 .

The *SuppressedState* and the *ShelvingState* together result in the *SuppressedOrShelved* status of the *Condition*. When an *Alarm* is in one of the states, the *SuppressedOrShelved* property will be set TRUE and this *Alarm* is then typically not displayed by the *Client*. State transitions associated with the *Alarm* do occur, but they are not typically displayed by the *Clients* as long as the *Alarm* remains in either the *Suppressed* or *Shelved* state.

The optional *Property MaxTimeShelved* is used to set the maximum time that an *Alarm Condition* may be shelved. The value is expressed as duration. Systems can use this *Property* to prevent permanent *Shelving* of an *Alarm*. If this *Property* is present it will be an upper limit on the duration passed into a *TimedShelve Method* call. If a value that exceeds the value of this property is passed to the *TimedShelve Method*, than a *Bad\_ShelvingTimeOutOfRange* error code is returned on the call. If this *Property* is present it will also be enforced for the *OneShotShelved* state, in that an *Alarm Condition* will transition to the *Unshelved* state from the *OneShotShelved* state if the duration specified in this *Property* expires following a *OneShotShelve* operation without a change of any of the other items associated with the *Condition*.

More details about the *Alarm Model* and the various states can be found in 4.8.

### 5.8.3 ShelvedStateMachineType

#### 5.8.3.1 Overview

The *ShelvedStateMachineType* defines a sub-state machine that represents an advanced *Alarm* filtering model. This model is illustrated in Figure 14.

The state model supports two types of *Shelving*: *OneShotShelving* and *TimedShelving*. They are illustrated in Figure 13. The illustration includes the allowed transitions between the various sub-states. *Shelving* is an *Operator* initiated activity.

In *OneShotShelving*, a user requests that an *Alarm* be Shelved for its current *Active* state. This type of *Shelving* is typically used when an *Alarm* is continually occurring on a boundary (i.e. a *Condition* is jumping between High *Alarm* and HighHigh *Alarm*, always in the *Active* state). The One Shot *Shelving* will automatically clear when an *Alarm* returns to an inactive state. Another use for this type of *Shelving* is for a plant area that is shutdown i.e. a long running *Alarm* such as a low level *Alarm* for a tank that is not in use. When the tank starts operation again the *Shelving* state will automatically clear.

In *TimedShelving*, a user specifies that an *Alarm* be shelved for a fixed time period. This type of *Shelving* is quite often used to block nuisance *Alarms*. For example, an *Alarm* that occurs more than 10 times in a minute may get shelved for a few minutes.

In all states, the *Unshelve* can be called to cause a transition to the Unshelve state; this includes *Un-shelving* an *Alarm* that is in the *TimedShelve* state before the time has expired and the *OneShotShelve* state without a transition to an inactive state.

All but two transitions are caused by *Method* calls as illustrated in Figure 13. The “Time Expired” transition is simply a system generated transition that occurs when the time value defined as part of the “Timed Shelved Call” has expired. The “Any Transition Occurs” transition is also a system generated transition; this transition is generated when the *Condition* goes to an inactive state.

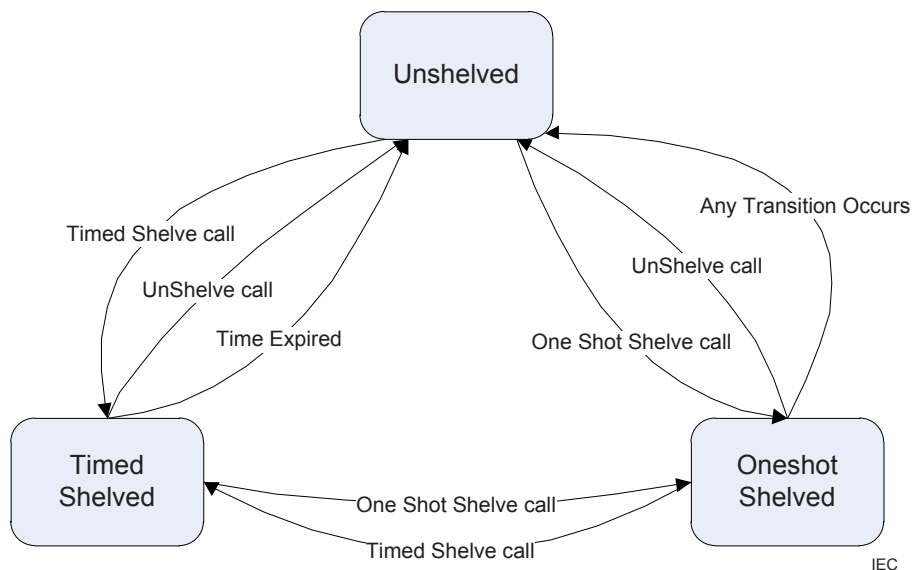


Figure 13 – Shelve state transitions

The *ShelvedStateMachine* includes a hierarchy of sub-states. It supports all transitions between Unshelved, OneShotShelved and TimedShelved.

The state machine is illustrated in Figure 14 and formally defined in Table 31.

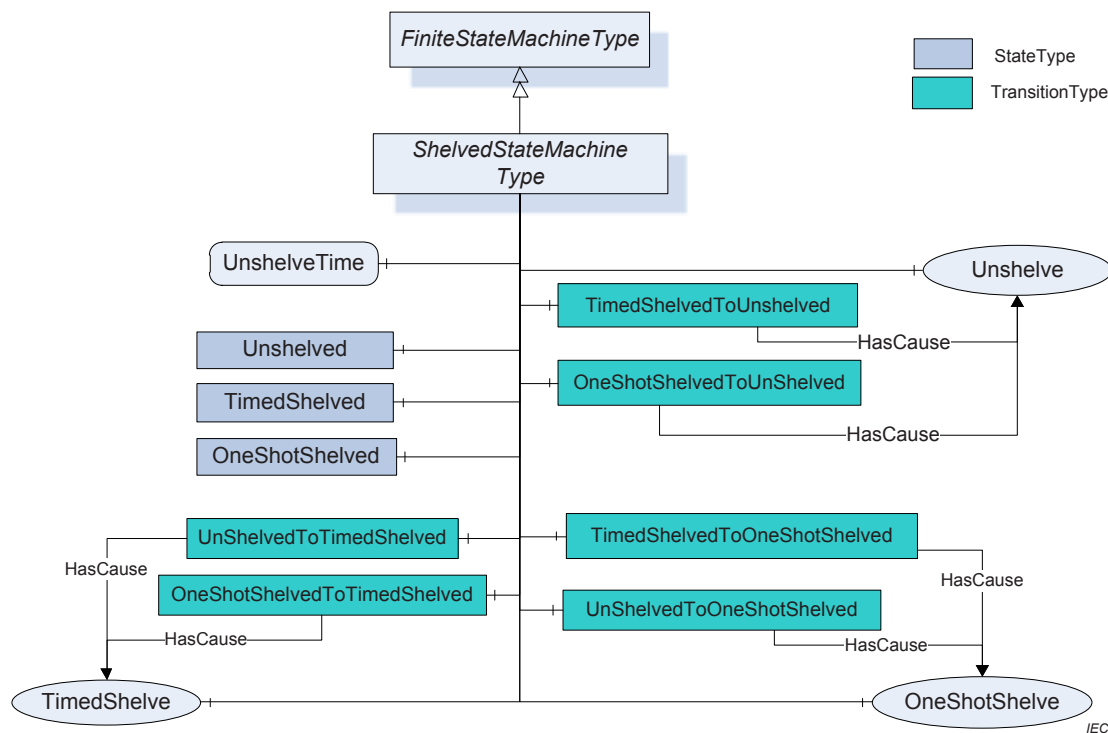


Figure 14 – Shelved State Machine Model

Table 31 –ShelvedStateMachine Definition

Attribute	Value				
BrowseName	ShelvedStateMachineType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
Subtype of the <i>FiniteStateMachineType</i> defined in IEC 62541-5					
HasProperty	Variable	UnshelveTime	Duration	PropertyType	Mandatory
HasComponent	Object	Unshelved		StateType	Mandatory
HasComponent	Object	TimedShelved		StateType	Mandatory
HasComponent	Object	OneShotShelved		StateType	Mandatory
HasComponent	Object	UnshelvedToTimedShelved		TransitionType	Mandatory
HasComponent	Object	TimedShelvedToUnshelved		TransitionType	Mandatory
HasComponent	Object	TimedShelvedToOneShotShelved		TransitionType	Mandatory
HasComponent	Object	UnshelvedToOneShotShelved		TransitionType	Mandatory
HasComponent	Object	OneShotShelvedToUnshelved		TransitionType	Mandatory
HasComponent	Object	OneShotShelvedToTimedShelved		TransitionType	Mandatory
HasComponent	Method	TimedShelve	Defined in 5.8.3.3		Mandatory
HasComponent	Method	OneShotShelve	Defined in 5.8.3.4		Mandatory
HasComponent	Method	Unshelve	Defined in 5.8.3.2		Mandatory

*UnshelveTime* specifies the remaining time in milliseconds until the *Alarm* automatically transitions into the *Un-shelved* state. For the *TimedShelved* state this time is initialised with the *ShelvingTime* argument of the *TimedShelve Method* call. For the *OneShotShelved* state the *UnshelveTime* will be a constant set to the maximum *Duration* except if a *MaxTimeShelved* Property is provided.

This *FiniteStateMachine* supports three *Active* states; *Unshelved*, *TimedShelved* and *OneShotShelved*. It also supports six transitions. The states and transitions are described in Table 32. This *FiniteStateMachine* also supports three *Methods*; *TimedShelve*, *OneShotShelve* and *Unshelve*.

**Table 32 – ShelvedStateMachine Transitions**

BrowseName	References	BrowseName	TypeDefinition
<b>Transitions</b>			
UnshelvedToTimedShelved	FromState	Unshelved	StateType
	ToState	TimedShelved	StateType
	HasEffect	AlarmConditionType	
UnshelvedToOneShotShelved	FromState	Unshelved	StateType
	ToState	OneShotShelved	StateType
	HasEffect	AlarmConditionType	
TimedShelvedToUnshelved	FromState	TimedShelved	StateType
	ToState	Unshelved	StateType
	HasEffect	AlarmConditionType	
TimedShelvedToOneShotShelved	FromState	TimedShelved	StateType
	ToState	OneShotShelved	StateType
	HasEffect	AlarmConditionType	
OneShotShelvedToUnshelved	FromState	OneShotShelved	StateType
	ToState	Unshelved	StateType
	HasEffect	AlarmConditionType	
OneShotShelvedToTimedShelved	FromState	OneShotShelved	StateType
	ToState	TimedShelved	StateType
	HasEffect	AlarmConditionType	
	HasCause	TimedShelve	Method

### 5.8.3.2 Unshelve Method

*Unshelve* sets the *AlarmCondition* to the Unshelved state.

#### Signature

```
Unshelve ( );
```

Method Result Codes in Table 33 (defined in Call Service).

**Table 33 – Unshelve Result Codes**

ResultCode	Description
Bad_ConditionNotShelved	See Table 70 for the description of this result code.

Table 34 specifies the *AddressSpace* representation for the *Unshelve Method*.

**Table 34 – Unshelve Method AddressSpace Definition**

Attribute	Value				
BrowseName	Unshelve				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
AlwaysGeneratesEvent	Defined in 5.10.7			AuditConditionShelvingEventType	

### 5.8.3.3 TimedShelve Method

*TimedShelve* sets the *AlarmCondition* to the TimedShelved state (Parameters are defined in Table 35 and result code are described in Table 36).

#### Signature

```
TimedShelve (
    [in] Duration ShelvingTime
);
```

**Table 35 – TimedShelve Parameters**

Argument	Description
ShelvingTime	Specifies a fixed time for which the <i>Alarm</i> is to be shelved. The <i>Server</i> may refuse the provided duration. If a <i>MaxTimeShelved</i> Property exist on the <i>Alarm</i> than the <i>Shelving</i> time shall be less than or equal to the value of this Property.

Method Result Codes (defined in Call Service).

**Table 36 – TimedShelve Result Codes**

ResultCode	Description
Bad_ConditionAlreadyShelved	See Table 70 for the description of this result code. The <i>Alarm</i> is already in TimedShelved state and the system does not allow a reset of the shelved timer.
Bad_ShelvingTimeOutOfRange	See Table 70 for the description of this result code.

### Comments

*Shelving* for some time is quite often used to block nuisance *Alarms*. For example, an *Alarm* that occurs more than 10 times in a minute may get shelved for a few minutes.

In some systems the length of time covered by this duration may be limited and the *Server* may generate an error refusing the provided duration. This limit may be exposed as the *MaxTimeShelved Property*.

Table 37 specifies the *AddressSpace* representation for the *TimedShelve Method*.

**Table 37 – TimedShelve Method AddressSpace Definition**

Attribute	Value				
BrowseName	TimedShelve				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	InputArguments	Argument[]	PropertyType	Mandatory
AlwaysGenerates Event	Defined in 5.10.7			AuditConditionShelvingEventType	

### 5.8.3.4 OneShotShelve Method

*OneShotShelve* sets the *AlarmCondition* to the OneShotShelved state.

#### Signature

```
OneShotShelve( );
```

Method Result Codes, in Table 38 (defined in Call Service).

**Table 38 – OneShotShelve Result Codes**

ResultCode	Description
Bad_AlreadyShelved	See Table 70 for the description of this result code. The <i>Alarm</i> is already in OneShotShelved state.

Table 39 specifies the *AddressSpace* representation for the *OneShotShelve Method*.

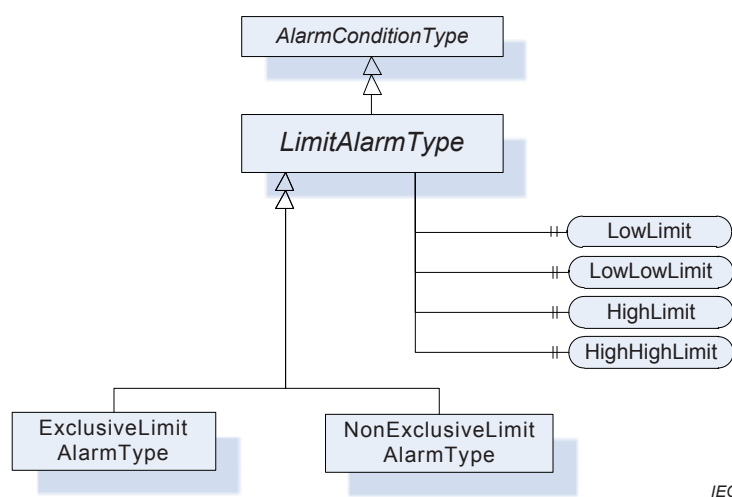
**Table 39 – OneShotShelve Method AddressSpace Definition**

Attribute	Value				
BrowseName	OneShotShelve				
References	NodeClass	BrowseName	DataType	TypeDefinition	ModellingRule
AlwaysGeneratesEvent	Defined in 5.10.7			AuditConditionShelvingEventType	

#### 5.8.4 LimitAlarmType

*Alarms* can be modelled with multiple exclusive sub-states and assigned limits or they may be modelled with non exclusive limits that can be used to group multiple states together.

The *LimitAlarmType* is an abstract type used to provide a base *Type* for *AlarmConditions* with multiple limits. The *LimitAlarmType* is illustrated in Figure 15.



IEC

**Figure 15 – LimitAlarmType**

The *LimitAlarmType* is formally defined in Table 40.

**Table 40 – LimitAlarmType Definition**

Attribute	Value				
BrowseName	LimitAlarmType				
IsAbstract	False				
References	NodeClass	BrowseName	DataType	TypeDefinition	Modelling Rule
Subtype of the AlarmConditionType defined in 5.8.2.					
HasSubtype	ObjectType	ExclusiveLimitAlarmType	Defined in 5.8.5.3		
HasSubtype	ObjectType	NonExclusiveLimitAlarmType	Defined in 5.8.6		
HasProperty	Variable	HighHighLimit	Double	PropertyType	Optional
HasProperty	Variable	HighLimit	Double	PropertyType	Optional
HasProperty	Variable	LowLimit	Double	PropertyType	Optional
HasProperty	Variable	LowLowLimit	Double	PropertyType	Optional

Four optional limits are defined that configure the states of the derived limit *Alarm* Types. These *Properties* shall be set for any *Alarm* limits that are exposed by the derived limit *Alarm* Types. These *Properties* are listed as optional but at least one is required. For cases where an underlying system cannot provide the actual value of a limit, the limit *Property* shall still be provided, but will have its *AccessLevel* set to not readable.

The *Alarm* limits listed may cause an *Alarm* to be generate when a value equals the limit or it may generate the *Alarm* when the limit is exceeded, (i.e. the Value is above the limit for

HighLimit and below the limit for LowLimit). The exact behaviour when the value is equal to the limit is *Server* specific.

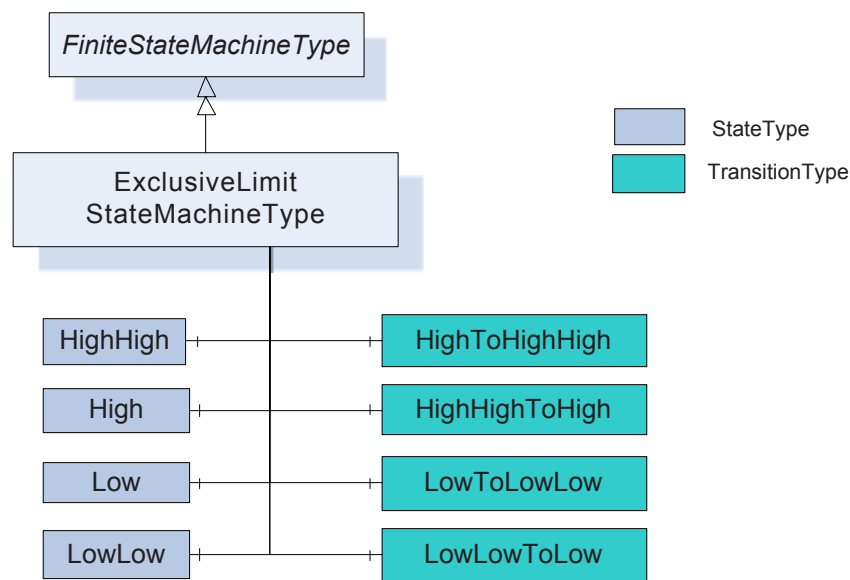
## 5.8.5 ExclusiveLimit Types

### 5.8.5.1 Overview

This Clause describes the state machine and the base *Alarm* Type behaviour for *Alarm* Types with multiple mutually exclusive limits.

### 5.8.5.2 ExclusiveLimitStateMachineType

The *ExclusiveLimitStateMachineType* defines the state machine used by *AlarmTypes* that handle multiple mutually exclusive limits. It is illustrated in Figure 16.



