

BS EN ISO 16610-61:2015



BSI Standards Publication

Geometrical product specification (GPS) — Filtration

Part 61: Linear areal filters — Gaussian
filters

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National foreword

This British Standard is the UK implementation of EN ISO 16610-61:2015.

The UK participation in its preparation was entrusted to Technical Committee TDW/4, Technical Product Realization.

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English Version

Geometrical product specification (GPS) - Filtration - Part 61: Linear areal filters - Gaussian filters (ISO 16610-61:2015)

Spécification géométrique des produits (GPS) - Filtrage -
Partie 61: Filtres surfaciques linéaires: Filtres Gaussiens
(ISO 16610-61:2015)

Geometrische Produktspezifikation (GPS) - Filterung - Teil
61: Lineare Flächenfilter: Gauß-Filter (ISO 16610-61:2015)

This European Standard was approved by CEN on 21 February 2015.

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European foreword

The text of (EN ISO 16610-61:2015) has been prepared by Technical Committee ISO/TC 213 “Dimensional and geometrical product specifications and verification” in collaboration with Technical Committee CEN/TC 290 “Dimensional and geometrical product specification and verification” the secretariat of which is held by AFNOR.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by January 2016, and conflicting national standards shall be withdrawn at the latest by January 2016.

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Endorsement notice

The text of ISO 16610-61:2015 has been approved by CEN as EN ISO 16610-61:2015 without any modification.

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Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

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For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the WTO principles in the Technical Barriers to Trade (TBT) see the following URL: [Foreword - Supplementary information](#)

The committee responsible for this document is ISO/TC 213, *Dimensional and geometrical product specifications and verification*.

ISO 16610 consists of the following parts, under the general title *Geometrical product specifications (GPS) — Filtration*:

- *Part 1: Overview and basic concepts*
- *Part 20: Linear profile filters: Basic concepts*
- *Part 21: Linear profile filters: Gaussian filters*
- *Part 22: Linear profile filters: Spline filters*
- *Part 28: Profile filters: End effects*
- *Part 29: Linear profile filters: Spline wavelets*
- *Part 30: Robust profile filters: Basic concepts*
- *Part 31: Robust profile filters: Gaussian regression filters*
- *Part 32: Robust profile filters: Spline filters*
- *Part 40: Morphological profile filters: Basic concepts*
- *Part 41: Morphological profile filters: Disk and horizontal line-segment filters*
- *Part 49: Morphological profile filters: Scale space techniques*
- *Part 60: Linear areal filters: Basic concepts*
- *Part 61: Linear areal filters: Gaussian filters*
- *Part 71: Robust areal filters: Gaussian regression filters*

— *Part 85: Morphological areal filters: Segmentation*

The following parts are planned:

- *Part 26: Linear profile filters: Filtration on nominally orthogonal grid planar data sets*
- *Part 27: Linear profile filters: Filtration on nominally orthogonal grid cylindrical data sets*
- *Part 45: Morphological profile filters: Segmentation*
- *Part 62: Linear areal filters: Spline filters*
- *Part 69: Linear areal filters: Spline wavelets*
- *Part 70: Robust areal filters: Basic concepts*
- *Part 72: Robust areal filters: Spline filters*
- *Part 80: Morphological areal filters: Basic concepts*
- *Part 81: Morphological areal filters: Sphere and horizontal planar segment filters*
- *Part 89: Morphological areal filters: Scale space techniques*

Introduction

This part of ISO 16610 is a geometrical product specification (GPS) standard and is to be regarded as a general GPS standard (see ISO/TR 14638). It influences chain links 3 and 5 in the GPS matrix structure..

The ISO/GPS Masterplan given in ISO/TR 14638 gives an overview of the ISO/GPS system of which this part of ISO 16610 is a part. The fundamental rules of ISO/GPS given in ISO 8015 apply to this part of ISO 16610 and the default decision rules given in ISO 14253-1 apply to specifications made in accordance with this part of ISO 16610, unless otherwise indicated.

For more detailed information about the relation of this part of ISO 16610 to the GPS matrix model, see [Annex D](#).

This part of ISO 16610 specifies the metrological characteristics of linear areal Gaussian filters for the rotationally symmetric filtration of nominal planar surfaces and the filtration of nominal cylindrical surfaces. It specifies, in particular, how to separate long and short wave components of a surface.

Geometrical product specification (GPS) — Filtration —

Part 61:

Linear areal filters — Gaussian filters

1 Scope

This part of ISO 16610 specifies linear areal Gaussian filters for the rotationally symmetric filtration of nominal planar surfaces and the filtration of nominal cylindrical surfaces. It specifies, in particular, how to separate long and short wave components of a surface.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 16610-1, *Geometrical product specification (GPS) — Filtration — Part 1: Overview and basic terminology*

ISO 16610-20, *Geometrical product specification (GPS) — Filtration — Part 20: Linear profile filters: basic concepts*

ISO 16610-21:2011, *Geometrical product specifications (GPS) — Filtration — Part 21: Linear profile filters: Gaussian filters*

ISO 16610-60, *Geometrical product specification (GPS) — Filtration — Part 60: Linear areal filters: Basic concepts*

ISO/IEC Guide 99:2007, *International vocabulary of metrology — Basic and general concepts and associated terms (VIM)*

ISO/IEC Guide 98-3:2008, *Uncertainty of measurement — Part 3: Guide to the expression of uncertainty in measurement (GUM)*

3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO 16610-1, ISO 16610-20, ISO 16610-21, ISO 16610-60, ISO/IEC Guide 98-3:2008, ISO/IEC Guide 99, and the following apply.

3.1

linear areal filter

areal filter which separates surfaces into long wave and short wave components and is also a linear function

[SOURCE: ISO 16610-60, 3.1]

3.1.1

linear planar filter

linear areal filter (3.1) that separate surfaces into long wave and short wave components, which applies to nominal planar surfaces

[SOURCE: ISO 16610-60, 3.1.1]

3.1.2

linear cylindrical filter

linear areal filter (3.1) that separate surfaces into long wave and short wave components, which applies to nominal cylindrical surfaces

[SOURCE: ISO 16610-60, 3.1.2]

3.2

cut-off wavelength (nesting index)

wavelength of a sinusoidal surface of which 50% of the amplitude is transmitted by the *linear areal filter* (3.1)

Note 1 to entry: Linear areal filters are identified by the filter type and the cut-off wavelength.

[SOURCE: ISO 16610-60, 3.7]

Note 2 to entry: The cut-off value for the Gaussian filter is an example of a nesting index.

3.3

undulations per revolution

UPR

number of sinusoidal undulations contained in the roundness profile

3.3.1

undulation cut-off (nesting index)

cut-off wavelength (3.2) of the filter applied to the extracted circumferential line

Note 1 to entry: These are usually defined in terms of undulations per revolution (UPR).

4 Characteristics of linear planar Gaussian filters

4.1 General

Linear planar Gaussian filters conforming to this part of ISO 16610 shall conform to 4.2 to 4.4.

4.2 Weighting function of linear planar filters

The weighting function of an areal filter (see Figure 1) has the formula of a rotationally symmetric Gaussian function with a cut-off wavelength, λ_c , given by Formula (1):

$$s(x, y) = \frac{1}{\alpha^2 \lambda_c^2} \exp \left[-\frac{\pi}{\alpha^2} \left(\frac{x^2 + y^2}{\lambda_c^2} \right) \right] \quad (1)$$

where

x is the distance from the centre (maximum) of the weighting function in X direction;

y is the distance from the centre (maximum) of the weighting function in Y direction;

λ_c is the cut-off wavelength;

α is the constant, to provide 50% transmission characteristic at the cut-off λ_c .

For a practical application, the weighting function of a filter (see Figure 1) is expressed within $-L_c \lambda_c \leq \sqrt{x^2 + y^2} \leq L_c \lambda_c$ where L_c is the truncation indices of the Gaussian filter.

NOTE See ISO 16610-21, Annex A for recommended values of L_c .

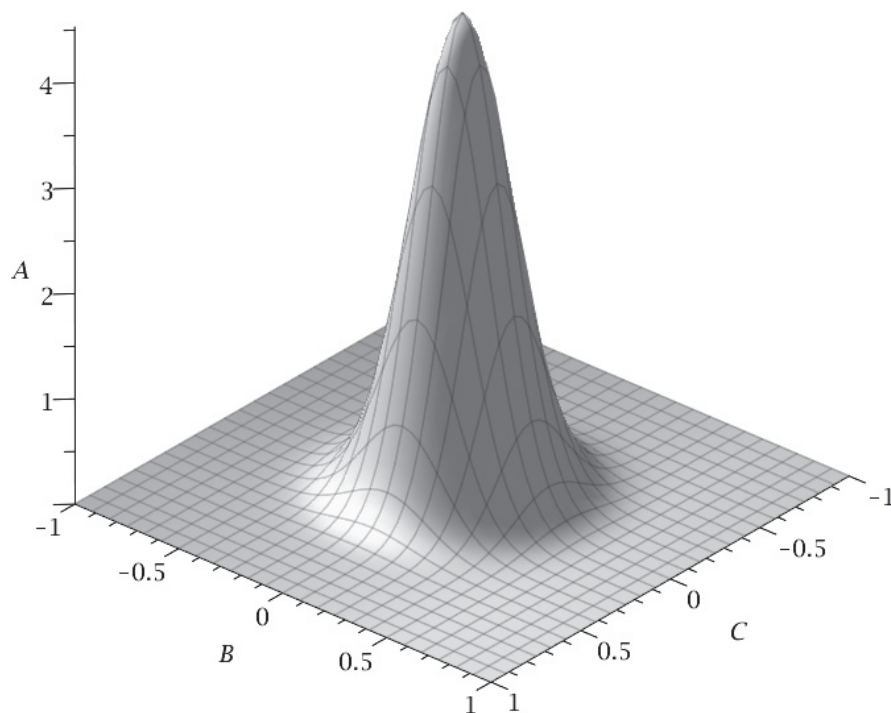
If smaller index values of L_c are used, then the uncertainty contributed by systematic error can become unacceptable,^[3] as given in Formula (2):

$$s(x, y) = \begin{cases} \frac{1}{\alpha^2 \lambda_c^2} \exp \left[-\frac{\pi}{\alpha^2} \left(\frac{x^2 + y^2}{\lambda_c^2} \right) \right], & -L_c \lambda_c \leq \sqrt{x^2 + y^2} \leq L_c \lambda_c \\ 0 & \text{otherwise} \end{cases} \quad (2)$$

where α is given by Formula (3):

$$\alpha = \sqrt{\frac{\ln 2}{\pi}} \approx \frac{318}{677} \approx 0,4697 \approx \frac{31}{66} \quad (3)$$

The graph of the weighting function is shown in [Figure 1](#).