IEC

Figure 16 – ExclusiveLimitStateMachine

It is created by extending the *FiniteStateMachineType*. It is formally defined in Table 41 and the state transitions are described in Table 42.

Table 41 – ExclusiveLimitStateMachineType Definition

Attribute	Value				
BrowseName	ExclusiveLimitStateMachineType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
Subtype of the <i>FiniteStateMachineType</i>					
HasComponent	Object	HighHigh		StateType	Optional
HasComponent	Object	High		StateType	Optional
HasComponent	Object	Low		StateType	Optional
HasComponent	Object	LowLow		StateType	Optional
HasComponent	Object	LowToLowLow		TransitionType	Optional
HasComponent	Object	LowLowToLow		TransitionType	Optional
HasComponent	Object	HighToHighHigh		TransitionType	Optional
HasComponent	Object	HighHighToHigh		TransitionType	Optional



**Table 42 – ExclusiveLimitStateMachineType Transitions**

BrowseName	References	BrowseName	TypeDefinition
<b>Transitions</b>			
HighHighToHigh	FromState	HighHigh	StateType
	ToState	High	StateType
	HasEffect	AlarmConditionType	
HighToHighHigh	FromState	High	StateType
	ToState	HighHigh	StateType
	HasEffect	AlarmConditionType	
LowLowToLow	FromState	LowLow	StateType
	ToState	Low	StateType
	HasEffect	AlarmConditionType	
LowToLowLow	FromState	Low	StateType
	ToState	LowLow	StateType
	HasEffect	AlarmConditionType	

The *ExclusiveLimitStateMachine* defines the sub state machine that represents the actual level of a multilevel *Alarm* when it is in the *Active* state. The sub state machine defined here includes High, Low, HighHigh and LowLow states. This model also includes in its transition state a series of transition to and from a parent state, the inactive state. This state machine as it is defined shall be used as a sub state machine for a state machine which has an *Active* state. This *Active* state could be part of a “level” *Alarm* or “deviation” *Alarm* or any other *Alarm* state machine.

The LowLow, Low, High, HighHigh are typical for many industries. Vendors can introduce sub-state models that include additional limits; they may also omit limits in an instance.

### 5.8.5.3 ExclusiveLimitAlarmType

The *ExclusiveLimitAlarmType* is used to specify the common behaviour for *Alarm Types* with multiple mutually exclusive limits. The *ExclusiveLimitAlarmType* is illustrated in Figure 17.

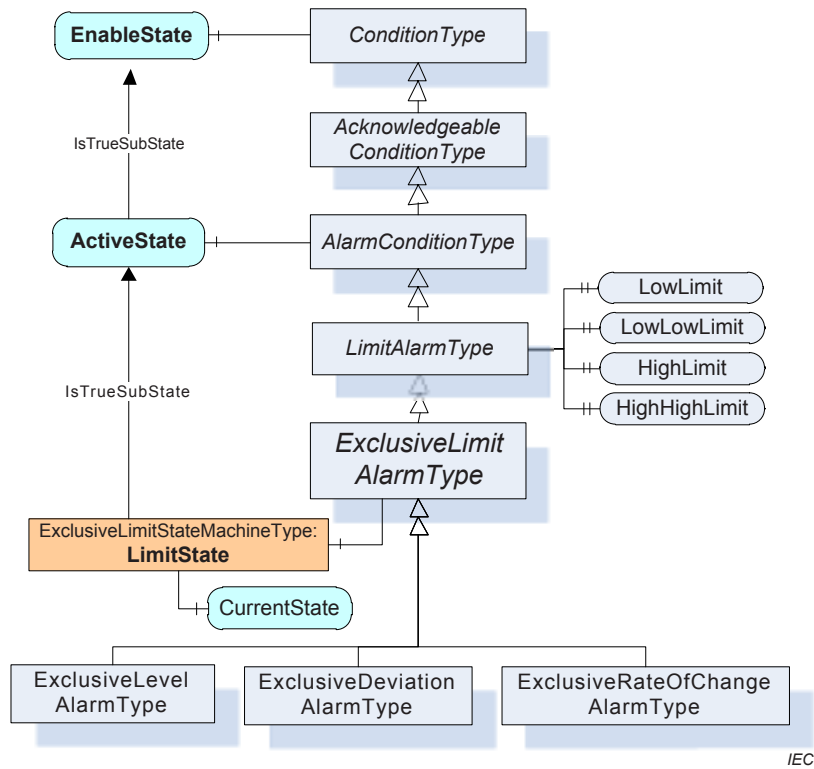


Figure 17 – ExclusiveLimitAlarmType

The *ExclusiveLimitAlarmType* is formally defined in Table 43.

Table 43 – ExclusiveLimitAlarmType Definition

Attribute	Value				
BrowseName	ExclusiveLimitAlarmType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	Modelling Rule
Subtype of the <i>LimitAlarmType</i> defined in 5.8.4.					
HasSubtype	ObjectType	ExclusiveLevelAlarmType		Defined in 5.8.7.3	
HasSubtype	ObjectType	ExclusiveDeviationAlarm Type		Defined in 5.8.8.3	
HasSubtype	ObjectType	ExclusiveRateOfChange AlarmType		Defined in 5.8.9.3	
HasComponent	Object	LimitState		<i>ExclusiveLimitStateMachineType</i>	Mandatory

The *LimitState* is a Substate of the *ActiveState* and has a *IsTrueSubstate* reference to the *ActiveState*. The *LimitState* represents the actual limit that is violated in an *ExclusiveLimitAlarm*. When the *ActiveState* of the *AlarmConditionType* is inactive the *LimitState* shall not be available and shall return NULL on read. Any *Events* that subscribe for fields from the *LimitState* when the *ActiveState* is inactive shall return a NULL for these unavailable fields

### 5.8.6 NonExclusiveLimitAlarmType

The *NonExclusiveLimitAlarmType* is used to specify the common behaviour for *Alarm Types* with multiple non-exclusive limits. The *NonExclusiveLimitAlarmType* is illustrated in Figure 18.

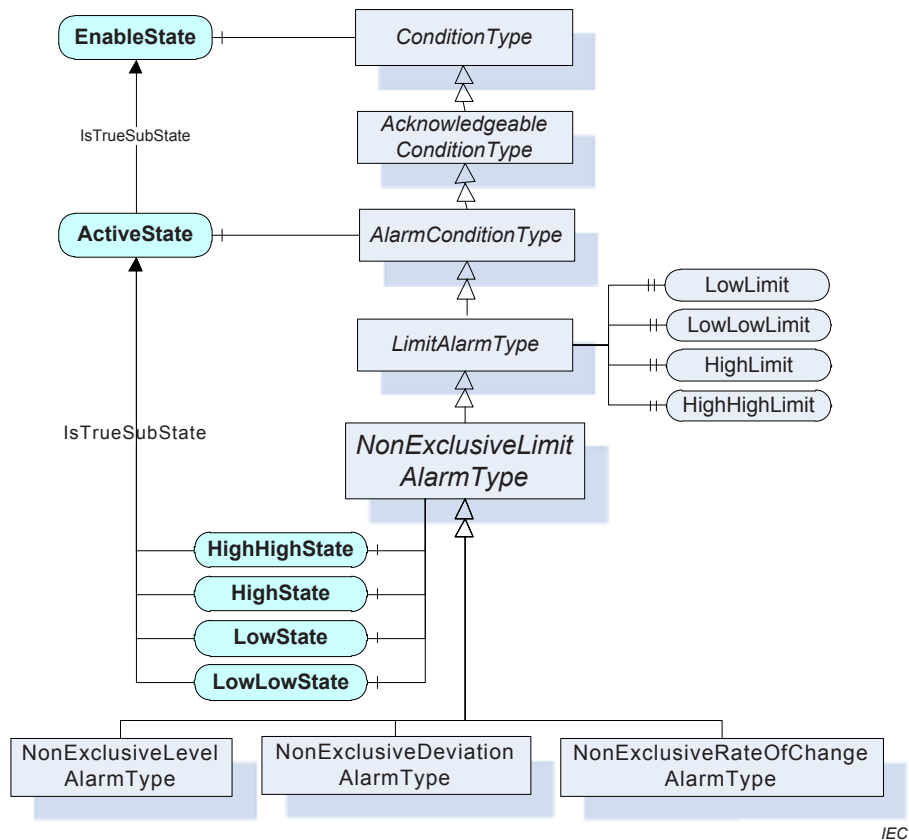


Figure 18 – NonExclusiveLimitAlarmType

The *NonExclusiveLimitAlarmType* is formally defined in Table 44.

Table 44 – NonExclusiveLimitAlarmType Definition

Attribute	Value				
BrowseName	NonExclusiveLimitAlarmType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	Type Definition	Modelling Rule
Subtype of the <i>LimitAlarmType</i> defined in 5.8.4.					
HasSubtype	ObjectType	NonExclusiveLevelAlarmType	Defined in 5.8.7.2		
HasSubtype	ObjectType	NonExclusiveDeviationAlarmType	Defined in 5.8.8.2		
HasSubtype	ObjectType	NonExclusiveRateOfChangeAlarmType	Defined in 5.8.9.2		
HasComponent	Variable	HighHighState	LocalizedText	TwoStateVariableType	Optional
HasComponent	Variable	HighState	LocalizedText	TwoStateVariableType	Optional
HasComponent	Variable	LowState	LocalizedText	TwoStateVariableType	Optional
HasComponent	Variable	LowLowState	LocalizedText	TwoStateVariableType	Optional

*HighHighState*, *HighState*, *LowState*, and *LowLowState* represent the non-exclusive states. As an example, it is possible that both *HighState* and *HighHighState* are in their TRUE state. Vendors may choose to support any subset of these states. Recommended state names are described in Annex A.

Four optional limits are defined that configure these states. At least the *HighState* or the *LowState* shall be provided even though all states are optional. It is implied by the definition of a *HighState* and a *LowState*, that these groupings are mutually exclusive. A value cannot exceed both a *HighState* value and a *LowState* value simultaneously.

## 5.8.7 Level Alarm

### 5.8.7.1 Overview

A level *Alarm* is commonly used to report when a limit is exceeded. It typically relates to an instrument – e.g. a temperature meter. The level *Alarm* becomes active when the observed value is above a high limit or below a low limit.

### 5.8.7.2 NonExclusiveLevelAlarmType

The *NonExclusiveLevelAlarmType* is a special level *Alarm* utilized with one or more non-exclusive states. If for example both the High and HighHigh states need to be maintained as active at the same time this *AlarmType* should be used.

The *NonExclusiveLevelAlarmType* is based on the *NonExclusiveLimitAlarmType*. It is formally defined in Table 45.

**Table 45 – NonExclusiveLevelAlarmType Definition**

Attribute	Value				
BrowseName	NonExclusiveLevelAlarmType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
Subtype of the NonExclusiveLimitAlarmType defined in 5.8.6.					

No additional *Properties* to the *NonExclusiveLimitAlarmType* are defined.

### 5.8.7.3 ExclusiveLevelAlarmType

The *ExclusiveLevelAlarmType* is a special level *Alarm* utilized with multiple mutually exclusive limits. It is formally defined in Table 46.

**Table 46 – ExclusiveLevelAlarmType Definition**

Attribute	Value				
BrowseName	ExclusiveLevelAlarmType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
Inherits the Properties of the ExclusiveLimitAlarmType defined in 5.8.5.3.					

No additional *Properties* to the *ExclusiveLimitAlarmType* are defined.

## 5.8.8 Deviation Alarm

### 5.8.8.1 Overview

A deviation *Alarm* is commonly used to report an excess deviation between a desired set point level of a process value and an actual measurement of that value. The deviation *Alarm* becomes active when the deviation exceeds or drops below a defined limit.

For example if a set point had a value of 10 and the high deviation *Alarm* limit were set for 2 and the low deviation *Alarm* limit had a value of -1 then the low sub state is entered if the process value dropped to below 9; the high sub state is entered if the process value became larger than 12. If the set point were changed to 11 then the new deviation values would be 10 and 13 respectively.

### 5.8.8.2 NonExclusiveDeviationAlarmType

The *NonExclusiveDeviationAlarmType* is a special level *Alarm* utilized with one or more non-exclusive states. If for example both the High and HighHigh states need to be maintained as active at the same time this *AlarmType* should be used.

The *NonExclusiveDeviationAlarmType* is based on the *NonExclusiveLimitAlarmType*. It is formally defined in Table 47.

**Table 47 – NonExclusiveDeviationAlarmType Definition**

Attribute	Value				
BrowseName	NonExclusiveDeviationAlarmType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
Subtype of the <i>NonExclusiveLimitAlarmType</i> defined in 5.8.6.					
HasProperty	Variable	SetpointNode	NodeId	PropertyType	Mandatory

The *SetpointNode Property* provides the *NodeId* of the set point used in the deviation calculation. If this *Variable* is not in the *AddressSpace*, a Null *NodeId* shall be provided.

### 5.8.8.3 ExclusiveDeviationAlarmType

The *ExclusiveDeviationAlarmType* is utilized with multiple mutually exclusive limits. It is formally defined in Table 48.

**Table 48 – ExclusiveDeviationAlarmType Definition**

Attribute	Value				
BrowseName	ExclusiveDeviationAlarmType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	Modelling Rule
Inherits the <i>Properties</i> of the <i>ExclusiveLimitAlarmType</i> defined in 5.8.5.3.					
HasProperty	Variable	SetpointNode	NodeId	PropertyType	Mandatory

The *SetpointNode Property* provides the *NodeId* of the set point used in the *Deviation* calculation. If this *Variable* is not in the *AddressSpace*, a Null *NodeId* shall be provided.

## 5.8.9 Rate of Change

### 5.8.9.1 Overview

A *Rate of Change Alarm* is commonly used to report an unusual change or lack of change in a measured value related to the speed at which the value has changed. The *Rate of Change Alarm* becomes active when the rate at which the value changes exceeds or drops below a defined limit.

A *Rate of Change* is measured in some time unit, such as seconds or minutes and some unit of measure such as percent or meter. For example a tank may have a High limit for the *Rate of Change* of its level (measured in meters) which would be 4 m/min. If the tank level changes at a rate that is greater than 4 m/min then the High sub state is entered.

### 5.8.9.2 NonExclusiveRateOfChangeAlarmType

The *NonExclusiveRateOfChangeAlarmType* is a special level *Alarm* utilized with one or more non-exclusive states. If for example both the High and HighHigh states need to be maintained as active at the same time this *AlarmType* should be used

The *NonExclusiveRateOfChangeAlarmType* is based on the *NonExclusiveLimitAlarmType*. It is formally defined in Table 49.

**Table 49 – NonExclusiveRateOfChangeAlarmType Definition**

Attribute	Value				
BrowseName	NonExclusiveRateOfChangeAlarmType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
Subtype of the NonExclusiveLimitAlarmType defined in 5.8.6.					

No additional *Properties* to the *NonExclusiveLimitAlarmType* are defined.

### 5.8.9.3 ExclusiveRateOfChangeAlarmType

*ExclusiveRateOfChangeAlarmType* is utilized with multiple mutually exclusive limits. It is formally defined in Table 50.

**Table 50 – ExclusiveRateOfChangeAlarmType Definition**

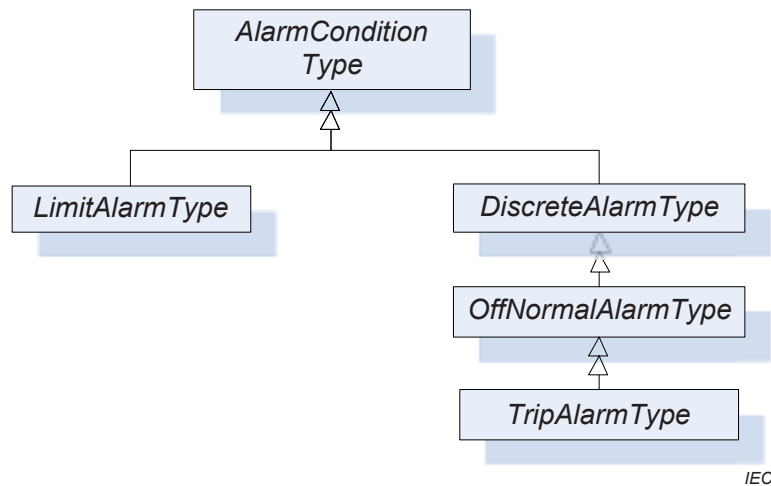
Attribute	Value				
BrowseName	ExclusiveRateOfChangeAlarmType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
Inherits the <i>Properties</i> of the <i>ExclusiveLimitAlarmType</i> defined in 5.8.5.3.					

No additional *Properties* to the *ExclusiveLimitAlarmType* are defined.

## 5.8.10 Discrete Alarms

### 5.8.10.1 DiscreteAlarmType

The *DiscreteAlarmType* is used to classify *Types* into *Alarm Conditions* where the input for the *Alarm* may take on only a certain number of possible values (e.g. true/false, running/stopped/terminating). The *DiscreteAlarmType* with sub types defined in this standard is illustrated in Figure 19. It is formally defined in Table 51.

**Figure 19 – DiscreteAlarmType Hierarchy**

**Table 51 – DiscreteAlarmType Definition**

Attribute	Value				
BrowseName	DiscreteAlarmType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	Modelling Rule
Subtype of the AlarmConditionType defined in 5.8.2.					
HasSubtype	ObjectType	OffNormalAlarmType	Defined in 5.8.8		

### 5.8.10.2 OffNormalAlarmType

The *OffNormalAlarmType* is a specialization of the *DiscreteAlarmType* intended to represent a discrete *Condition* that is considered to be not normal. It is formally defined in Table 52. This sub type is usually used to indicate that a discrete value is in an *Alarm* state, it is active as long as a non-normal value is present.

**Table 52 – OffNormalAlarmType Definition**

Attribute	Value				
BrowseName	OffNormalAlarmType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	Modelling Rule
Subtype of the DiscreteAlarmType defined in 5.8.10.1					
HasSubtype	ObjectType	TripAlarmType	Defined in 5.8.10.4		
HasProperty	Variable	NormalState	NodeId	PropertyType	Mandatory

The *NormalState Property* is a *Property* that points to a *Variable* which has a value that corresponds to one of the possible values of the *Variable* pointed to by the *InputNode Property* where the *NormalState Property Variable* value is the value that is considered to be the normal state of the *Variable* pointed to by the *InputNode Property*. When the value of the *Variable* referenced by the *InputNode Property* is not equal to the value of the *NormalState Property* the *Alarm* is *Active*. If this *Variable* is not in the *AddressSpace*, a Null *NodeId* shall be provided.

### 5.8.10.3 SystemOffNormalAlarmType

This *Condition* is used by a *Server* to indicate that an underlying system that is providing *Alarm* information is having a communication problem and that the *Server* may have invalid or incomplete *Condition* state in the *Subscription*. Its representation in the *AddressSpace* is formally defined in Table 53.

**Table 53 – SystemOffNormalAlarmType Definition**

Attribute	Value				
BrowseName	SystemOffNormalAlarmType				
IsAbstract	True				
References	NodeClass	BrowseName	Data Type	TypeDefinition	Modelling Rule
Subtype of the <i>OffNormalAlarmType</i> , i.e. it has <i>HasProperty References</i> to the same <i>Nodes</i> .					

### 5.8.10.4 TripAlarmType

The *TripAlarmType* is a specialization of the *OffNormalAlarmType* intended to represent an equipment trip *Condition*. The *Alarm* becomes active when the monitored piece of equipment experiences some abnormal fault such as a motor shutting down due to an overload *Condition*. It is formally defined in Table 54. This *Type* is mainly used for categorization.

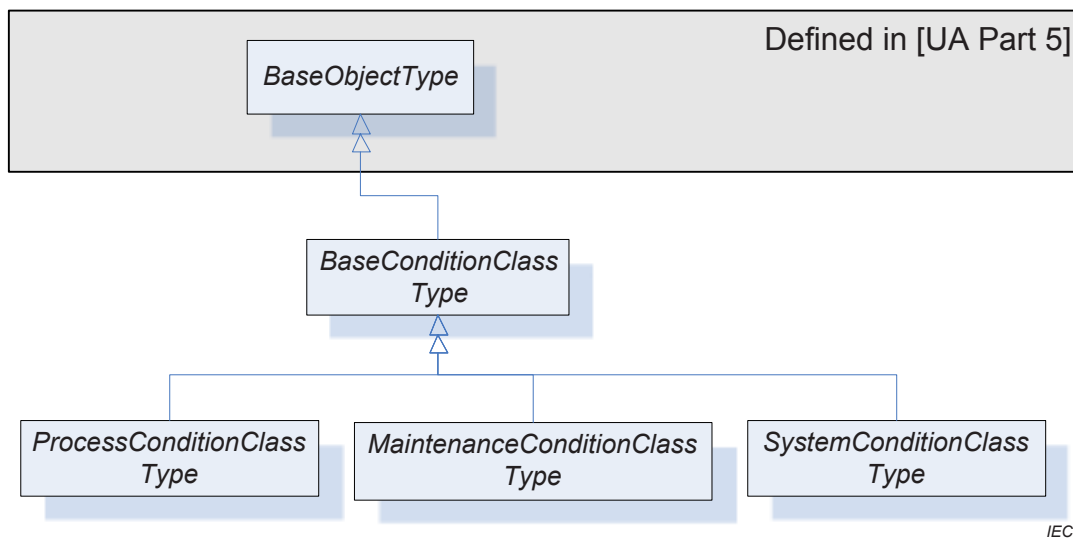
**Table 54 – TripAlarmType Definition**

Attribute	Value				
BrowseName	TripAlarmType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	Modelling Rule
Subtype of the <i>OffNormalAlarmType</i> defined in 5.8.10.2.					

## 5.9 ConditionClasses

### 5.9.1 Overview

*Conditions* are used in specific application domains like Maintenance, System or Process. The *ConditionClass* hierarchy is used to specify domains and is orthogonal to the *ConditionType* hierarchy. The *ConditionClassId* Property of the *ConditionType* is used to assign a *Condition* to a *ConditionClass*. Clients can use this Property to filter out essential classes. OPC UA defines the base *ObjectType* for all *ConditionClasses* and a set of common classes used across many industries. Figure 20 informally describes the hierarchy of *ConditionClass Types* defined in this standard.

**Figure 20 – ConditionClass Type Hierarchy**

*ConditionClasses* are not representations of *Objects* in the underlying system and, therefore, only exist as *Type Nodes* in the *Address Space*.

### 5.9.2 Base ConditionClassType

*BaseConditionClassType* is used as class whenever a *Condition* cannot be assigned to a more concrete class. Servers should use a more specific *ConditionClass*, if possible. All *ConditionClass Types* derive from *BaseConditionClassType*. It is formally defined in Table 55.

**Table 55 – BaseConditionClassType Definition**

Attribute	Value				
BrowseName	BaseConditionClassType				
IsAbstract	True				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
Subtype of the <i>BaseObjectType</i> defined in IEC 62541-5.					



### 5.9.3 ProcessConditionClassType

The *ProcessConditionClassType* is used to classify *Conditions* related to the process itself. Examples of a process would be a control system in a boiler or the instrumentation associated with a chemical plant or paper machine. The *ProcessConditionClassType* is formally defined in Table 56.

**Table 56 – ProcessConditionClassType Definition**

Attribute	Value				
BrowserName	ProcessConditionClassType				
IsAbstract	True				
References	NodeClass	BrowserName	DataType	TypeDefinition	Modelling Rule
Subtype of the BaseConditionClassType defined in 5.9.2.					

### 5.9.4 MaintenanceConditionClassType

The *MaintenanceConditionClassType* is used to classify *Conditions* related to maintenance. Examples of maintenance would be Asset Management systems or conditions, which occur in process control systems, which are related to calibration of equipment. The *MaintenanceConditionClassType* is formally defined in Table 57. No further definition is provided here. It is expected that other standards groups will define domain-specific sub-types.

**Table 57 – MaintenanceConditionClassType Definition**

Attribute	Value				
BrowserName	MaintenanceConditionClassType				
IsAbstract	True				
References	NodeClass	BrowserName	DataType	TypeDefinition	ModellingRule
Subtype of the BaseConditionClassType defined in 5.9.2.					

### 5.9.5 SystemConditionClassType

The *SystemConditionClassType* is used to classify *Conditions* related to the System. It is formally defined in Table 58. System *Conditions* occur in the controlling or monitoring system process. Examples of System related items could include available disk space on a computer, Archive media availability, network loading issues or a controller error. No further definition is provided here. It is expected that other standards groups or vendors will define domain-specific sub-types.

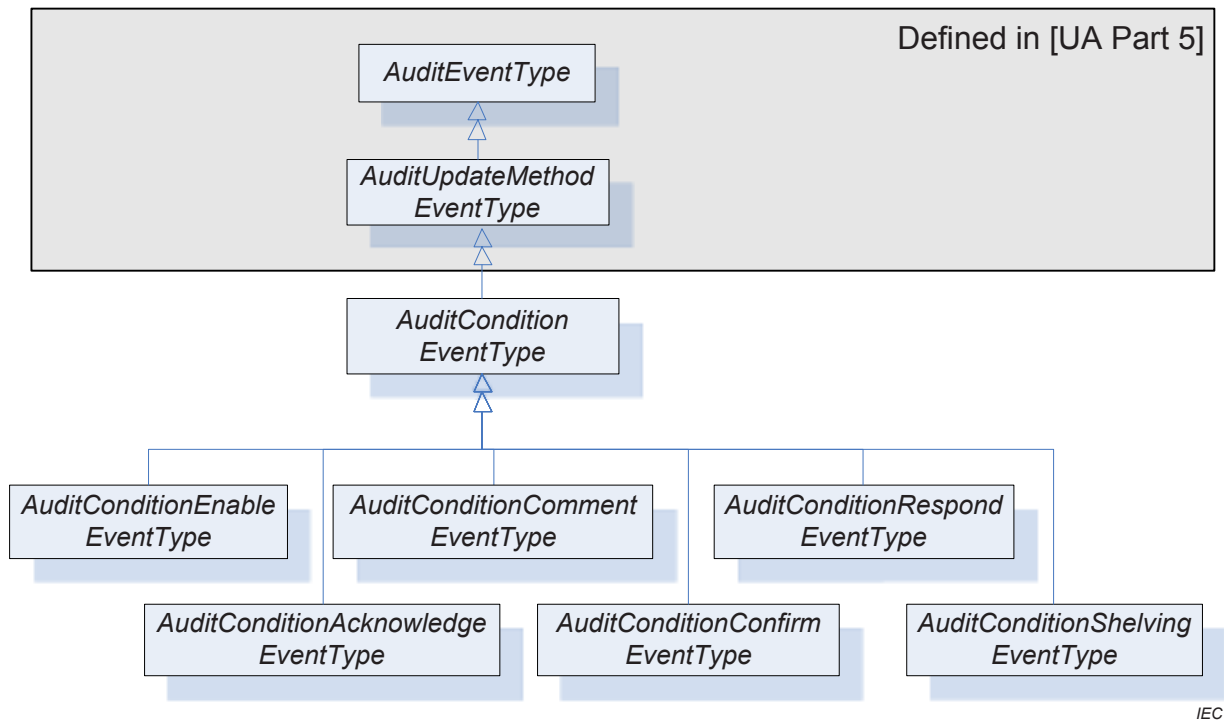
**Table 58 – SystemConditionClassType Definition**

Attribute	Value				
BrowserName	SystemConditionClassType				
IsAbstract	True				
References	NodeClass	BrowserName	DataType	TypeDefinition	ModellingRule
Subtype of the BaseConditionClassType defined in 5.9.2.					

## 5.10 Audit Events

### 5.10.1 Overview

Following are sub-types of *AuditUpdateMethodEventTypes* that will be generated in response to the *Methods* defined in this standard. They are illustrated in Figure 21.



**Figure 21 – AuditEvent Hierarchy**

*Audit Condition EventTypes* are normally used in response to a *Method* call. However, these *Events* shall also be notified if the functionality of such a *Method* is performed by some other *Server-specific* means. In this case the *SourceName Property* shall contain a proper description of this internal means and the other properties should be filled in as described for the given *Event type*

**5.10.2 AuditConditionEventType**

This *EventType* is used to subsume all *Audit Condition EventTypes*. It is formally defined in Table 59.

**Table 59 – AuditConditionEventType Definition**

Attribute	Value				
BrowseName	AuditConditionEventType				
IsAbstract	False				
References	NodeClass	BrowseName	DataType	TypeDefinition	ModellingRule
Subtype of the <i>AuditUpdateMethodEventType</i> defined in IEC 62541-5					

*Audit Condition EventTypes* inherit all *Properties* of the *AuditUpdateMethodEventType* defined in IEC 62541-5. Unless a subtype overrides the definition, the inherited properties of the *Condition* will be used as defined.

- The inherited *Property SourceNode* shall be filled with the *ConditionId*.
- The *SourceName* shall be “Method/” and the name of the *Service* that generated the *Event* (e.g. *Disable*, *Enable*, *Acknowledge*, etc).

This *Event Type* can be further customized to reflect particular *Condition* related actions.

### 5.10.3 AuditConditionEnableEventType

This *EventType* is used to indicate a change in the enabled state of a *Condition* instance. It is formally defined in Table 60.

**Table 60 – AuditConditionEnableEventType Definition**

Attribute	Value				
BrowseName	AuditConditionEnableEventType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
Subtype of the <i>AuditConditionEventType</i> defined in 5.10.2 that is, inheriting the InstanceDeclarations of that Node.					

The SourceName shall indicate Method/Enable or Method/Disable. If the audit *Event* is not the result of a *Method* call, but due to an internal action of the *Server* the SourceName shall reflect Enable or Disable, it may be preceded by an appropriate description such as “Internal/Enable” or “Remote/Enable”

### 5.10.4 AuditConditionCommentEventType

This *EventType* is used to report an *AddComment* action. It is formally defined in Table 61.

**Table 61 – AuditConditionCommentEventType Definition**

Attribute	Value				
BrowseName	AuditConditionCommentEventType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	EventId	ByteString	PropertyType	Mandatory
HasProperty	Variable	Comment	LocalizedText	PropertyType	Mandatory
Subtype of the <i>AuditConditionEventType</i> defined in 5.10.2 that is, inheriting the InstanceDeclarations of that Node.					

The *EventId* field shall contain the id of the event for which the comment was added.

The Comment contains the actual comment that was added.

### 5.10.5 AuditConditionRespondEventType

This *EventType* is used to report a *Respond* action. It is formally defined in Table 62.

**Table 62 – AuditConditionRespondEventType Definition**

Attribute	Value				
BrowseName	AuditConditionRespondEventType				
IsAbstract	False				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	SelectedResponse	UInt32	PropertyType	Mandatory
Subtype of the <i>AuditConditionEventType</i> defined in 5.10.2 that is, inheriting the InstanceDeclarations of that Node.					

The SelectedResponse field shall contain the response that was selected.

### 5.10.6 AuditConditionAcknowledgeEventType

This *EventType* is used to indicate acknowledgement or confirmation of one or more *Conditions*. It is formally defined in Table 63.

**Table 63 – AuditConditionAcknowledgeEventType Definition**

Attribute		Value			
BrowseName		AuditConditionCommentEventType			
IsAbstract		False			
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	EventId	ByteString	PropertyType	Mandatory
HasProperty	Variable	Comment	LocalizedText	PropertyType	Mandatory
Subtype of the <i>AuditConditionEventType</i> defined in 5.10.2 that is, inheriting the InstanceDeclarations of that Node.					

The *EventId* field shall contain the id of the *Event* that was acknowledged.

The Comment contains the actual comment that was added, it may be a blank comment or a null.

### 5.10.7 AuditConditionConfirmEventType

This *EventType* is used to report a *Confirm* action. It is formally defined in Table 64.

**Table 64 – AuditConditionConfirmEventType Definition**

Attribute		Value			
BrowseName		AuditConditionCommentEventType			
IsAbstract		False			
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	EventId	ByteString	PropertyType	Mandatory
HasProperty	Variable	Comment	LocalizedText	PropertyType	Mandatory
Subtype of the <i>AuditConditionEventType</i> defined in 5.10.2 that is, inheriting the InstanceDeclarations of that Node.					

The *EventId* field shall contain the id of the *Event* that was confirmed.

The Comment contains the actual comment that was added, it may be a blank comment or a null.

### 5.10.8 AuditConditionShelvingEventType

This *EventType* is used to indicate a change to the *Shelving* state of a *Condition* instance. It is formally defined in Table 65.

**Table 65 – AuditConditionShelvingEventType Definition**

Attribute		Value			
BrowseName		AuditConditionShelvingEventType			
IsAbstract		False			
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
HasProperty	Variable	ShelvingTime	Duration	PropertyType	Optional
Subtype of the <i>AuditConditionEventType</i> defined in 5.10.2 that is, inheriting the InstanceDeclarations of that Node.					

If the *Method* indicates a TimedShelve operation, the *ShelvingTime* field shall contain duration for which the *Alarm* is to be shelved. For other *Shelving Methods*, this parameter may be omitted or null.

## 5.11 Condition Refresh Related Events

### 5.11.1 Overview

Following are sub-types of *SystemEventTypes* that will be generated in response to a *Refresh Methods* call. They are illustrated in Figure 22.

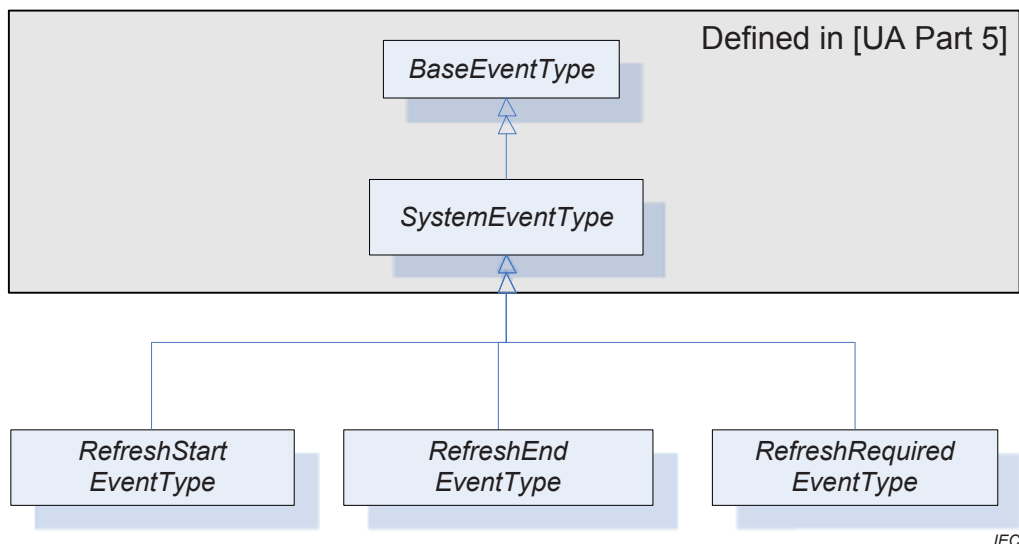


Figure 22 – Refresh Related Event Hierarchy

### 5.11.2 RefreshStartEventType

This *EventType* is used by a *Server* to mark the beginning of a *Refresh Notification* cycle. Its representation in the *AddressSpace* is formally defined in Table 66.

Table 66 – RefreshStartEventType Definition

Attribute	Value				
BrowseName	RefreshStartEventType				
IsAbstract	True				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
Subtype of the <i>SystemEventType</i> defined in IEC 62541-5, i.e. it has HasProperty <i>References</i> to the same <i>Nodes</i> .					

### 5.11.3 RefreshEndEventType

This *EventType* is used by a *Server* to mark the end of a *Refresh Notification* cycle. Its representation in the *AddressSpace* is formally defined in Table 67.

Table 67 – RefreshEndEventType Definition

Attribute	Value				
BrowseName	RefreshEndEventType				
IsAbstract	True				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
Subtype of the <i>SystemEventType</i> defined in IEC 62541-5, i.e. it has HasProperty <i>References</i> to the same <i>Nodes</i> .					

### 5.11.4 RefreshRequiredEventType

This *EventType* is used by a *Server* to indicate that a significant change has occurred in the *Server* or in the subsystem below the *Server* that may or does invalidate the *Condition* state of a *Subscription*. Its representation in the *AddressSpace* is formally defined in Table 68.

**Table 68 – RefreshRequiredEventType Definition**

Attribute	Value				
BrowseName	RefreshRequiredEventType				
IsAbstract	True				
References	NodeClass	BrowseName	Data Type	TypeDefinition	ModellingRule
Subtype of the <i>SystemEventType</i> defined in IEC 62541-5, i.e. it has <i>HasProperty References</i> to the same <i>Nodes</i> .					

When a *Server* detects an *Event* queue overflow, it shall track if any *Condition Events* have been lost, if any *Condition Events* were lost, it shall issue a *RefreshRequiredEventType Event* to the *Client* after the *Event* queue is no longer in an overflow state.

### 5.12 HasCondition Reference Type

The *HasCondition ReferenceType* is a concrete *ReferenceType* and can be used directly. It is a subtype of *NonHierarchicalReferences*. The representation in the *AddressSpace* is specified in Table 69.