Key

- A weight modified to make it a unit number: $\lambda_c^2 s(x, y)$
- B length modified to make it a unit number: $\frac{y}{\lambda_c}$
- C length modified to make it a unit number: $\frac{x}{\lambda_c}$

Figure 1 — Weighting function of a Gaussian areal filter

4.3 Transmission characteristics of linear planar Gaussian filters

4.3.1 Transmission characteristic of the long wave component

The transmission characteristic is determined from the weighting function by means of the Fourier transformation. The transmission characteristic of the long wave component (mean) is given by Formula (4):

$$\frac{a_1}{a_0} = H(\lambda | \lambda_c) = \exp \left[-\pi \left(\alpha \frac{\lambda_c}{\lambda} \right)^2 \right] \quad (4)$$

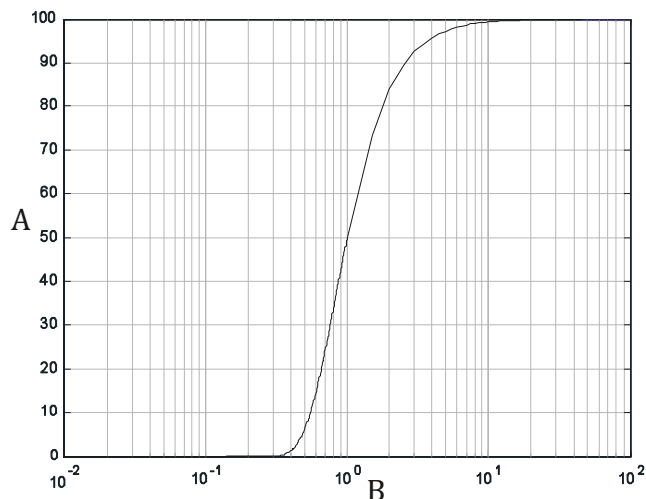
where

a_0 is the amplitude of a sine wave surface before filtering;

a_1 is the amplitude of the long wave component of a sine wave surface;

λ is the wavelength of a sine surface in any direction.

The transmission characteristic of the long wave component with λ_c for a sine wave in any direction with wavelength λ is shown in [Figure 2](#).



Key

A amplitude transmission $\frac{a_1}{a_0}$ in %

B $\frac{\lambda}{\lambda_c}$

Figure 2 — Long wave transmission function of the areal Gaussian filter for planar surfaces with λ_c

4.3.2 Transmission characteristic of the short wave component

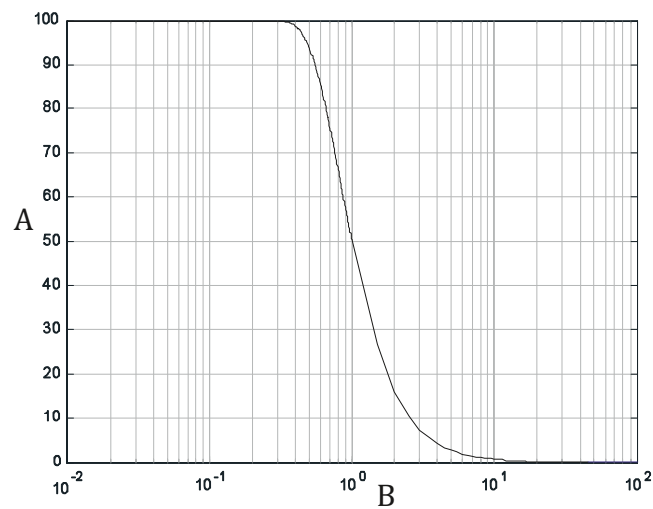
The transmission characteristic is determined from the weighting function by means of the Fourier transformation and is complementary to the transmission characteristic of the long wave profile component. The transmission characteristic of the short wave component is given by Formula (5):

$$\frac{a_2}{a_0} = 1 - \frac{a_1}{a_0} = 1 - H(\lambda | \lambda_c) = 1 - \exp \left[-\pi \left(\alpha \frac{\lambda_c}{\lambda} \right)^2 \right] \quad (5)$$

where

a_2 is the amplitude of the short wave component of a sine wave surface.

The transmission characteristic of the short wave component with λ_c for a sine wave in any direction with wavelength λ is shown in [Figure 3](#).



Key

A amplitude transmission $\frac{a_2}{a_0}$ in %

B $\frac{\lambda}{\lambda_c}$

Figure 3 — Short wave transmission function of the areal Gaussian filter for planar surfaces with λ_c

4.4 Separable weighting functions

The linear planar Gaussian weighting function is separable. It can be written as a product of two linear open profile Gaussian weighting functions, as shown in Formula (6):

$$s(x, y | \lambda_c, \lambda_c) = s(x | \lambda_c) s(y | \lambda_c) \quad (6)$$

where

$$s(x | \lambda_c) = \frac{1}{\alpha \lambda_c} \exp \left[-\pi \left(\frac{x}{\alpha \lambda_c} \right)^2 \right] \quad \text{is the weighting function in the x-direction;} \quad (7)$$

$$s(y | \lambda_c) = \frac{1}{\alpha \lambda_c} \exp \left[-\pi \left(\frac{y}{\alpha \lambda_c} \right)^2 \right] \quad \text{is the weighting function in the y-direction.} \quad (8)$$

The filtered surface is given by Formula (9):

$$w(x, y) = \int s(x - \mu | \lambda_c) \left[\int s(y - \nu | \lambda_c) z(\mu, \nu) d\nu \right] d\mu \quad (9)$$

where

$z(x, y)$ is the unfiltered surface;

$w(x, y)$ is the filtered surface.

i.e. the convolution is separable, too. Thus, the convolution can be calculated in a two-step process, using profile filters instead of areal filters, as given in Formula (10):

$$g(x, y) = \int s(y - \nu | \lambda_c) z(x, \nu) d\nu \quad (10)$$

and Formula (11)

$$w(x, y) = \int s(x - \mu | \lambda_c) g(\mu, y) d\mu \quad (11)$$

5 Characteristics of linear cylindrical Gaussian filters

5.1 General

Linear cylindrical Gaussian filters conforming to this part of ISO 16610 shall conform to [5.2](#) to [5.3](#).