The semantic of this *ReferenceType* is to specify the relationship between a *ConditionSource* and its *Conditions*. Each *ConditionSource* shall be the target of a *HasEventSource Reference* or a sub type of *HasEventSource*. The *AddressSpace* organisation that shall be provided for *Clients* to detect *Conditions* and *ConditionSources* is defined in Clause 6. Various examples for the use of this *ReferenceType* can be found in B.2.

*HasCondition References* can be used in the *Type* definition of an *Object* or a *Variable*. In this case, the *SourceNode* of this *ReferenceType* shall be an *ObjectType* or *VariableType Node* or one of their *InstanceDeclaration Nodes*. The *TargetNode* shall be a *Condition* instance declaration or a *ConditionType*. The following rules for instantiation apply:

- All *HasCondition References* used in a *Type* shall exist in instances of these *Types* as well.
- If the *TargetNode* in the *Type* definition is a *ConditionType*, the same *TargetNode* will be referenced on the instance.

*HasCondition References* may be used solely in the instance space when they are not available in *Type* definitions. In this case the *SourceNode* of this *ReferenceType* shall be an *Object*, *Variable* or *Method Node*. The *TargetNode* shall be a *Condition* instance or a *ConditionType*.

**Table 69 – HasCondition ReferenceType**

Attributes	Value		
BrowseName	HasCondition		
InverseName	IsConditionOf		
Symmetric	False		
IsAbstract	False		
References	NodeClass	BrowseName	Comment

### 5.13 Alarm and Condition Status Codes

Table 70 defines the *StatusCodes* defined for *Alarm* and *Conditions*.

**Table 70 – Alarm and Condition Result Codes**

Symbolic Id	Description
Bad_ConditionAlreadyEnabled	The addressed Condition is already enabled.
Bad_ConditionAlreadyDisabled	The addressed Condition is already disabled.
Bad_ConditionAlreadyShelved	The Alarm is already in a shelved state.
Bad_ConditionBranchAlreadyAked	The <i>EventId</i> does not refer to a state that needs acknowledgement.
Bad_ConditionBranchAlreadyConfirmed	The <i>EventId</i> does not refer to a state that needs confirmation.
Bad_ConditionNotShelved	The Alarm is not in the requested shelved state.
Bad_DialogNotActive	The <i>DialogConditionType</i> instance is not in <i>Active</i> state.
Bad_DialogResponseInvalid	The selected option is not a valid index in the <i>ResponseOptionSet</i> array.
Bad_EventIdUnknown	The specified <i>EventId</i> is not known to the <i>Server</i> .
Bad_RefreshInProgress	A <i>ConditionRefresh</i> operation is already in progress.
Bad_ShelvingTimeOutOfRange	The provided <i>Shelving</i> time is outside the range allowed by the <i>Server</i> for <i>Shelving</i>

## 5.14 Expected A&C Server Behaviours

### 5.14.1 General

This section describes behaviour that is expected from an OPC UA *Server* that is implementing the *A&C Information Model*. In particular this clause describes specific behaviours that apply to various aspect of the *A&C Information Model*.

### 5.14.2 Communication problems

In some implementation of an OPC UA A&C *Server*, the *Alarms* and *Condition* are provided by an underlying system. The expected behaviour of an A&C *Server* when it is encountering communication problems with the underlying system is:

- If communication fails to the underlying system,
  - For any *Event* field related information that is exposed in the address space, the *Value/StatusCode* obtained when reading the *Event* fields that are associated with the communication failure shall have a value of NULL and a *StatusCode* of *Bad\_CommunicationError*.
  - For *Subscriptions* that contain *Conditions* for which the failure applies, the effected *Conditions* generate an *Event* if the *Retain* field is set to true. These *Events* shall have their *Event* fields that are associated with the communication failure contain a *StatusCode* of *Bad\_CommunicationError* for the value.
  - A *Condition* of the *SystemOffNormalAlarmType* shall be used to report the communication failure to *Alarm Clients*. The *NormalState* field shall contain the *NodeId* of the *Variable* that indicates the status of the underlying system.
- For start-up of an A&C *Server* that is obtaining A&C information from an already running underlying system:
  - If a value is unavailable for an *Event* field that is being reported do to a start-up of the UA *Server* (i.e. the information is just not available for the *Event*) the *Event* field shall contain a *StatusCode* set to *Bad\_WaitingForInitialData* for the value.
  - If the *Time* field is normally provided by the underlying system and is unavailable, the *Time* will be reported as a *StatusCode* with a value of *Bad\_WaitingForInitialData*.

### 5.14.3 Redundant A&C Servers

In an OPC UA *Server* that is implementing the *A&C Information Model* and that is configured to be a redundant OPC UA *Server* the following behaviour is expected:

- The *EventId* is used to uniquely identify an *Event*. For an *Event* that is in each of the redundant *Servers*, it shall be identical. This applies to all standard *Events*, *Alarms* and *Conditions*. This may be accomplished by sharing of information between redundant *Server* (such as actual *Events*) or it may be accomplished by providing a strict *EventId* generating algorithm that will generate an identical *EventId* for each *Event*



- It is expected that for cold or warm failovers of redundant *Servers*, *Subscription* for *Events* shall require a *Refresh* operation. The *Client* shall initiate this *Refresh* operation.
- It is expected that for hot failovers of redundant *Servers*, *Subscriptions* for *Events* may require a *Refresh* operation. The *Server* shall issue a *RefreshRequiredEventType Event* if it is required.
- For transparent redundancy, a *Server* shall not require any action be performed by a *Client*.

## 6 AddressSpace Organisation

### 6.1 General

The *AddressSpace* organisation described in this Clause allows *Clients* to detect *Conditions* and *ConditionSources*. An additional hierarchy of *Object Nodes* that are notified may be established to define one or more areas; the *Client* can subscribe to specific areas to limit the *Event Notifications* sent by the *Server*. Additional examples can be found in Clause B.2.

### 6.2 Event Notifier and Source Hierarchy

*HasNotifier* and *HasEventSource* References are used to expose the hierarchical organization of *Event* notifying *Objects* and *ConditionSources*. An *Event* notifying *Object* represents typically an area of *Operator* responsibility. The definition of such an area configuration is outside the scope of this standard. If areas are available they shall be linked together and with the included *ConditionSources* using the *HasNotifier* and the *HasEventSource* Reference Types. The *Server Object* shall be the root of this hierarchy.

Figure 23 shows such a hierarchy. Note that *HasNotifier* is a sub-type of *HasEventSource*. I.e. the target *Node* of a *HasNotifier* Reference (an *Event* notifying *Object*) may also be a *ConditionSource*. The *HasEventSource* Reference is used if the target *Node* is a *ConditionSource* but cannot be used as *Event* notifier. See IEC 62541-3 for the formal definition of these Reference Types.

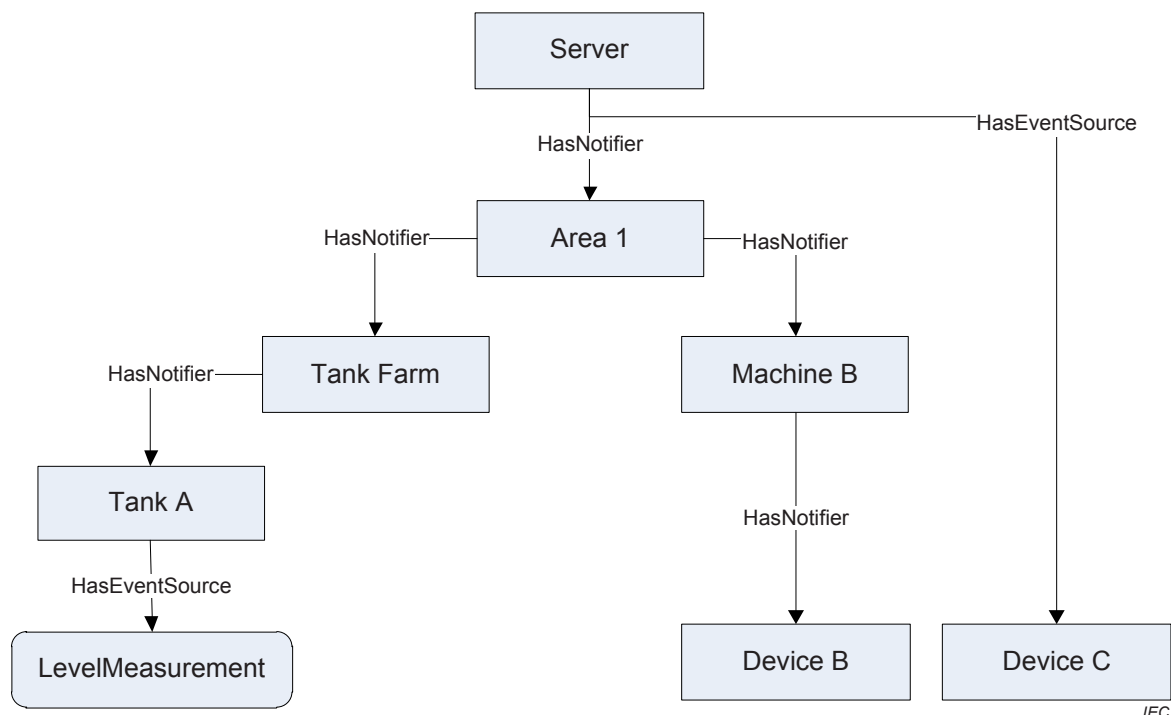


Figure 23 – Typical Event Hierarchy



### 6.3 Adding Conditions to the Hierarchy

*HasCondition* is used to reference *Conditions*. The *Reference* is from a *ConditionSource* to a *Condition* instance or – if no instance is exposed by the *Server* – to the *ConditionType*.

*Clients* can locate *Conditions* by first browsing for *ConditionSources* following *HasEventSource* *References* (including sub-types like the *HasNotifier* *Reference*) and then browsing for *HasCondition* *References* from all target *Nodes* of the discovered *References*.

Figure 24 shows the application of the *HasCondition* *Reference* in an *Event* hierarchy. The *Variable* *LevelMeasurement* and the *Object* “*Device B*” *Reference* *Condition* instances. The *Object* “*Tank A*” *References* a *ConditionType* (*MySystemAlarmType*) indicating that a *Condition* exists but is not exposed in the *AddressSpace*.

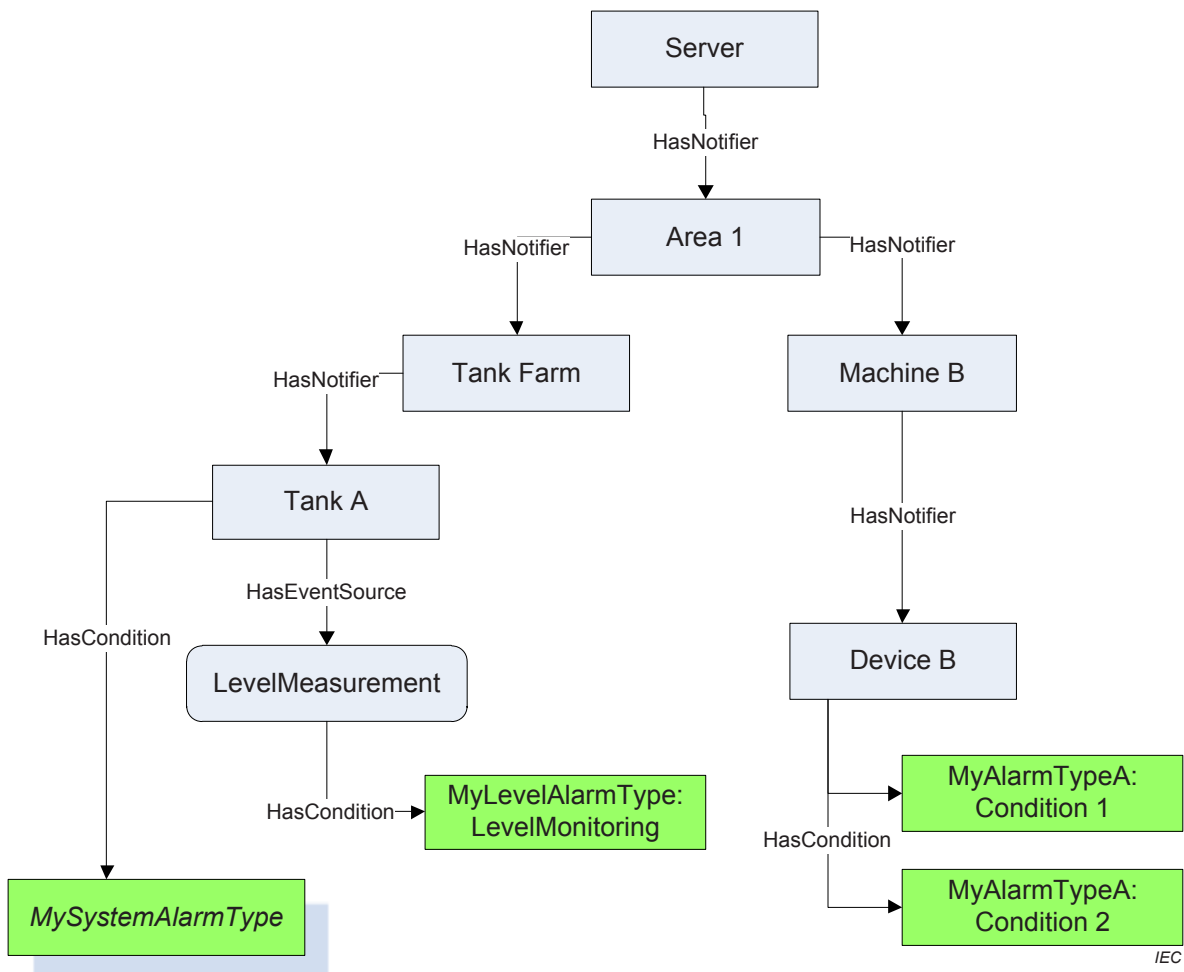


Figure 24 – Use of HasCondition in an Event Hierarchy

### 6.4 Conditions in InstanceDeclarations

Figure 25 shows the use of the *HasCondition* *Reference* and the *HasEventSource* *Reference* in an *InstanceDeclaration*. They are used to indicate what *References* and *Conditions* are available on the instance of the *ObjectType*.

The use of the *HasEventSource* *Reference* in the context of *InstanceDeclarations* and *TypeDefinition* *Nodes* has no effect for *Event* generation.

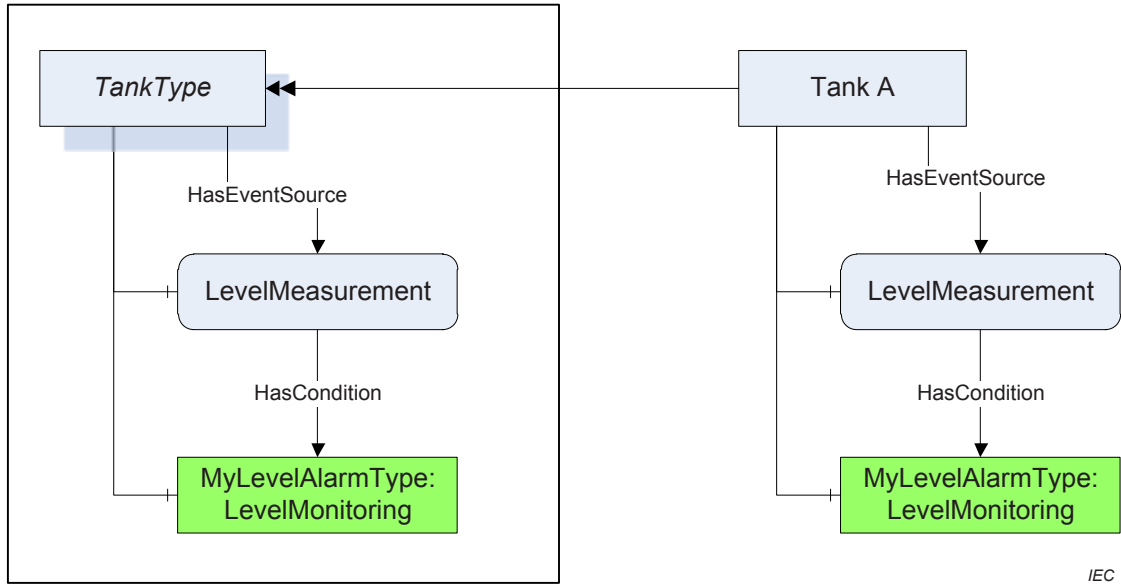


Figure 25 – Use of HasCondition in an InstanceDeclaration

6.5 Conditions in a VariableType

Use of *HasCondition* in a *VariableType* is a special use case since *Variables* (and *VariableTypes*) may not have *Conditions* as components. Figure 26 provides an example of this use case. Note that there is no component relationship for the “LevelMonitoring” *Alarm*. It is *Server-specific* whether and where they assign a *HasComponent Reference*.

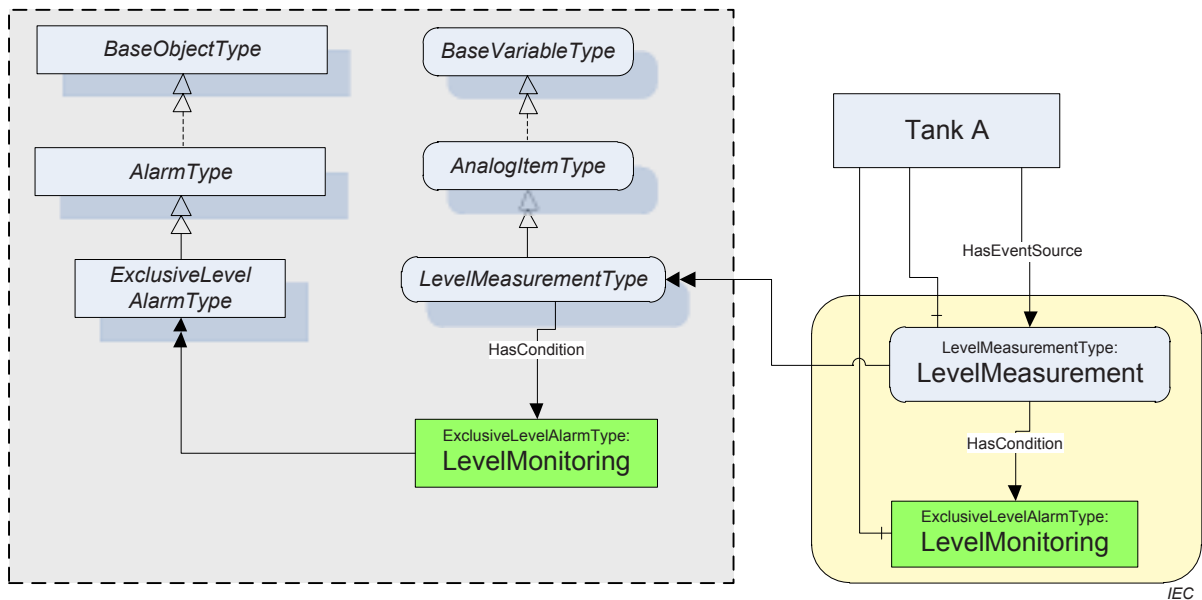


Figure 26 – Use of HasCondition in a VariableType

## Annex A (informative)

### Recommended localized names

#### A.1 Recommended State Names for TwoState Variables

##### A.1.1 LocaleId “en”

The recommended state display names for the LocaleId “en” are listed in Table A.1 and Table A.2.

**Table A.1 – Recommended state names for LocaleId “en”**

Condition Type	State Variable	FALSE State Name	TRUE State Name
ConditionType	EnabledState	Disabled	Enabled
DialogConditionType	DialogState	Inactive	Active
AcknowledgeableConditionType	AckedState	Unacknowledged	Acknowledged
	ConfirmedState	Unconfirmed	Confirmed
AlarmConditionType	ActiveState	Inactive	Active
	SuppressedState	Unsuppressed	Suppressed
NonExclusiveLimitAlarmType	HighHighState	HighHigh inactive	HighHigh active
	HighState	High inactive	High active
	LowState	Low inactive	Low active
	LowLowState	LowLow inactive	LowLow active

**Table A.2 – Recommended display names for LocaleId “en”**

Condition Type	Browse Name	Display name
Shelved	Unshelved	Unshelved
	TimedShelved	Timed Shelved
	OneShotShelved	One Shot Shelved
Exclusive	HighHigh	HighHigh
	High	High
	Low	Low
	LowLow	LowLow

##### A.1.2 LocaleId “de”

The recommended state display names for the LocaleId “de” are listed in Table A.3 and Table A.4.

**Table A.3 – Recommended state names for LocaleId “de”**

Condition Type	State Variable	FALSE State Name	TRUE State Name
ConditionType	EnabledState	Ausgeschaltet	Eingeschaltet
DialogConditionType	DialogState	Inaktiv	Aktiv
AcknowledgeableConditionType	AckedState	Unquittiert	Quittiert
	ConfirmedState	Unbestätigt	Bestätigt
AlarmConditionType	ActiveState	Inaktiv	Aktiv
	SuppressedState	Nicht unterdrückt	Unterdrückt
NonExclusiveLimitAlarmType	HighHighState	HighHigh inaktiv	HighHigh aktiv
	HighState	High inaktiv	High aktiv
	LowState	Low inaktiv	Low aktiv
	LowLowState	LowLow inaktiv	LowLow aktiv

**Table A.4 – Recommended display names for Localeld “de”**

Condition Type	Browse Name	Display name
Shelved	Unshelved	Nicht zurückgestellt
	TimedShelved	Befristet zurückgestellt
	OneShotShelved	Einmalig zurückgestellt
Exclusive	HighHigh	HighHigh
	High	High
	Low	Low
	LowLow	LowLow

**A.1.3 Localeld “fr”**

The recommended state display names for the Localeld “fr” are listed in Table A.5 and Table A.6.

**Table A.5 – Recommended state names for Localeld “fr”**

Condition Type	State Variable	FALSE State Name	TRUE State Name
ConditionType	EnabledState	Hors Service	En Service
DialogConditionType	DialogState	Inactive	Active
AcknowledgeableConditionType	AckedState	Non-acquitté	Acquitté
	ConfirmedState	Non-Confirmé	Confirmé
AlarmConditionType	ActiveState	Inactive	Active
	SuppressedState	Présent	Supprimé
NonExclusiveLimitAlarmType	HighHighState	Très Haute Inactive	Très Haute active
	HighState	Haute inactive	Haute active
	LowState	Basse inactive	Basse active
	LowLowState	Très basse inactive	Très basse active

**Table A.6 – Recommended display names for Localeld “fr”**

Condition Type	Browse Name	Display name
Shelved	Unshelved	Surveillée
	TimedShelved	Mise de coté temporelle
	OneShotShelved	Mise de coté unique
Exclusive	HighHigh	Très haute
	High	Haute
	Low	Basse
	LowLow	Très basse

**A.2 Recommended Dialog Response Options**

The recommended *Dialog* response option names in different locales are listed in Table A.7.

**Table A.7 – Recommended Dialog Response Options**

Locale “en”	Locale “de”	Locale “fr”
Ok	OK	Ok
Cancel	Abbrechen	Annuler
Yes	Ja	Oui
No	Nein	Non
Abort	Abbrechen	Abandonner
Retry	Wiederholen	Réessayer
Ignore	Ignorieren	Ignorer
Next	Nächster	Prochain
Previous	Vorheriger	Précédent

## Annex B (informative)

### Examples

#### B.1 Examples for Event sequences from Condition instances

##### B.1.1 Overview

The following examples show the *Event* flow for typical *Alarm* situations. The tables list the value of state *Variables* for each *Event Notification*.

##### B.1.2 Server Maintains Current State Only

This example is for *Servers* that do not support previous states and therefore do not create and maintain *Branches* of a single *Condition*.

Figure B.1 shows an *Alarm* as it becomes active and then inactive and also the acknowledgement and confirmation cycles. Table B.1 lists the values of the state *Variables*. All *Events* are coming from the same *Condition* instance and therefore have the same *ConditionId*.

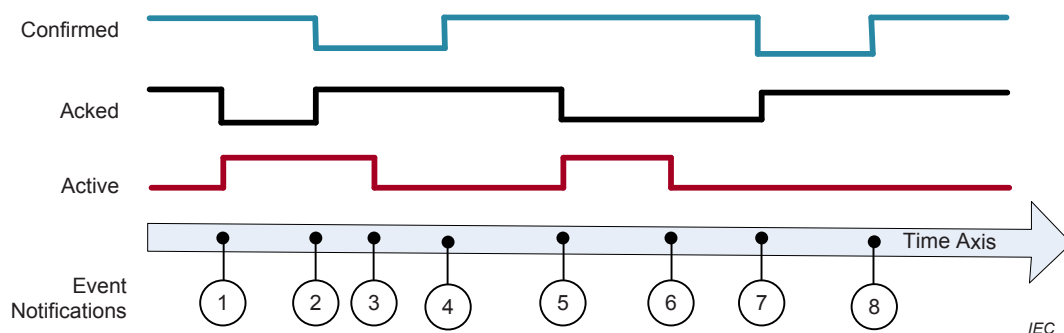


Figure B.1 – Single State Example

Table B.1 – Example of a Condition that only keeps the latest state

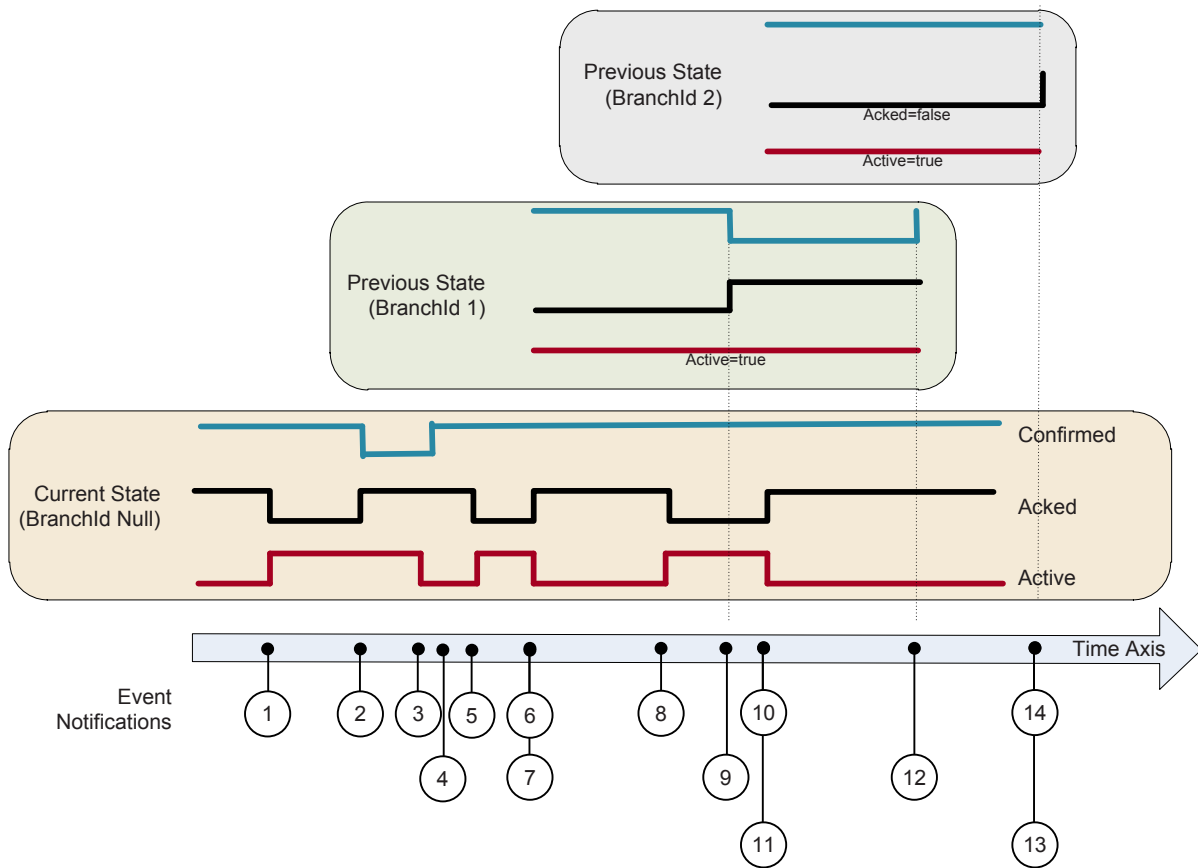
EventId	BranchId	Active	Acked	Confirmed	Retain	Description
-*)	Null	False	True	True	False	Initial state of <i>Condition</i> .
1	Null	True	False	True	True	<i>Alarm</i> goes active.
2	Null	True	True	False	True	<i>Condition</i> acknowledged Confirm required
3	Null	False	True	False	True	<i>Alarm</i> goes inactive.
4	Null	False	True	True	False	<i>Condition</i> confirmed
5	Null	True	False	True	True	<i>Alarm</i> goes active.
6	Null	False	False	True	True	<i>Alarm</i> goes inactive.
7	Null	False	True	False	True	<i>Condition</i> acknowledged, Confirm required.
8	Null	False	True	True	False	<i>Condition</i> confirmed.

\*) The first row is included to illustrate the initial state of the *Condition*. This state will not be reported by an *Event*.

##### B.1.3 Server Maintains Previous States

This example is for *Servers* that are able to maintain previous states of a *Condition* and therefore create and maintain *Branches* of a single *Condition*.

Figure B.2 illustrates the use of branches by a *Server* requiring acknowledgement of all transitions into *Active* state, not just the most recent transition. In this example no acknowledgement is required on a transition into an inactive state. Table B.2 lists the values of the state *Variables*. All *Events* are coming from the same *Condition* instance and have therefore the same *ConditionId*.



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Figure B.2 – Previous State Example

**Table B.2 – Example of a *Condition* that maintains previous states via branches**

EventId	BranchId	Active	Acked	Confirmed	Retain	Description
a)	null	False	True	True	False	Initial state of <i>Condition</i> .
1	null	True	False	True	True	Alarm goes active.
2	null	True	True	True	True	Condition acknowledged requires Confirm
3	null	False	True	False	True	Alarm goes inactive.
4	null	False	True	True	False	Confirmed
5	null	True	False	True	True	Alarm goes active.
6	null	False	True	True	True	Alarm goes inactive.
7	1	True	False	True	True <sup>b)</sup>	Prior state needs acknowledgment. Branch 1 created.
8	null	True	False	True	True	Alarm goes active again.
9	1	True	True	False	True	Prior state acknowledged, Confirm required.
10	null	False	True	True	True <sup>b)</sup>	Alarm goes inactive again.
11	2	True	False	True	True	Prior state needs acknowledgment. Branch2 created.
12	1	True	True	True	False	Prior state confirmed. Branch 1 deleted.
13	2	True	True	True	False	Prior state acknowledged, Auto Confirmed by system Branch 2 deleted.
14	Null	False	True	True	False	No longer of interest.

a) *The first row* is included to illustrate the initial state of the *Condition*. This state will not be reported by an *Event*.

Notes on specific situations shown with this example:

If the current state of the *Condition* is acknowledged then the *Acked* flag is set and the new state is reported (*Event* 2). If the *Condition* state changes before it can be acknowledged (*Event* #6) then a branch state is reported (*Event* 7). Timestamps for the *Events* 6 and 7 is identical.

The branch state can be updated several times (*Events* 9) before it is cleared (*Event* 12).

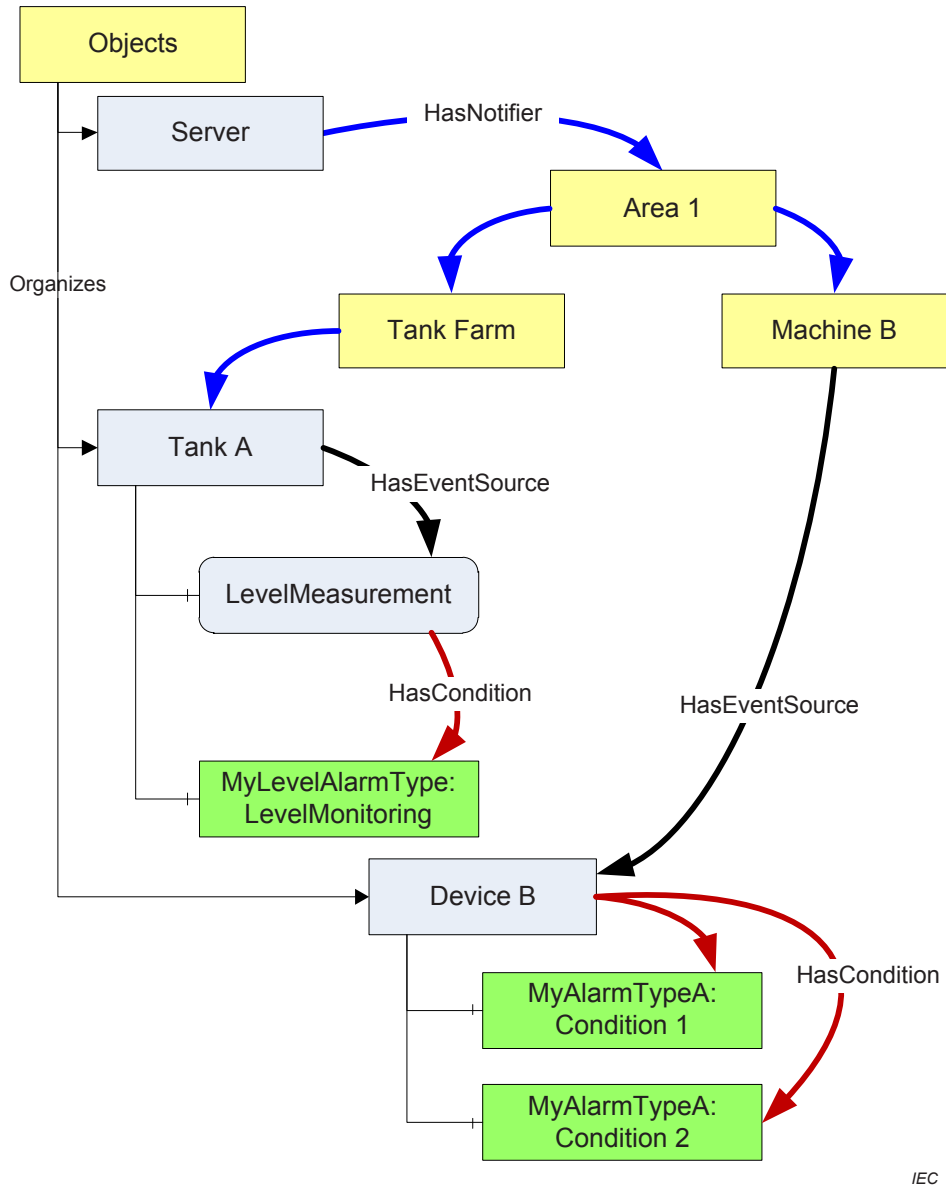
A single *Condition* can have many branch states active (*Events* #11)

b) *It is recommended* as in this table to leave Retain=True as long as there exist previous states (branches).

## B.2 Address Space Examples

This Clause provides additional examples for the use of *HasNotifier*, *HasEventSource* and *HasCondition References* to expose the organization of areas and sources with their associated *Conditions*. This hierarchy is additional to a hierarchy provided with *Organizes* and *Aggregates References*.

Figure B.3 illustrates the use of the *HasCondition Reference* with *Condition* instances.

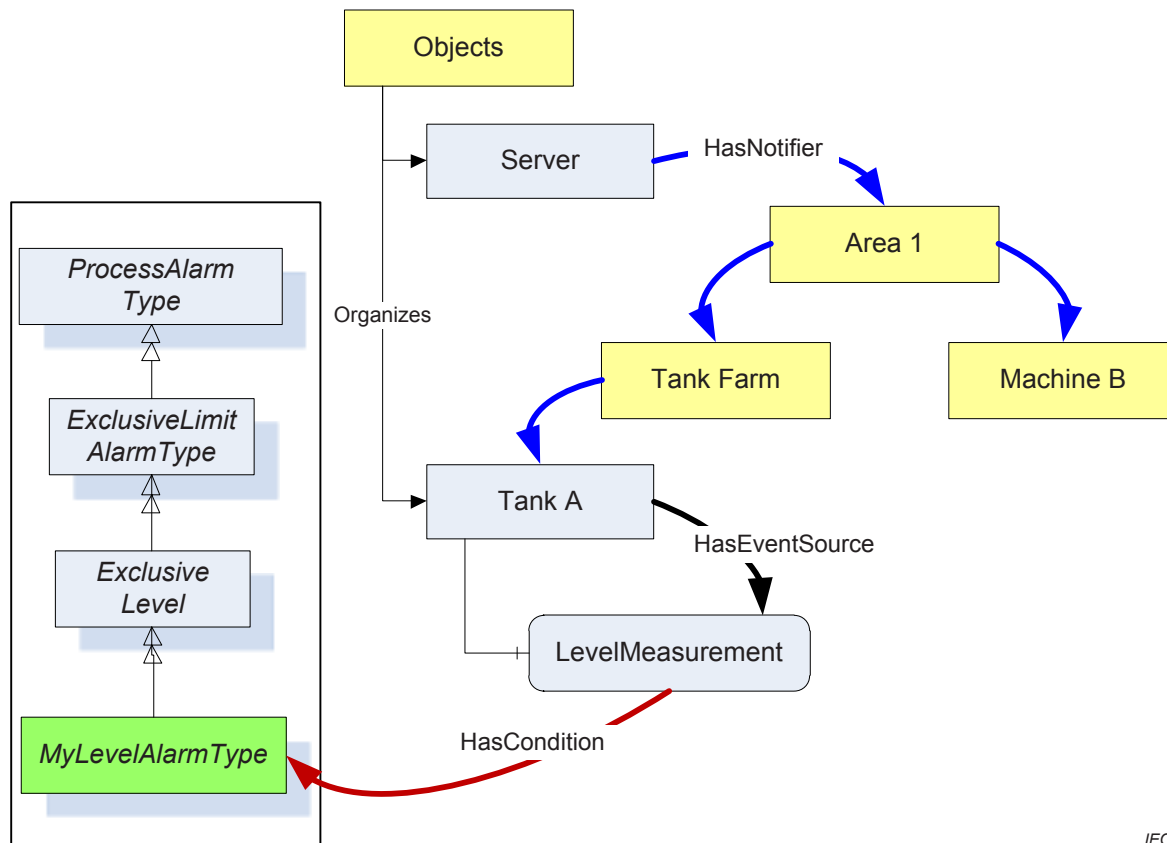


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**Figure B.3 – HasCondition used with Condition instances**

In systems where *Conditions* are not available as instances, the *ConditionSource* can reference the *ConditionTypes* instead. This is illustrated with the example in Figure B.4.