5.2 Weighting function of linear cylindrical Gaussian filters

The linear cylindrical Gaussian weighting function is separable. It can be written as a product of two linear profile Gaussian weighting functions, which is given by Formula (12):

$$s(t, z | f_c, \lambda_{cz}) = s(t | f_c) s(z | \lambda_{cz}) \quad (12)$$

In the T direction (circumferential direction), use the linear closed profile Gaussian filter. The weighting function in the T direction (circumferential direction) has the equation of the Gaussian density function

wrapped around the cylindrical surface along the circumferential closed profile of length, L . With the cut-off frequency $f_c = L / \lambda_c$, use Formula (13):

$$s(t | f_c) = \begin{cases} \frac{f_c}{\alpha L} \exp \left[-\pi \left(\frac{t f_c}{\alpha L} \right)^2 \right] & -\frac{L_{ct} L}{f_c} \leq t \leq \frac{L_{ct} L}{f_c} \\ 0 & \text{Otherwise} \end{cases} \quad (13)$$

where

t is the distance from the centre (maximum) of the weighting function in T direction;

f_c is the cut-off frequency in undulations per revolution;

L is the length of the closed profile, for example, for a circle $L = 2\pi R$;

L_{ct} is the truncation index of Gaussian filter (see ISO 16610-21 for recommended values);

α is the constant, given by $\alpha = \sqrt{\frac{\ln 2}{\pi}} \approx \frac{318}{677} \approx 0,4697 \approx \frac{31}{66}$.

In Z direction (axial direction), the linear open profile Gaussian filter is used. The formula is given by Formula (14):

$$s(z | \lambda_{cz}) = \frac{1}{\alpha \lambda_{cz}} \exp \left[-\pi \left(\frac{z}{\alpha \lambda_{cz}} \right)^2 \right] \quad (14)$$

where

z is the distance from the centre (maximum) of the weighting function in Z direction;

λ_{cz} is the cut-off wavelength in Z direction;

L_{cz} is the truncation index of Gaussian filter (see ISO 16610-21 for recommended values).

5.3 Transmission characteristics of a linear cylindrical profile

5.3.1 Transmission characteristic of the long wave component

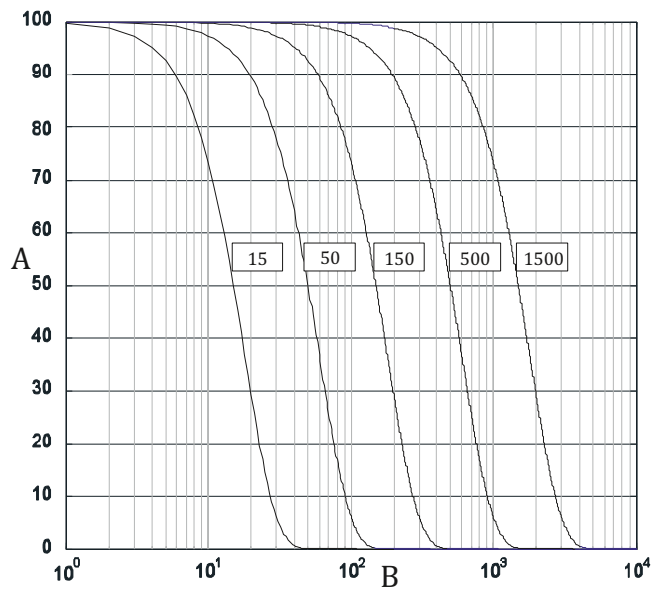
The transmission characteristic is determined from the weighting function by means of the Fourier transformation. The transmission characteristic is separable.

The filter characteristic for the mean line when $\lambda_c \ll L$ in the circumferential direction of the long wave component (mean) can be approximated by Formula (15) (see [Figure 4](#)):

$$\frac{a_1}{a_0} = \exp \left[-\pi \left(\frac{\alpha f}{f_c} \right)^2 \right] \quad (15)$$

where

- a_0 is the amplitude of a sine wave profile in the circumferential direction before profile filtering;
- a_1 is the amplitude of the long wave component of a sine wave profile in the circumferential direction;
- f is the frequency of the sine wave profile in the circumferential direction in undulations per revolution.



Key

- A amplitude transmission $\frac{a_1}{a_0}$ in %
- B undulations per revolution, UPR

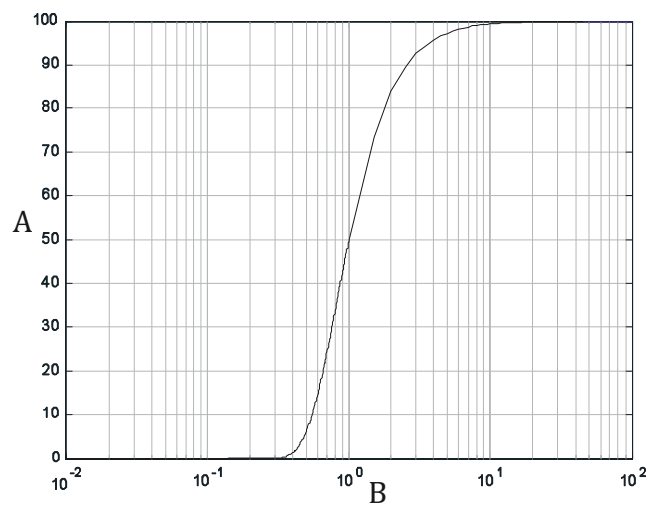
Figure 4 — Long wave transmission function in T direction for a selected number of UPR

The filter characteristic for the mean line in the axial direction of the long wave component (mean) can be described by Formula (16) (see [Figure 5](#)):

$$\frac{a_1}{a_0} = \exp \left[-\pi \left(\frac{\alpha \lambda_{cz}}{\lambda} \right)^2 \right] \quad (16)$$

where

- a_0 is the amplitude of a sine wave profile in the axial direction before profile filtering;
- a_1 is the amplitude of the long wave component of a sine wave profile in the axial direction;
- λ is the wavelength of the sine wave profile in the axial direction.