**Figure B.4 – HasCondition reference to a Condition Type**

Figure B.5 provides an example where the *HasCondition Reference* is already defined in the *Type* system. The *Reference* can point to a *Condition Type* or to an instance. Both variants are shown in this example. A *Reference* to a *Condition Type* in the *Type* system will result in a *Reference* to the same *Type Node* in the instance

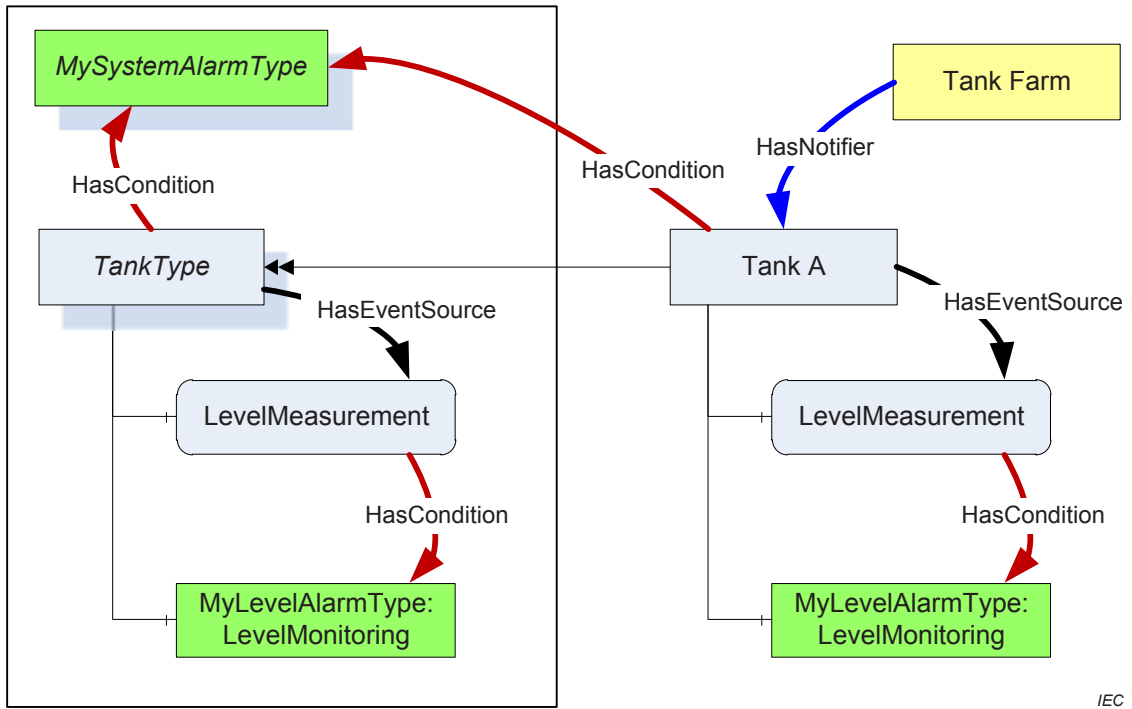


Figure B.5 – HasCondition used with an instance declaration

## Annex C (informative)

### Mapping to EEMUA

Table C.1 lists EEMUA terms and how OPC UA terms maps to them.

**Table C.1 – EEMUA Terms**

EEMUA Term	OPC UA Term	EEMUA Definition
Accepted	Acknowledged=true	An <i>Alarm</i> is accepted when the <i>Operator</i> has indicated awareness of its presence. In OPC UA this can be accomplished with the <i>Acknowledge Method</i> .
Active Alarm	Active = True	An <i>Alarm Condition</i> which is on (i.e. limit has been exceeded and <i>Condition</i> continues to exist).
Alarm Message	Message Property (defined in IEC 62541-5.)	Test information presented to the <i>Operator</i> that describes the <i>Alarm Condition</i> .
Alarm Priority	Severity Property (defined in IEC 62541-5.)	The ranking of <i>Alarms</i> by severity and response time.
Alert	-	A lower priority <i>Notification</i> than an <i>Alarm</i> that has no serious consequence if ignored or missed. In some Industries also referred to as a Prompt or Warning". No direct mapping! In UA the concept of <i>Alerts</i> can be accomplished by the use of severity. E.g., <i>Alarms</i> that have a severity below 50 may be considered as <i>Alerts</i> .
Cleared	Active = False	An <i>Alarm</i> state that indicates the <i>Condition</i> has returned to normal.
Disable	Enabled = False	An <i>Alarm</i> is disabled when the system is configured such that the <i>Alarm</i> will not be generated even though the base <i>Alarm Condition</i> is present.
Prompt	Dialog	A request from the control system that the operator perform some process action that the system cannot perform or that requires <i>Operator</i> authority to perform.
Raised	Active = True	An <i>Alarm</i> is <i>Raised</i> or initiated when the <i>Condition</i> creating the <i>Alarm</i> has occurred.
Release	OneShotShelving	A 'release' is a facility that can be applied to a standing (UA = active) <i>Alarm</i> in a similar way to which <i>Shelving</i> is applied. A released <i>Alarm</i> is temporarily removed from the <i>Alarm</i> list and put on the shelf. There is no indication to the <i>Operator</i> when the <i>Alarm</i> clears, but it is taken off the shelf. Hence, when the <i>Alarm</i> is raised again it appears on the <i>Alarm</i> list in the normal way.
Reset	Retain=False	An <i>Alarm</i> is Reset when it is in a state that can be removed from the Display list. OPC UA includes <i>Retain</i> flag which as part of its definition states: "when a <i>Client</i> receives an <i>Event</i> with the <i>Retain</i> flag set to FALSE, the <i>Client</i> should consider this as a <i>Condition/Branch</i> that is no longer of interest, in the case of a "current <i>Alarm</i> display" the <i>Condition/Branch</i> would be removed from the display"
Shelving	Shelving	<i>Shelving</i> is a facility where the <i>Operator</i> is able to temporarily prevent an <i>Alarm</i> from being displayed to the <i>Operator</i> when it is causing the <i>Operator</i> a nuisance. A Shelved <i>Alarm</i> will be removed from the list and will not re-annunciate until un-shelved.
Standing	Active = True	An <i>Alarm</i> is <i>Standing</i> whilst the <i>Condition</i> persists ( <i>Raised</i> and <i>Standing</i> are often used interchangeably)'. '.
Suppress	Suppress	An <i>Alarm</i> is suppressed when logical criteria are applied to determine that the <i>Alarm</i> should not occur, even though the base <i>Alarm Condition</i> (e.g. <i>Alarm</i> setting exceeded) is present.
Unaccepted	Acknowledged = False	An <i>Alarm</i> is accepted when the <i>Operator</i> has indicated awareness of its presence. It is unaccepted until this has been done.

## Annex D (informative)

### Mapping from OPC A&E to OPC UA A&C

#### D.1 Overview

Serving as a bridge between COM and OPC UA components, the Alarm and *Events* proxy and wrapper enable existing A&E COM *Clients* and *Servers* to connect to UA *Alarms* and *Conditions* components.

Simply stated, there are two aspects to the migration strategy. The first aspect enables a UA *Alarms* and *Conditions Client* to connect to an existing Alarms and *Events* COM *Server* via a UA *Server* wrapper. This wrapper is notated from this point forward as the A&E COM UA Wrapper. The second aspect enables an existing Alarms and *Events* COM *Client* to connect to a UA *Alarms* and *Conditions Server* via a COM proxy. This proxy is notated from this point forward as the A&E COM UA Proxy.

An Alarms and *Events* COM *Client* is notated from this point forward as A&E COM *Client*.

A UA *Alarms* and *Conditions Server* is notated from this point forward as UA A&C *Server*.

The mappings describe generic A&E COM interoperability components. It is recommended that vendors use this mapping if they develop their own components, however, some applications may benefit from vendor specific mappings.

#### D.2 Alarms and Events COM UA Wrapper

##### D.2.1 Event Areas

*Event* Areas in the A&E COM *Server* are represented in the A&E COM UA Wrapper as *Objects* with a *TypeDefinition* of *BaseObjectType*. The *EventNotifier Attribute* for these *Objects* always has the *SubscribeToEvents* flag set to true.

The root Area is represented by an *Object* with a *BrowseName* that depends on the UA *Server*. It is always the target of a *HasNotifier Reference* from the *Server Node*. The root Area allows multiple A&E COM *Servers* to be wrapped within a single UA *Server*.

The Area hierarchy is discovered with the *BrowseOPCAreas* and the *GetQualifiedAreaName Methods*. The Area name returned by *BrowseOPCAreas* is used as the *BrowseName* and *DisplayName* for each Area *Node*. The *QualifiedAreaName* is used to construct the *NodeId*. The *NamespaceURI* qualifying the *NodeId* and *BrowseName* is a unique URI assigned to the combination of machine and COM *Server*.

Each Area is the target of *HasNotifier Reference* from its parent Area. It may be the source of one or more *HasNotifier References* to its child Areas. It may also be a source of a *HasEventSource Reference* to any sources in the Area.

The A&E COM *Server* may not support filtering by Areas. If this is the case then no Area *Nodes* are shown in the UA *Server* address space. Some implementations could use the *AREAS Attribute* to provide filtering by Areas within the A&E COM UA Wrapper.

### D.2.2 Event Sources

*Event Sources* in the A&E COM Server are represented in the A&E COM UA Wrapper as *Objects* with a *TypeDefinition* of *BaseObjectType*. If the A&E COM Server supports source filtering then the *SubscribeToEvents* flag is true and the Source is a target of a *HasNotifier Reference*. If source filtering is not supported the *SubscribeToEvents* flag is false and the Source is a target of a *HasEventSource Reference*.

The Sources are discovered by calling *BrowseOPCAreas* and the *GetQualifiedSourceName Methods*. The Source name returned by *BrowseOPCAreas* is used as the *BrowseName* and *DisplayName*. The *QualifiedSourceName* is used to construct the *NodeId*. *Event Source Nodes* are always targets of a *HasEventSource Reference* from an Area.

### D.2.3 Event Categories

*Event Categories* in the A&E COM Server are represented in the UA Server as *ObjectTypes* which are subtypes of *BaseEventType*. The *BrowseName* and *DisplayName* of the *ObjectType Node* for Simple and Tracking *Event Types* are constructed by appending the text ‘*EventType*’ to the Description of the *Event Category*. For *Condition Event Types* the text ‘*AlarmType*’ is appended to the *Condition Name*.

These *ObjectType Nodes* have a super type which depends on the A&E *Event Type*, the *Event Category Description* and the *Condition Name*; however, the best mapping requires knowledge of the semantics associated with the *Event Categories* and *Condition Names*. If an A&E COM UA Wrapper does not know these semantics then Simple *Event Types* are subtypes of *BaseEventType*, Tracking *Event Types* are subtypes of *AuditEventType* and *Condition Event Types* are subtypes of the *AlarmType*. Table D.1 defines mappings for a set of “well known” Category description and *Condition Names* to a standard super type.

**Table D.1 – Mapping from Standard Event Categories to OPC UA Event Types**

COM A&E Event Type	Category Description	Condition Name	OPC UA EventType
Simple	---	---	BaseEventType
Simple	Device Failure	---	DeviceFailureEventType
Simple	System Message	---	SystemEventType
Tracking	---	---	AuditEventType
Condition	---	---	AlarmType
Condition	Level	---	LimitAlarmType
Condition	Level	PVLEVEL	ExclusiveLevelAlarmType
Condition	Level	SPLEVEL	ExclusiveLevelAlarmType
Condition	Level	HI HI	NonExclusiveLevelAlarmType
Condition	Level	HI	NonExclusiveLevelAlarmType
Condition	Level	LO	NonExclusiveLevelAlarmType
Condition	Level	LO LO	NonExclusiveLevelAlarmType
Condition	Deviation	---	NonExclusiveDeviationAlarmType
Condition	Discrete	---	DiscreteAlarmType
Condition	Discrete	CFN	OffNormalAlarmType
Condition	Discrete	TRIP	TripAlarmType

There is no generic mapping defined for A&E COM sub-*Conditions*. If an *Event Category* is mapped to a *LimitAlarmType* then the sub *Condition* name in the *Event* are be used to set the state of a suitable State *Variable*. For example, if the sub-*Condition* name is “HI HI” then that means the *HighHigh* state for the *LimitAlarmType* is active

For *Condition Event Types* the *Event Category* is also used to define subtypes of *BaseConditionClassType*.

Figure D.1 illustrates how *ObjectType Nodes* created from the *Event Categories* and *Condition Names* are placed in the standard OPC UA *Event* hierarchy.

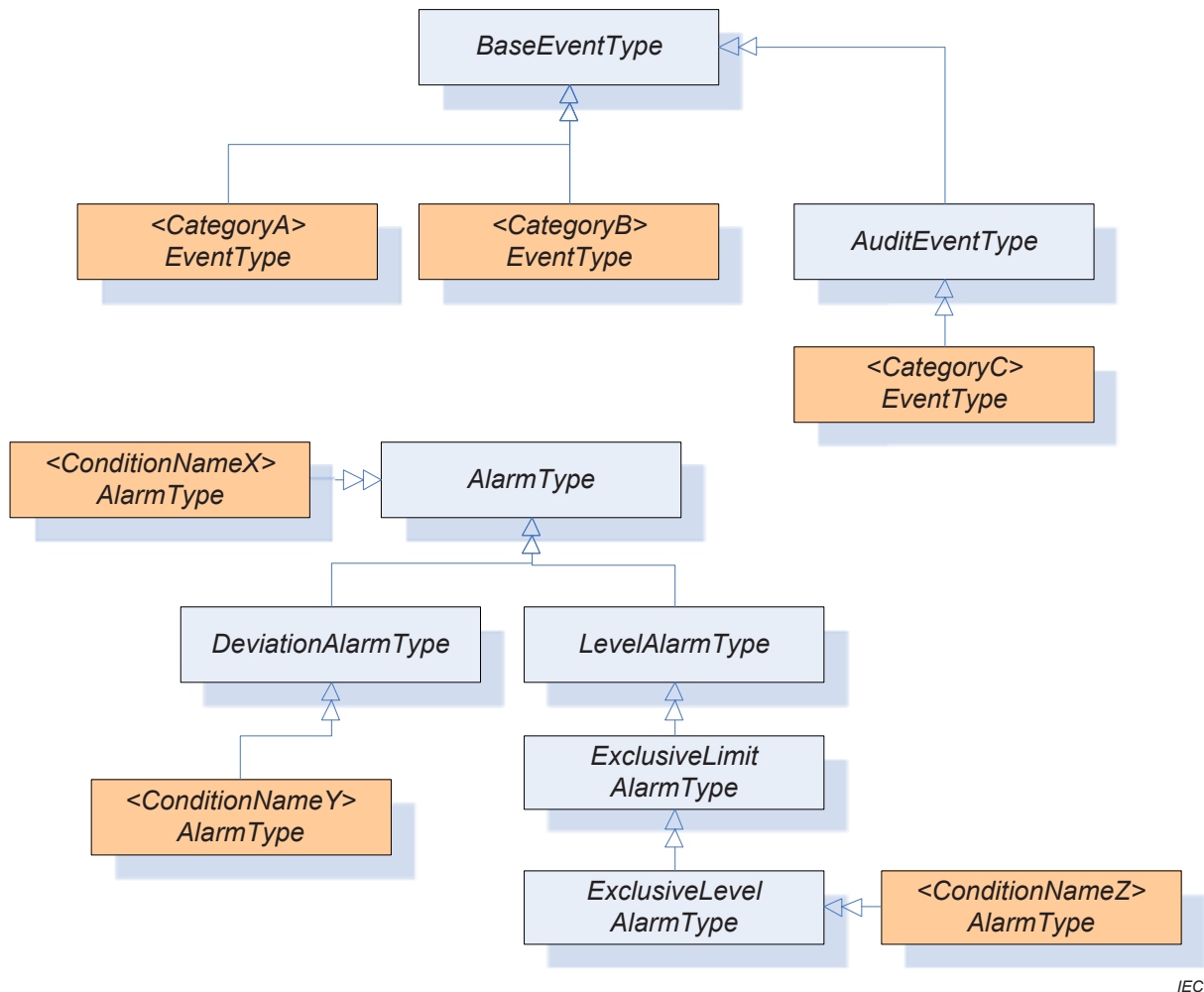


Figure D.1 – The Type Model of a Wrapped COM AE Server

#### D.2.4 Event Attributes

*Event Attributes* in the A&E COM Server are represented in the UA Server as *Variables* which are targets of *HasProperty References* from the *ObjectTypes* which represent the *Event Categories*. The *BrowseName* and *DisplayName* are the description for the *Event Attribute*. The data type of the *Event Attribute* is used to set *DataType* and *ValueRank*. The *NodeId* is constructed from the *EventCategoryId*, *ConditionName* and the *AttributeId*.

#### D.2.5 Event Subscriptions

The A&E COM UA Wrapper creates a *Subscription* with the COM AE Server the first time a *MonitoredItem* is created for the *Server Object* or one of the *Nodes* representing *Areas*. The *Area filter* is set based on the *Node* being monitored. No other filters are specified.

If all *MonitoredItems* for an *Area* are disabled then the *Subscription* will be deactivated.

The *Subscription* is deleted when the last *MonitoredItem* for the *Node* is deleted.

When filtering by Area the A&E COM UA Wrapper needs to add two Area filters: one based on the QualifiedAreaName which forms the Nodeld and one with the text '/'\* appended to it. This ensures that *Events* from sub areas are correctly reported by the COM AE Server.

A simple A&E COM UA Wrapper will always request all *Attributes* for all *Event Categories* when creating the *Subscription*. A more sophisticated wrapper may look at the *EventFilter* to determine which *Attributes* are actually used and only request those.

Table D.2 lists how the fields in the ONEVENTSTRUCT that are used by the A&E COM UA Wrapper are mapped to UA BaseEventType Variables.

**Table D.2 – Mapping from ONEVENTSTRUCT fields to UA BaseEventType Variables**

UA Event Variable	ONEVENTSTRUCT Field	Notes
EventId	szSource szConditionName ftTime ftActiveTime dwCookie	A ByteString constructed by appending the fields together.
EventType	dwEventType dwEventCategory szConditionName	The Nodeld for the corresponding <i>ObjectType Node</i> . The szConditionName maybe omitted by some implementations.
SourceNode	szSource	The <i>Nodeld</i> of the corresponding Source <i>Object Node</i> .
SourceName	szSource	-
Time	ftTime	-
ReceiveTime	-	Set when the <i>Notification</i> is received by the wrapper.
LocalTime	-	Set based on the clock of the machine running the wrapper.
Message	szMessage	Locale is the default locale for the COM AE Server.
Severity	dwSeverity	-

Table D.3 lists how the fields in the ONEVENTSTRUCT that are used by the A&E COM UA Wrapper are mapped to UA AuditEventType Variables.

**Table D.3 – Mapping from ONEVENTSTRUCT fields to UA AuditEventType Variables**

UA Event Variable	ONEVENTSTRUCT Field	Notes
ActionTimeStamp	ftTime	Only set for tracking <i>Events</i> .
Status	-	Always set to True.
ServerId	-	Set to the COM AE Server NamespaceURI
ClientAuditEntryId	-	Not set.
ClientUserId	szActorID	-

Table D.4 lists how the fields in the ONEVENTSTRUCT that are used by the A&E COM UA Wrapper are mapped to UA AlarmType Variables.

**Table D.4 – Mapping from ONEVENTSTRUCT fields to UA AlarmType Variables**

UA Event Variable	ONEVENTSTRUCT Field	Notes
ConditionClassId	dwEventType	Set to the <i>NodeId</i> of the <i>ConditionClassType</i> for the <i>Event Category</i> of a <i>Condition Event</i> Type. Set to the <i>NodeId</i> of <i>BaseConditionClassType Node</i> for non- <i>Condition Event</i> Types.
ConditionClassName	dwEventType	Set to the <i>BrowseName</i> of the <i>ConditionClassType</i> for the <i>Event Category</i> of <i>Condition Event</i> Type. To set "BaseConditionClass" non- <i>Condition Event</i> Types.
ConditionName	szConditionName	-
BranchId	-	Always set to null.
Retain	wNewState	Set to True if the OPC_CONDITION_ACKED bit is not set or OPC_CONDITION_ACTIVE bit is set.
EnabledState	wNewState	Set to "Enabled" or "Disabled"
EnabledState.Id	wNewState	Set to True if OPC_CONDITION_ENABLED is set
EnabledState. EffectiveDisplayName	wNewState	A string constructed from the bits in the wNewState flag. The following rules are applied in order to select the string: "Disabled" if OPC_CONDITION_ENABLED is not set. "Unacknowledged" if OPC_CONDITION_ACKED is not set. "Active" if OPC_CONDITION_ACKED is set. "Enabled" if OPC_CONDITION_ENABLED is set.
Quality	wQuality	The COM DA Quality converted to a UA StatusCode.
Severity	dwSeverity	Set based on the last <i>Event</i> received for the <i>Condition</i> instance. Set to the current value if the last <i>Event</i> is not available.
Comment	-	The value of the ACK_COMMENT <i>Attribute</i>
ClientUserId	szActorID	-
AckedState	wNewState	Set to "Acknowledged" or "Unacknowledged "
AckedState.Id	wNewState	Set to True if OPC_CONDITION_ACKED is set
ActiveState	wNewState	Set to "Active" or "Inactive "
ActiveState.Id	wNewState	Set to True if OPC_CONDITION_ACTIVE is set
ActiveState.TransitionTime	ftActiveTime	-

The A&C *Condition* Model defines other optional *Variables* which are not needed in the A&E COM UA Wrapper. Any additional fields associated with *Event Attributes* are also reported.

### D.2.6 Condition Instances

*Condition* Instances do not appear in the UA *Server* address space. *Conditions* can be acknowledged by passing the *EventId* to the *Acknowledge Method* defined on the *AcknowledgeableConditionType*.

*Conditions* cannot be enabled or disabled via the COM A&E Wrapper.

### D.2.7 Condition Refresh

The COM A&E Wrapper does not store the state of *Conditions*. When *ConditionRefresh* is called the *Refresh Method* is called on all COM AE *Subscriptions* associated with the *ConditionRefresh* call. The wrapper needs to wait until it receives the call back with the *bLastRefresh* flag set to True in the *OnEvent* call before it can tell the UA *Client* that the *Refresh* has completed.



## D.3 Alarms and Events COM UA Proxy

### D.3.1 General

As illustrated in the figure below, the A&E COM UA Proxy is a COM *Server* combined with a UA *Client*. It maps the *Alarms* and *Conditions* address space of UA A&C *Server* into the appropriate COM Alarms and *Event Objects*.

Subclauses D.3.2 through D.3.9 identify the design guidelines and constraints used to develop the A&E COM UA Proxy provided by the OPC Foundation. In order to maintain a high degree of consistency and interoperability, it is strongly recommended that vendors, who choose to implement their own version of the A&E COM UA Proxy, follow these same guidelines and constraints.

The A&E COM *Client* simply needs to address how to connect to the UA A&C *Server*. Connectivity approaches include the one where A&E COM *Clients* connect to a UA A&C *Server* with a CLSID just as if the target *Server* were an A&E COM *Server*. However, the CLSID can be considered virtual since it is defined to connect to intermediary components that ultimately connect to the UA A&C *Server*. Using this approach, the A&E COM *Client* calls co-create instance with a virtual CLSID as described above. This connects to the A&E COM UA Proxy components. The A&E COM UA Proxy then establishes a secure channel and session with the UA A&C *Server*. As a result, the A&E COM *Client* gets a COM *Event Server* interface pointer.

### D.3.2 Server Status Mapping

The A&E COM UA Proxy reads the UA A&C *Server* status from the *Server Object Variable Node*. Status enumeration values that are returned in *ServerStatusDataType* structure can be mapped 1 for 1 to the A&E COM *Server* status values with the exception of UA A&C *Server* status values *Unknown* and *Communication Fault*. These both map to the A&E COM *Server* status value of *Failed*.

The VendorInfo string of the A&E COM *Server* status is mapped from *ManufacturerName*.

### D.3.3 Event Type Mapping

Since all *Alarms* and *Conditions Events* belong to a subtype of *BaseEventType*, the A&E COM UA Proxy maps the subtype as received from the UA A&C *Server* to one of the three A&E *Event* types: Simple, Tracking and *Condition*. Figure D.2 shows the mapping as follows:

- Those A&C *Events* which are of subtype *AuditEventType* are marked as A&E *Event* type Tracking;
- Those A&C *Events* which are *ConditionType* are marked as A&E *Event* type *Condition*;
- Those A&C *Events* which are of any subtype except *AuditEventType* or *ConditionType* are marked as A&E *Event* type Simple.

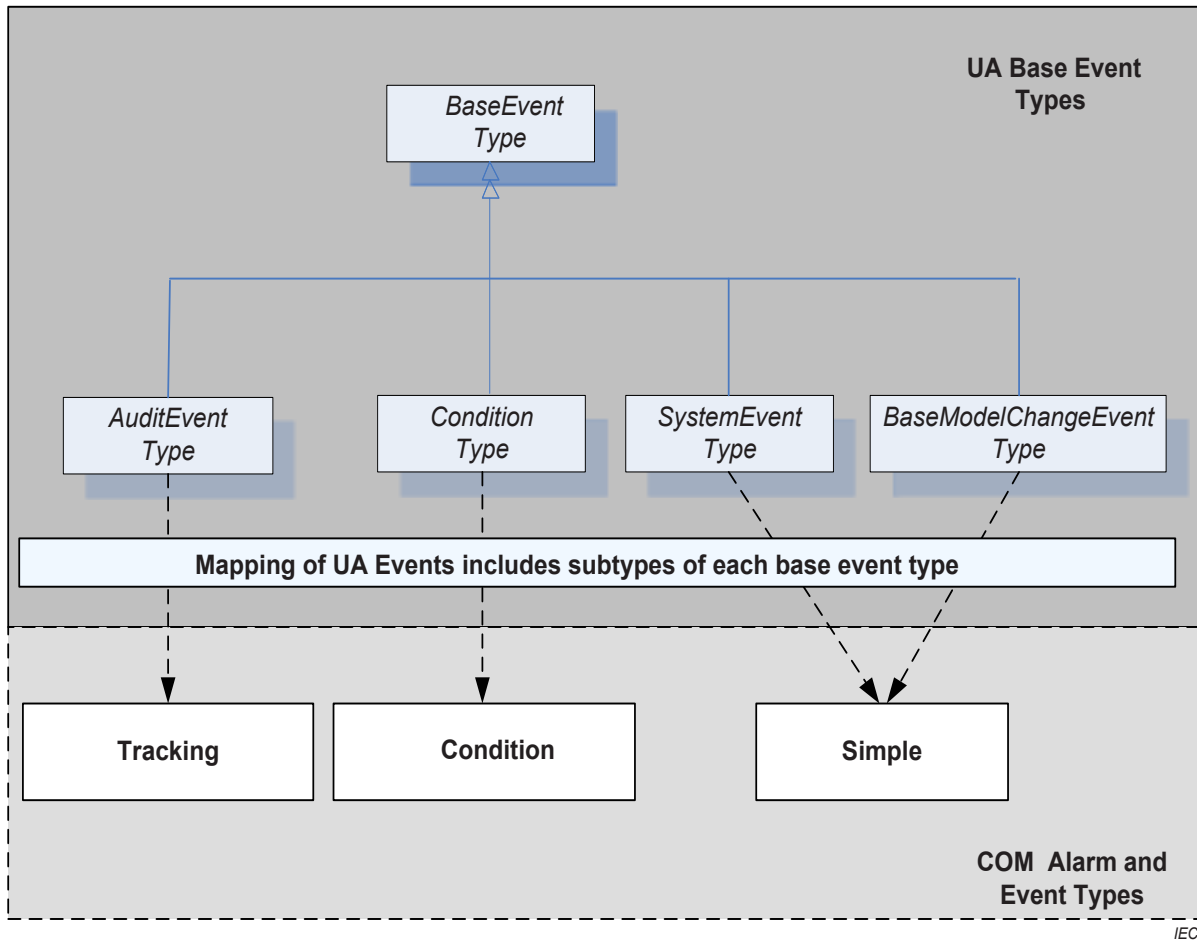


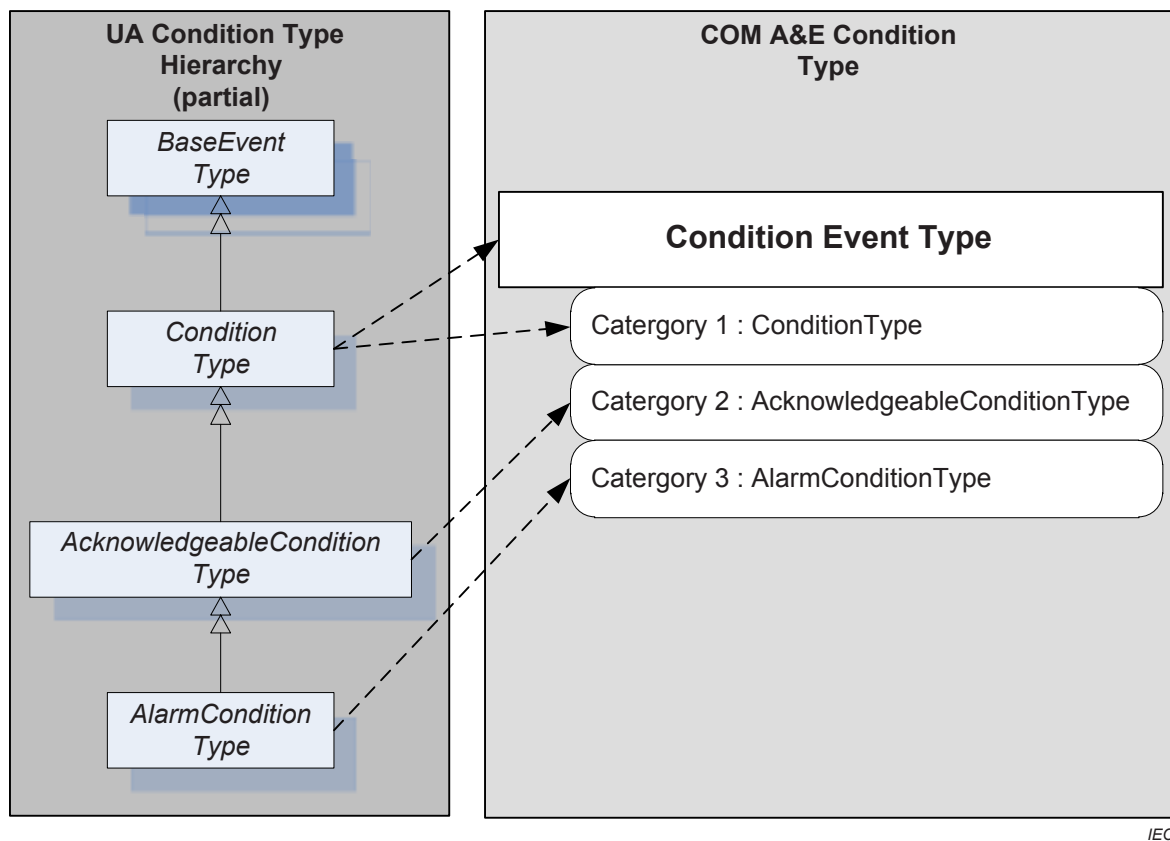
Figure D.2 – Mapping UA Event Types to COM A&E Event Types

Note that the *Event* type mapping described above also applies to the children of each subtype.

#### D.3.4 Event Category Mapping

Each A&E *Event* type (e.g. Simple, Tracking, *Condition*) has an associated set of *Event* categories which are intended to define groupings of A&E *Events*. For example, Level and Deviation are possible *Event* categories of the *Condition Event* type for an A&E COM *Server*. However, since A&C does not explicitly support *Event* categories, the A&E COM UA Proxy uses A&C *Event* types to return A&E *Event* categories to the A&E COM *Client*. The A&E COM UA Proxy builds the collection of supported categories by traversing the type definitions in the address space of the UA A&C *Server*. Figure D.3 shows the mapping as follows:

- A&E Tracking categories consist of the set of all *Event* types defined in the hierarchy of subtypes of *AuditEventType* and *TransitionEventType*, including *AuditEventType* itself and *TransitionEventType* itself;
- A&E *Condition* categories consist of the set of all *Event* types defined in the hierarchy of subtypes of *ConditionType*, including *ConditionType* itself;
- A&E Simple categories consist of the set of *Event* types defined in the hierarchy of subtypes of *BaseEventType* excluding *AuditEventType* and *ConditionType* and their respective subtypes.



**Figure D.3 – Example Mapping of UA Event Types to COM A&E Categories**

Category name is derived from the display name *Attribute* of the *Node* type as discovered in the type hierarchy of the UA A&C Server.

Category description is derived from the description *Attribute* of the *Node* type as discovered in the type hierarchy of the UA A&C Server.

The A&E COM UA Proxy assigns Category IDs.

### D.3.5 Event Category Attribute Mapping

The collection of *Attributes* associated with any given A&E *Event* is encapsulated within the ONEVENTSTRUCT. Therefore the A&E COM UA Proxy populates the *Attribute* fields within the ONEVENTSTRUCT using corresponding values from UA *Event Notifications* either directly (e.g., Source, Time, Severity) or indirectly (e.g., OPC COM *Event* category determined by way of the UA *Event* type). Table D.5 lists the *Attributes* currently defined in the ONEVENTSTRUCT in the leftmost column. The rightmost column of Table D.5 indicates how the A&E COM UA proxy defines that *Attribute*.

Table D.5 – Event Category Attribute Mapping Table

A&E ONEVENTSTRUCT “attribute”	A&E COM UA Proxy Mapping
<b>The following items are present for all A&amp;E event types</b>	
szSource	UA <i>BaseEventType</i> Property: <i>SourceName</i>
ftTime	UA <i>BaseEventType</i> Property: <i>Time</i>
szMessage	UA <i>BaseEventType</i> Property: <i>Message</i>
dwEventType	See D.3.3
dwEventCategory	See D.3.4
dwSeverity	UA <i>BaseEventType</i> Property: <i>Severity</i>
dwNumEventAttrs	Calculated within A&E COM UA Proxy
pEventAttributes	Constructed within A&E COM UA Proxy
<b>The following items are present only for A&amp;E Condition-Related Events</b>	
szConditionName	UA <i>ConditionType</i> Property: <i>ConditionName</i>
szSubConditionName	UA <i>ActiveState</i> Property: <i>EffectiveDisplayName</i>
wChangeMask	Calculated within Alarms and Events COM UA proxy
wNewState: OPC_CONDITION_ACTIVE	A&C <i>AlarmConditionType</i> Property: <i>ActiveState</i> Note that events mapped as non- <i>Condition Events</i> and those that do not derive from <i>AlarmConditionType</i> are set to ACTIVE by default.
wNewState: OPC_CONDITION_ENABLED	A&C <i>ConditionType</i> Property: <i>EnabledState</i> Note, <i>Events</i> mapped as non- <i>Condition Events</i> are set to ENABLED (state bit mask = 0x1) by default.
wNewState: OPC_CONDITION_ACKED	A&C <i>AcknowledgeableConditionType</i> Property: <i>AckedState</i> Note that A&C <i>Events</i> mapped as non- <i>Condition Events</i> or which do not derive from <i>AcknowledgeableConditionType</i> are set to UNACKNOWLEDGED and <i>AckRequired</i> = false by default.
wQuality	A&C <i>ConditionType</i> Property: <i>Quality</i> Note that <i>Events</i> mapped as non- <i>Condition Events</i> are set to OPC_QUALITY_GOOD by default.  In general, the Severity field of the <i>StatusCode</i> is used to map COM status codes OPC_QUALITY_BAD, OPC_QUALITY_GOOD and OPC_QUALITY_UNCERTAIN. When possible, specific status' are mapped directly. These include (UA => COM):  <u>Bad status codes</u> Bad_ConfigurationError => OPC_QUALITY_CONFIG_ERROR Bad_NotConnected => OPC_QUALITY_NOT_CONNECTED Bad_DeviceFailure => OPC_QUALITY_DEVICE_FAILURE Bad_SensorFailure => OPC_QUALITY_SENSOR_FAILURE Bad_NoCommunication => OPC_QUALITY_COMM_FAILURE Bad_OutOfService => OPC_QUALITY_OUT_OF_SERVICE  <u>Uncertain status codes</u> Uncertain_NoCommunicationLastUsableValue => OPC_QUALITY_LAST_USABLE Uncertain_LastUsableValue => OPC_QUALITY_LAST_USABLE Uncertain_SensorNotAccurate => OPC_QUALITY_SENSOR_CAL Uncertain_EngineeringUnitsExceeded => OPC_QUALITY_EGU_EXCEEDED Uncertain_SubNormal => OPC_QUALITY_SUB_NORMAL  <u>Good status codes</u> Good_LocalOverride => OPC_QUALITY_LOCAL_OVERRIDE
bAckRequired	If the ACKNOWLEDGED bit (OPC_CONDITION_ACKED) is set then the Ack Required Boolean is set to false, otherwise the Ack Required Boolean is set to true. If the <i>Event</i> is not of type <i>AcknowledgeableConditionType</i> or subtype then the <i>AckRequired</i> Boolean is set to false.