Key

A amplitude transmission $\frac{a_1}{a_0}$ in %

B $\frac{\lambda}{\lambda_{cz}}$

Figure 5 — Long wave transmission function in Z direction

5.3.2 Transmission characteristic of the short wave component

The transmission characteristic of the short wave surface component is complementary to the transmission characteristic of the long wave surface component. The short wave surface component is the difference between the unfiltered surface and the long wave surface component.

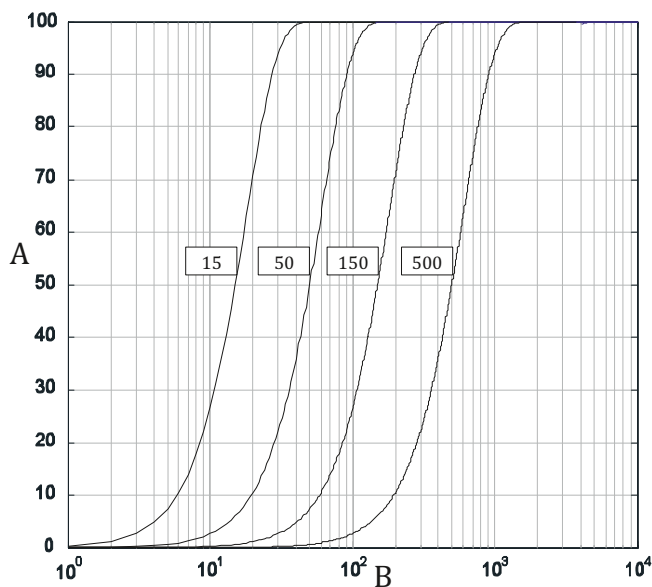
The transmission characteristic is determined from the weighting function by means of the Fourier transformation. The transmission characteristic is separable.

The filter characteristic for the mean line when $\lambda_c \ll L$ in T direction (circumferential direction) of the short wave component (mean) can be approximated by Formula (17):

$$\frac{a_2}{a_0} = 1 - \exp \left[-\pi \left(\frac{\alpha f}{f_c} \right)^2 \right] \quad (17)$$

where

a_2 is the amplitude of the short wave component of a sine wave profile in the circumferential direction.



Key

A amplitude transmission $\frac{a_2}{a_0}$ in %

B undulations per revolution, UPR

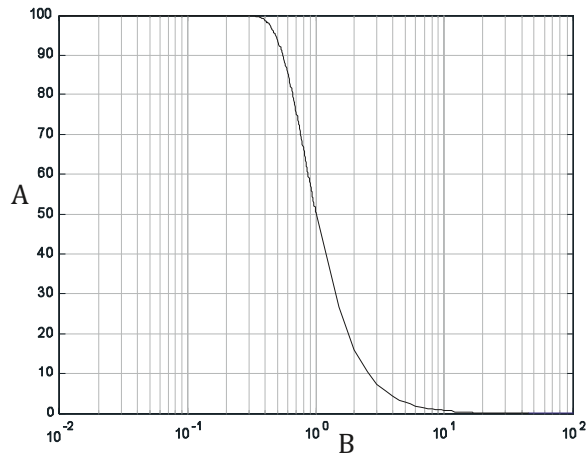
Figure 6 — Short wave transmission function in T direction for a selected number of UPR

The filter characteristic for the mean line in the Z direction (axial direction) of the long wave component (mean) is same as the open profile filter (see ISO 16610-21), as given in Formula (18):

$$\frac{a_2}{a_0} = 1 - \exp \left[-\pi \left(\frac{\alpha \lambda_{cz}}{\lambda} \right)^2 \right] \quad (18)$$

where

a_2 is the amplitude of the short wave component of a sine wave profile in the axial direction.



Key

A amplitude transmission $\frac{a_2}{a_0}$ in %

B $\frac{\lambda}{\lambda_{cz}}$

Figure 7 — Short wave transmission function in Z direction

6 Other Information

6.1 General

Examples of linear areal Gaussian filters are given in [Annex A](#). The concept diagram is given in [Annex B](#). The relationship to the filtration matrix model is given in [Annex C](#). The relationship of this part of ISO 16610 to the GPS matrix is given in [Annex D](#).

6.2 Filter Designations

Linear areal filters according to this part of ISO 16610 are designated as follows:

Filter designation

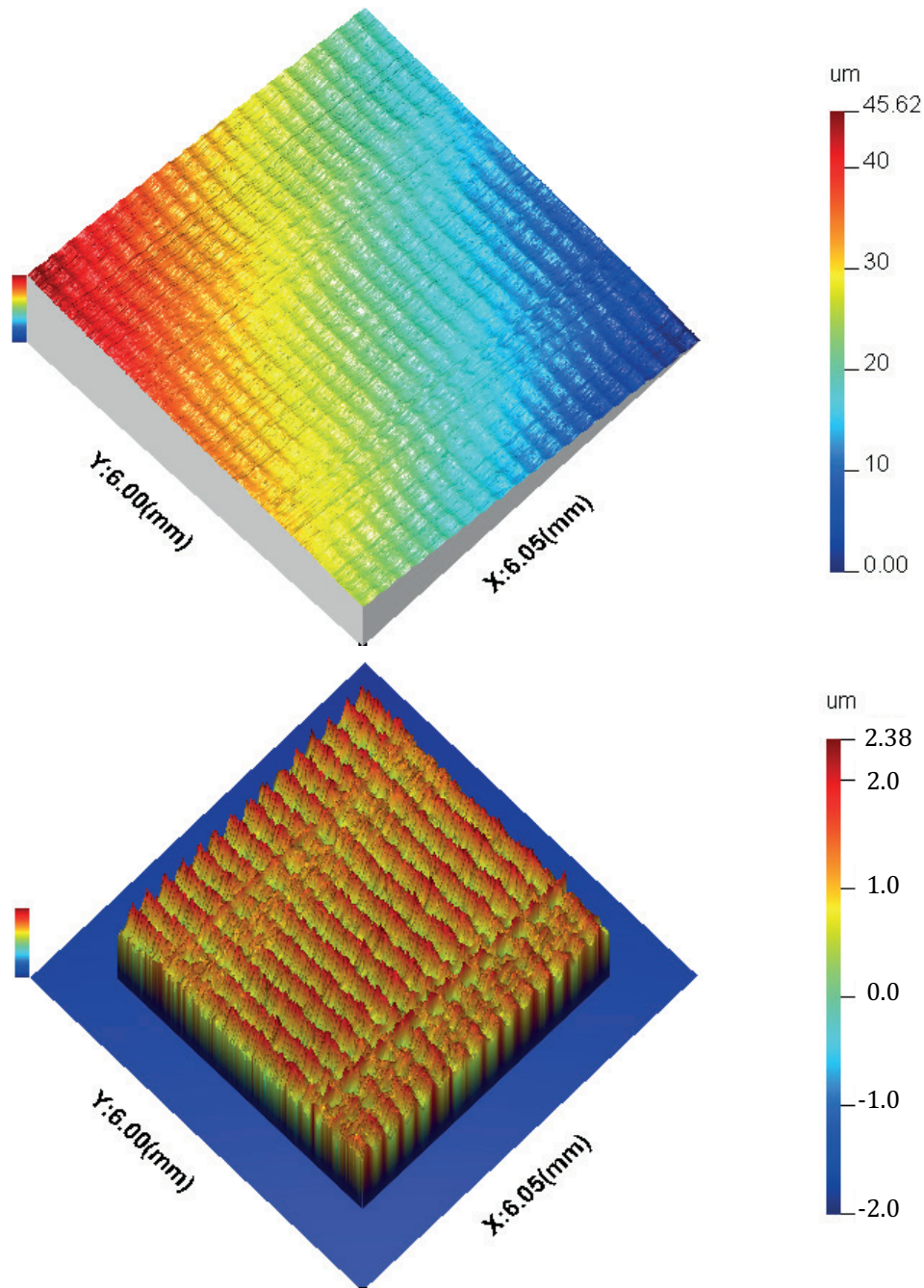
Linear planar filters **FALGP**

Linear cylindrical filters **FALGC**

Annex A (informative)