A&E ONEVENTSTRUCT "attribute"	A&E COM UA Proxy Mapping
ftActiveTime	If the <i>Event</i> is of type <i>AlarmConditionType</i> or subtype and a transition from <i>ActiveState</i> of false to <i>ActiveState</i> to true is being processed then the <i>TransitionTimeProperty</i> of <i>ActiveState</i> is used. If the <i>Event</i> is not of type <i>AlarmConditionType</i> or subtype then this field is set to current time.
dwCookie	Generated by the A&E COM UA Proxy. These unique <i>Condition Event</i> cookies are not associated with any related identifier from the address space of the UA A&C <i>Server</i> .
<b>The following is used only for A&amp;E tracking events and for A&amp;E condition-relate events which are acknowledgement notifications</b>	
szActorID	
<b>Vendor specific Attributes – ALL</b>	
ACK Comment	
AREAS	All A&E <i>Events</i> are assumed to support the "Areas" <i>Attribute</i> . However, no <i>Attribute</i> or <i>Property</i> of an A&C <i>Event</i> is available which provides this value. Therefore, the A&E COM UA Proxy initializes the value of the <i>Areas Attribute</i> based on the monitored item producing the <i>Event</i> . If the A&E COM <i>Client</i> has applied no area filtering to a <i>Subscription</i> , the corresponding A&C <i>Subscription</i> will contain just one monitored item – that of the UA A&C <i>Server Object</i> . <i>Events</i> forwarded to the A&E COM <i>Client</i> on behalf of this <i>Subscription</i> will carry an <i>Areas Attribute</i> value of empty string. If the A&E COM <i>Client</i> has applied an area filter to a <i>Subscription</i> then the related UA A&C <i>Subscription</i> will contain one or more monitored items for each notifier <i>Node</i> identified by the area string(s). <i>Events</i> forwarded to the A&E COM <i>Client</i> on behalf of such a <i>Subscription</i> will carry an <i>areas Attribute</i> whose value is the relative path to the notifier which produced the <i>Event</i> (i.e., the fully qualified area name).
<b>Vendor specific Attributes – based on category</b>	
SubtypeProperty1	All the UA A&C subtype properties that are not part of the standard set exposed by <i>BaseEventType</i> or <i>ConditionType</i>
SubtypePropertyn	

*Condition Event* instance records are stored locally within the A&E COM UA Proxy. Each record holds ONEVENTSTRUCT data for each *EventSource/Condition* instance. When the *Condition* instance transitions to the state INACTIVE|JACKED, where *AckRequired* = true or simply INACTIVE, where *AckRequired* = false, the local *Condition* record is deleted. When a *Condition Event* is received from the UA A&C *Server* and a record for this *Event* (identified by source/*Condition* pair) already exists in the proxy *Condition Event* store, the existing record is simply updated to reflect the new state or other change to the *Condition*, setting the change mask accordingly and producing an *OnEvent* callback to any subscribing *Clients*. In the case where the *Client* application acknowledges an *Event* which is currently unacknowledged (*AckRequired* = true), the UA A&C *Server Acknowledge Method* associated with the *Condition* is called and the subsequent *Event* produced by the UA A&C *Server* indicating the transition to acknowledged will result in an update to the current state of the local *Condition* record as well as an *OnEvent Notification* to any subscribing *Clients*.

The A&E COM UA Proxy maintains the mapping of *Attributes* on an *Event* category basis. An *Event* category inherits its *Attributes* from the properties defined on all supertypes in the UA *Event Type* hierarchy. New *Attributes* are added for any properties defined on the direct UA *Event* type to A&E category mapping. The A&E COM UA Proxy adds two *Attributes* to each category: *AckComment* and *Areas*. Figure D.4 shows an example of this mapping.

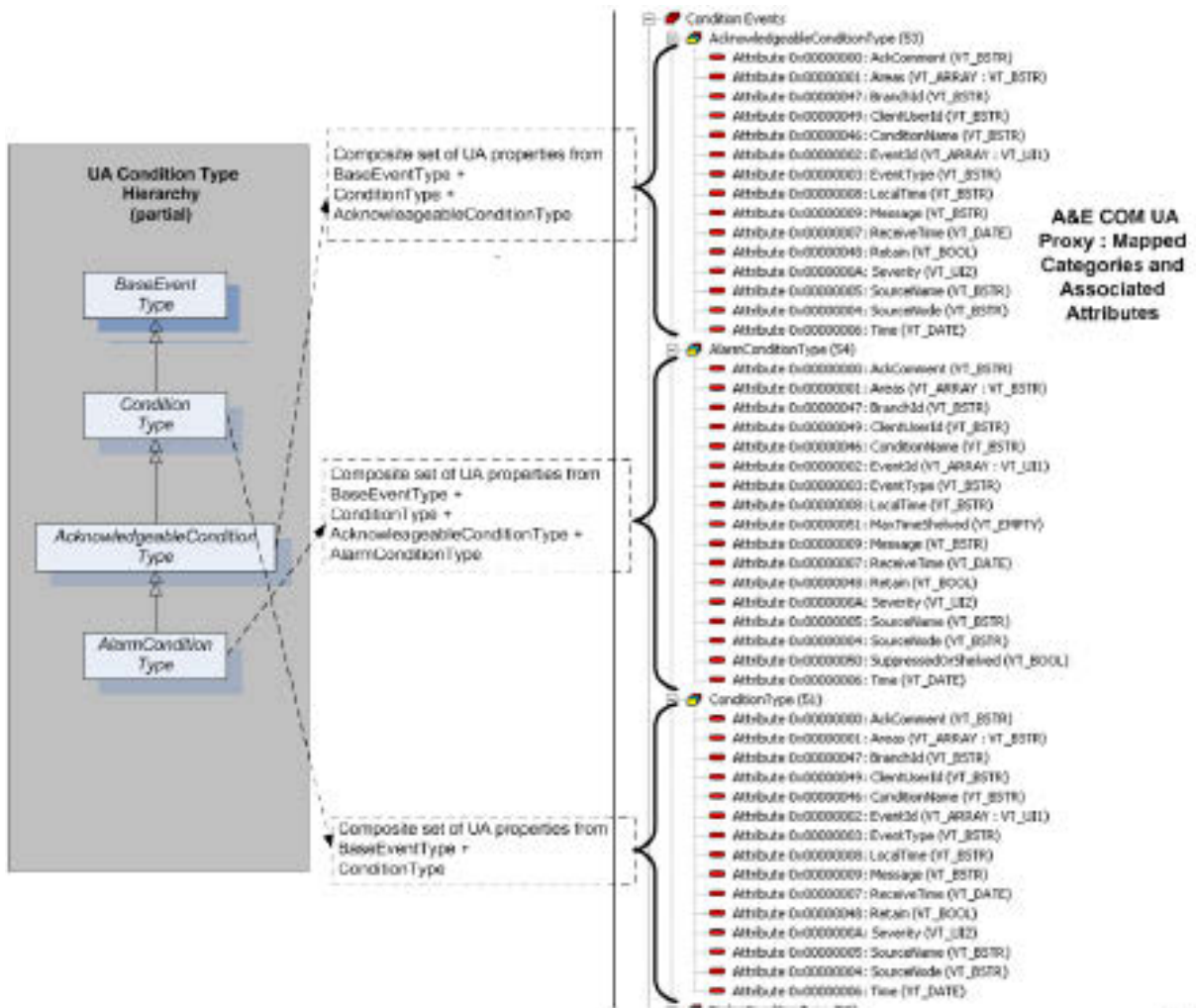


Figure D.4 – Example Mapping of UA Event Types to A&E Categories with Attributes

### D.3.6 Event Condition Mapping

Events of any subtype of *ConditionType* are designated COM *Condition Events* and are subject to additional processing due to the stateful nature of *Condition Events*. COM *Condition Events* transition between states composed of the triplet ENABLED|ACTIVE|ACKNOWLEDGED. In UA A&C, *Event* subtypes of *ConditionType* only carry a value which can be mapped to ENABLED (DISABLED) and optionally, depending on further sub typing, may carry additional information which can be mapped to ACTIVE (INACTIVE) or ACKNOWLEDGED (UNACKNOWLEDGED). *Condition Event* processing proceeds as described in Table D.5 (see A&E ONEVENTSTRUCT “Attribute” rows: OPC\_CONDITION\_ACTIVE, OPC\_CONDITION\_ENABLED and OPC\_CONDITION\_ACKED).

### D.3.7 Browse Mapping

A&E COM browsing yields a hierarchy of areas and sources. Areas can contain both sources and other areas in tree fashion where areas are the branches and sources are the leaves. The A&E COM UA Proxy relies on the “HasNotifier” *Reference* to assemble a hierarchy of branches/areas such that each *Object Node* which contains a HasNotifier *Reference* and whose EventNotifier *Attribute* is set to SubscribeToEvents is considered an area. The root for the *Event* hierarchy is the *Server Object*. Starting at the *Server Object*, eventNotifier *References* are followed and each HasNotifier target whose EventNotifier *Attribute* is set to SubscribeToEvents becomes a nested COM area within the hierarchy.



Note that the HasNotifier target can also be a HasNotifier source. Further, any *Node* which is a HasEventSource source and whose EventNotifier *Attribute* is set to SubscribeToEvents is also considered a COM Area. The target *Node* of any HasEventSource *Reference* is considered an A&E COM “source” or leaf in the A&E COM browse tree.

In general, *Nodes* which are the source *Nodes* of the HasEventSource *Reference* and/or are the source *Nodes* of the HasNotifier *Reference* are always A&E COM Areas. *Nodes* which are the target *Nodes* of the HasEventSource *Reference* are always A&E COM Sources. Note however that targets of HasEventSource which cannot be found by following the HasNotifier *References* from the *Server Object* are ignored.

Given the above logic, the A&E COM UA Proxy browsing will have the following limitations: Only those *Nodes* in the UA A&C *Server's* address space which are connected by the HasNotifier *Reference* (with exception of those contained within the top level *Objects* folder) are considered for area designation. Only those *Nodes* in the UA A&C *Server's* address space which are connected by the HasEventSource *Reference* (with exception of those contained within the top level *Objects* folder) are considered for area or source designation. To be an area, a *Node* shall contain a HasNotifier *Reference* and its EventNotifier *Attribute* shall be set to SubscribeToEvents. To be a source, a *Node* shall be the target *Node* of a HasEventSource *Reference* and shall have been found by following HasNotifier *References* from the *Server Object*.

### D.3.8 Qualified Names

#### D.3.8.1 Qualified Name Syntax

From the root of any sub tree in the address space of the UA A&C *Server*, the A&E COM *Client* may request the list of areas and/or sources contained within that level. The resultant list of area names or source names will consist of the set of browse names belonging to those *Nodes* which meet the criteria for area or source designation as described above. These names are “short” names meaning that they are not fully qualified. The A&E COM *Client* may request the fully qualified representation of any of the short area or source names. In the case of sources, the fully qualified source name returned to the A&E COM *Client* will be the string encoded value of the *NodeId* as defined in IEC 62541-6 (e.g., “ns=10;i=859”). In the case of areas, the fully qualified area name returned to the COM *Client* will be the relative path to the notifier *Node* as defined in IEC 62541-4 (e.g., “/6:Boiler1/6:Pipe100X/1:Input/2:Measurement”). Relative path indices refer to the namespace table described below.

#### D.3.8.2 Namespace Table

UA *Server* Namespace table indices may vary over time. This represents a problem for those A&E COM *Clients* which cache and reuse fully qualified area names. One solution to this problem would be to use a qualified name syntax which includes the complete URIs for all referenced table indices. This however would result in fully qualified area names which are unwieldy and impractical for use by A&E COM *Clients*. As an alternative, the A&E COM UA Proxy will maintain an internal copy of the UA A&C *Server's* namespace table together with the locally cached endpoint description. The A&E COM UA Proxy will evaluate the UA A&C *Server's* namespace table at connect time against the cached copy and automatically handle any re-mapping of indices if required. The A&E COM *Client* can continue to present cached fully qualified area names for filter purposes and the A&E COM UA Proxy will ensure these names continue to reference the same notifier *Node* even if the *Server's* namespace table changes over time.

To implement the relative path, the A&E COM UA Proxy maintains a stack of *INode* interfaces of all the *Nodes* browsed leading to the current level. When the A&E COM *Client* calls GetQualifiedAreaName, the A&E COM UA Proxy first validates that the area name provided is a valid area at the current level. Then looping through the stack, the A&E COM UA Proxy builds the relative path. Using the browse name of each *Node*, the A&E COM UA Proxy constructs the translated name as follows:

*QualifiedName translatedName = new QualifiedName(Name, (ushort) ServerMappingTable[NamespaceIndex])* where

*Name* – the unqualified browse name of the *Node*

*NamespaceIndex* – the *Server* index

the *ServerMappingTable* provides the *Client* namespace index that corresponds to the *Server* index.

A '/' is appended to the translated name and the A&E COM UA Proxy continues to loop through the stack until the relative path is fully constructed

### D.3.9 Subscription Filters

#### D.3.9.1 General

The A&E COM UA Proxy supports all of the defined A&E COM filter criteria.

#### D.3.9.2 Filter by Event, Category or Severity

These filter types are implemented using simple numeric comparisons. For *Event* filters, the received *Event* shall match the *Event* type(s) specified by the filter. For Category filters, the received *Event's* category (as mapped from UA *Event* type) shall match the category or categories specified by the filter. For severity filters, the received *Event* severity shall be within the range specified by the *Subscription* filter.

#### D.3.9.3 Filter by Source

In the case of source filters, the UA A&C *Server* is free to provide any appropriate, *Server*-specific value for *SourceName*. There is no expectation that source *Nodes* discovered via browsing can be matched to the *SourceName Property* of the *Event* returned by the UA A&C *Server* using string comparisons. Further, the A&E COM *Client* may receive *Events* from sources which are not discoverable by following only *HasNotifier* and/or *HasEventSource References*. Thus, source filters will only apply if the source string can be matched to the *SourceName Property* of an *Event* as received from the target UA A&C *Server*. Source filter logic will use the pattern matching rules documented in the A&E COM specification, including the use of wildcard characters.

#### D.3.9.4 Filter by Area

The A&E COM UA Proxy implements Area filtering by adjusting the set of monitored items associated with a *Subscription*. In the simple case where the *Client* selects no area filter, the A&E COM UA Proxy will create a UA *Subscription* which contains just one monitored item, the *Server Object*. In doing so, the A&E COM UA Proxy will receive *Events* from the entire *Server* address space – that is, all *Areas*. The A&E COM *Client* will discover the areas associated with the UA *Server* address space by browsing. The A&E COM *Client* will use *GetQualifiedAreaName* as usual in order to obtain area strings which can be used as filters. When the A&E COM *Client* applies one or more of these area strings to the COM *Subscription* filter, the A&E COM UA Proxy will create monitored items for each notifier *Node* identified by the area string(s). Recall that the fully qualified area name is in fact the namespace qualified relative path to the associated notifier *Node*.

The A&E COM UA Proxy calls the *TranslateBrowsePathsToNodeIds Service* to get the *Node* ids of the fully qualified area names in the filter. The *Node* ids are then added as monitored items to the UA *Subscription* maintained by the A&E COM UA Proxy. The A&E COM UA Proxy also maintains a reference count for each of the areas added, to handle the case of multiple A&E COM *Subscription* applying the same area filter. When the A&E COM *Subscriptions* are removed or when the area name is removed from the filter, the ref count on the monitored item corresponding to the area name is decremented. When the ref count goes to zero, the monitored item is removed from the UA *Subscription*



As with source filter strings, area filter strings can contain wildcard characters. Area filter strings which contain wildcard characters require more processing by the A&E COM UA Proxy. When the A&E COM *Client* specifies an area filter string containing wildcard characters, the A&E COM UA Proxy will scan the relative path for path elements that are completely specified. The partial path containing just those segments which are fully specified represents the root of the notifier sub tree of interest. From this sub tree root *Node*, the A&E COM UA Proxy will collect the list of notifier *Nodes* below this point. The relative path associated with each of the collected notifier *Nodes* in the sub tree will be matched against the *Client* supplied relative path containing the wildcard character. A monitored item is created for each notifier *Node* in the sub tree whose relative path matches that of the supplied relative path using established pattern matching rules. An area filter string which contains wildcard characters may result in multiple monitored items added to the UA *Subscription*. By contrast, an area filter string made up of fully specified path segments and no wildcard characters will result in one monitored item added to the UA *Subscription*. So, the steps involved are:

- 1) check if the filter string contains any of these wild card characters, '\*', '?', '#', '[', ']', '!', '-';
- 2) scan the string for path elements that are completely specified by retrieving the substring up to the last occurrence of the '/' character;
- 3) obtain the *NodeId* for this path using *TranslateBrowsePathsToNodeIds*;
- 4) browse the *Node* for all notifiers below it;
- 5) using the *ComUtils.Match()* function match the browse names of these notifiers against the *Client* supplied string containing the wild card character;
- 6) add the *Node* ids of the notifiers that match as monitored items to the UA *Subscription*.

## Bibliography

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