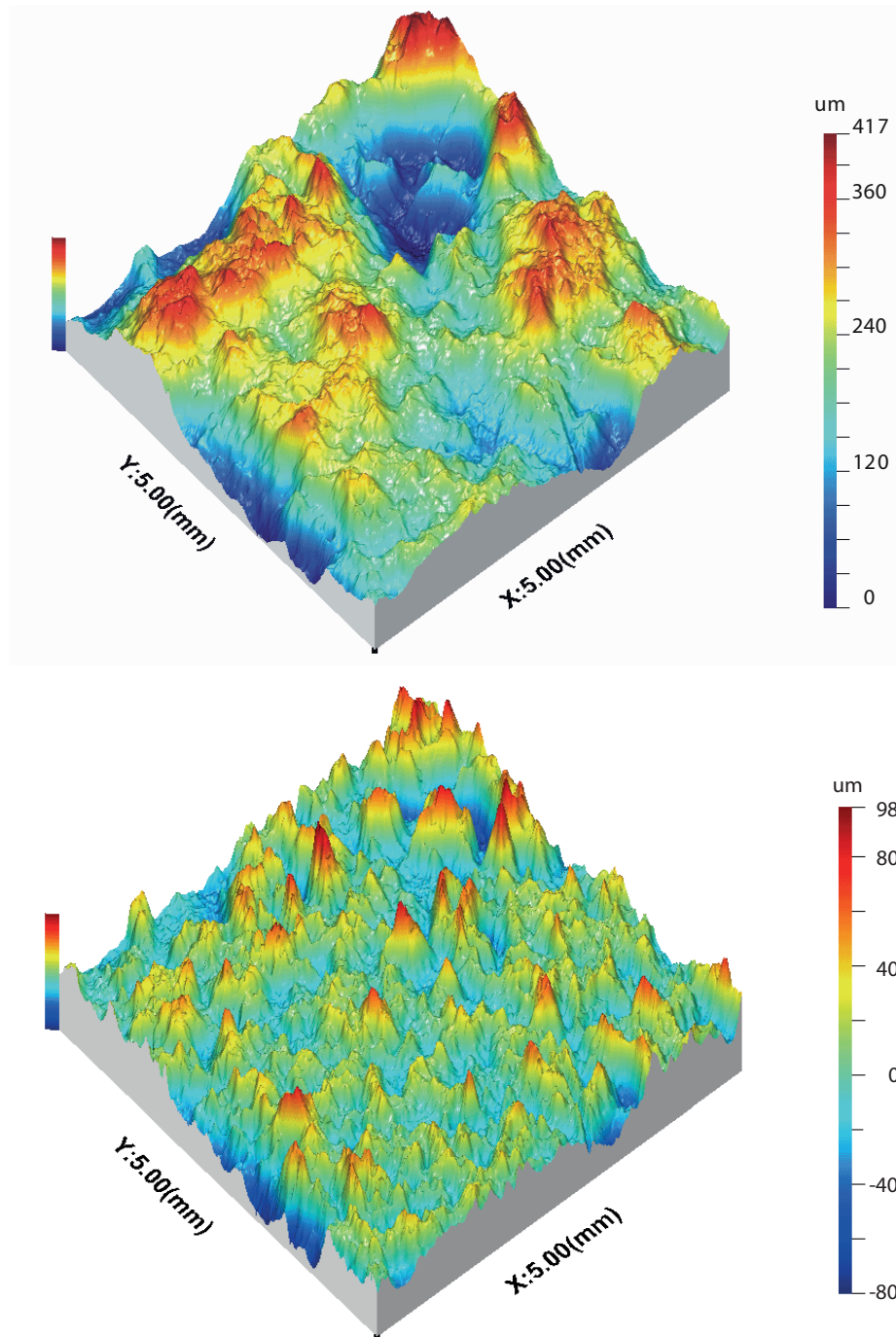
Examples

Examples of the application of the areal Gaussian filter for a planar surface is given for information purposes only.



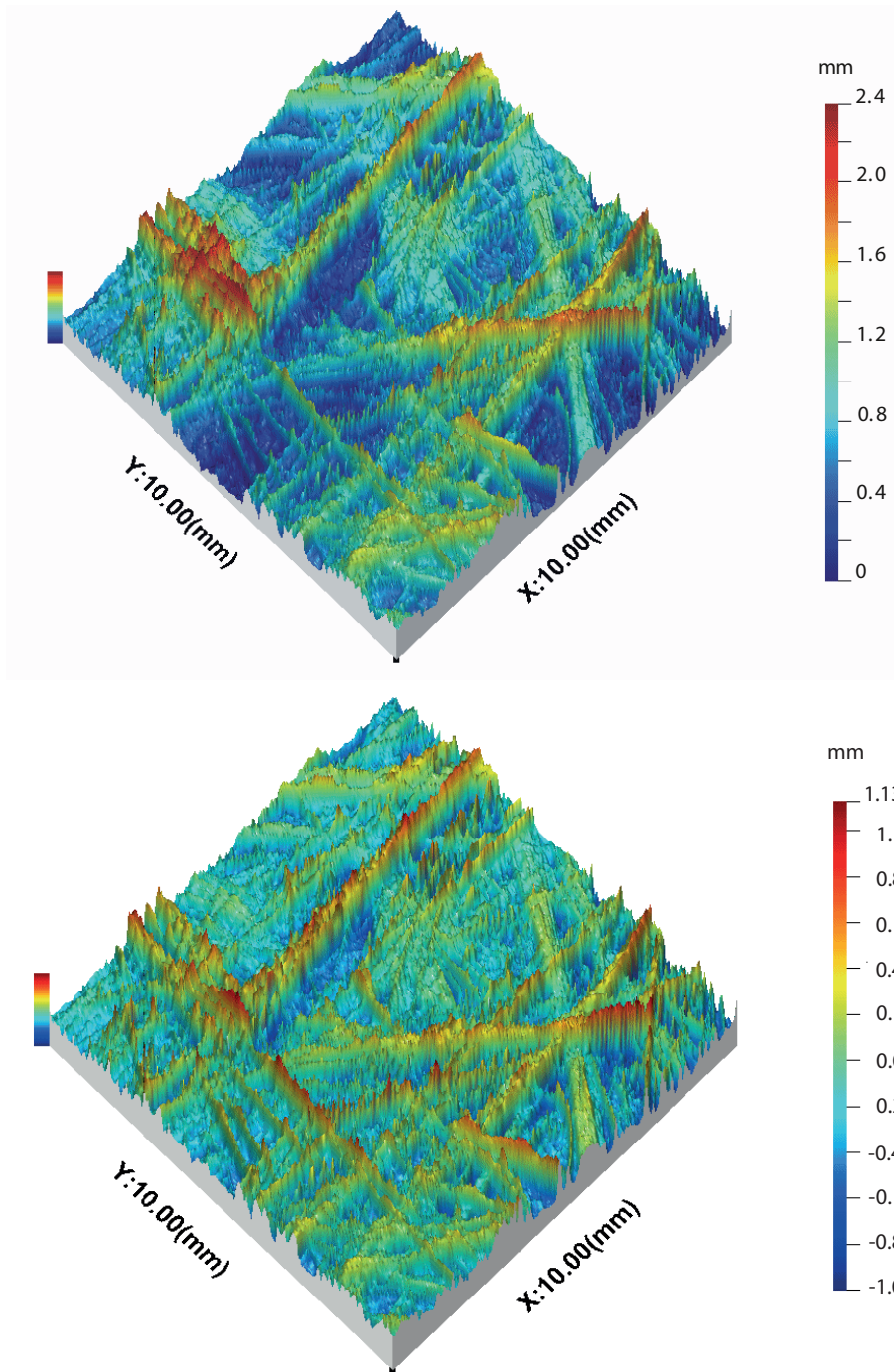
NOTE Top is the original surface, bottom is the filtered surface.

Figure A.1 — Linear areal Gaussian filter with $\lambda_c = 0,8$ mm for a milled surface



NOTE Top is the original surface, bottom is the filtered surface.

Figure A.2 — Linear areal Gaussian filter with $\lambda_c = 0,8$ mm for a stone surface



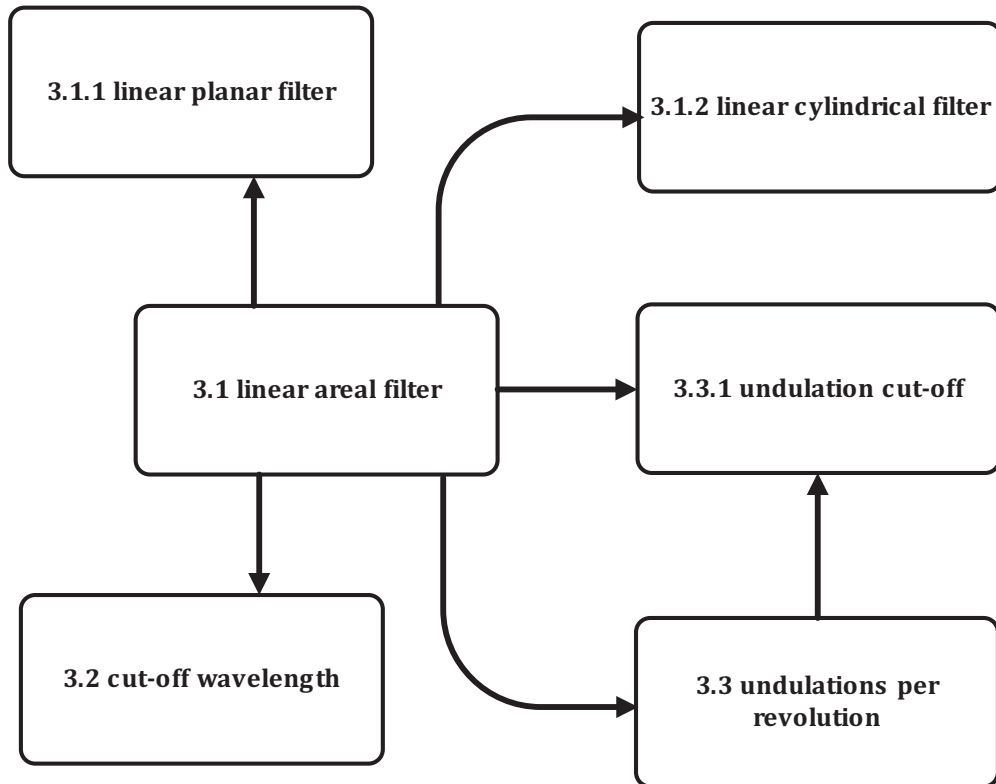
NOTE Top is the original surface, bottom is the filtered surface.

Figure A.3 — Linear areal Gaussian filter with $\lambda_c = 2,5$ mm for a carbon fibre surface

Annex B (informative)

Concept diagram

The following is a concept diagram for this part of ISO 16610.



Annex C (informative)

Relationship to the filtration matrix model

C.1 General

For full details about the filtration matrix model, see ISO 16610-1.

C.2 Position in the filtration matrix model

This part of ISO 16610 is a basic concept document that influences all filtration standards in the column “Profile filters, Linear” (see [Table C.1](#)).

Table C.1 — Relationship to the filtration matrix model

	Filters: ISO 16610- series					
General	Part 1					
	Profile filters			Areal filters		
Fundamental	Part 11 ^a			Part 12 ^a		
	Linear	Robust	Morphological	Linear	Robust	Morphological
Basic concepts	Part 20	Part 30	Part 40	Part 60	Part 70	Part 80
Particular filters	Parts 21-25	Parts 31-35	Parts 41-45	Parts 61-65	Parts 71-75	Parts 81-85
How to filter	Parts 26-28	Parts 36-38	Parts 46-48	Parts 66-68	Parts 76-78	Parts 86-88
Multiresolution	Part 29	Part 39	Part 49	Part 69	Part 79	Part 89
^a At present, included in Part 1.						

C.3 Titles of the individual part in the ISO 16610 series

For individual part titles and parts that are planned, see Foreword.

Annex D (informative)

Relationship to the GPS matrix model

D.1 General

For full details about the GPS matrix model, see ISO/TR 14638.

D.2 Information about this part of ISO 16610 and its use

This part of ISO 16610 specifies the metrological characteristics of linear areal Gaussian filters for the rotationally symmetric filtration of nominal planar surfaces and the filtration of nominal cylindrical surfaces. It specifies, in particular, how to separate long and short wave contents of a surface.

D.3 Position in the GPS matrix model

This part of ISO 16610 is a general GPS standard that influences the chain links 3 and 5 of all chains of standards, as illustrated in [Table D.1](#).

Table D.1 — Position in the GPS matrix model

Fundamental GPS standards	Global GPS standards					
	General GPS standards					
	Chain link number	1	2	3	4	5
Size			X		X	
Distance			X		X	
Radius			X		X	
Angle			X		X	
Form of line independent of datum			X		X	
Form of line dependent of datum			X		X	
Form of surface independent of datum			X		X	
Form of surface dependent of datum			X		X	
Orientation			X		X	
Location			X		X	
Circular run-out			X		X	
Total run-out			X		X	
Datums			X		X	
Roughness profile			X		X	
Waviness profile			X		X	
Primary profile			X		X	
Areal surface texture			X		X	
Surface imperfections			X		X	
Edges			X		X	

D.4 Related International Standards

The related International Standards are those of the chains of standards indicated in [Table D.1](#).

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- [1] ISO 8015:2011, *Geometrical product specifications (GPS) — Fundamentals — Concepts, principles and rules*
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