Dentistry — Evaluation of biocompatibility of medical devices used in dentistry (ISO 7405:2008)

ICS 11.060.10; 11.100.20



# National foreword

This British Standard is the UK implementation of EN ISO 7405:2008+A1:2013. It is identical to ISO 7405:2008, incorporating amendment 1:2013. It supersedes BS EN ISO 7405:2008 which is withdrawn.

The start and finish of text introduced or altered by amendment is indicated in the text by tags. Tags indicating changes to ISO text carry the number of the ISO amendment. For example, text altered by ISO amendment 1 is indicated by (A) (A).

The UK participation in its preparation was entrusted to Technical Committee CH/106, Dentistry.

A list of organizations represented on this committee can be obtained on request to its secretary.

The publication does not purport to include all the necessary provisions of a contract. Users are responsible for its correct application.

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This British Standard was published under the authority of the Standards Policy and Strategy Committee on 31 January 2009

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#### Amendments/corrigenda issued since publication

Date	Comments
31 August 2013	Implementation of ISO amendment 1:2013 with CEN endorsement A1:2013

ISBN 978 0 580 77340 2

# **EUROPEAN STANDARD**

# NORME EUROPÉENNE

**EUROPÄISCHE NORM** 

July 2013

ICS 11.060.10: 11.100

#### **English Version**

# Dentistry - Evaluation of biocompatibility of medical devices used in dentistry (ISO 7405:2008)

Art dentaire - Évaluation de la biocompatibilité des dispositifs médicaux utilisés en art dentaire (ISO 7405:2008)

Zahnheilkunde - Beurteilung der Biokompatibilität von in der Zahnheilkunde verwendeten Medizinprodukten (ISO 7405:2008)

EN ISO 7405:2008+A1

This European Standard was approved by CEN on 5 December 2008.

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EUROPEAN COMMITTEE FOR STANDARDIZATION COMITÉ EUROPÉEN DE NORMALISATION EUROPÄISCHES KOMITEE FÜR NORMUNG

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# **Foreword**

This document (EN ISO 7405:2008) has been prepared by Technical Committee ISO/TC 106 "Dentistry" in collaboration with Technical Committee CEN/TC 55 "Dentistry" the secretariat of which is held by DIN.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by June 2009, and conflicting national standards shall be withdrawn at the latest by June 2009.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN [and/or CENELEC] shall not be held responsible for identifying any or all such patent rights.

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#### **Endorsement notice**

The text of ISO 7405:2008 has been approved by CEN as a EN ISO 7405:2008 without any modification.

# Foreword to amendment A1

This document (EN ISO 7405:2008/A1:2013) has been prepared by Technical Committee ISO/TC 106 "Dentistry" in collaboration with Technical Committee CEN/TC 55 "Dentistry" the secretariat of which is held by DIN.

This Amendment to the European Standard EN ISO 7405:2008 shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by January 2014, and conflicting national standards shall be withdrawn at the latest by January 2014.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN [and/or CENELEC] shall not be held responsible for identifying any or all such patent rights.

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#### **Endorsement notice**

The text of ISO 7405:2008/Amd 1:2013 has been approved by CEN as EN ISO 7405:2008/A1:2013 without any modification.

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# **Foreword**

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO 7405 was prepared by Technical Committee ISO/TC 106, Dentistry.

This second edition cancels and replaces the first edition (ISO 7405:1997) which has been technically revised. The following changes have been made:

- a) addition of dentine barrier cytotoxicity test to Annex B;
- b) improved description of test methods;
- c) updated cross-references to ISO 10993 series.

# Introduction

This International Standard concerns the evaluation of the biocompatibility of medical devices used in dentistry. It is to be used in conjunction with the ISO 10993 series of standards. This International Standard contains special tests, for which ample experience exists in dentistry and which acknowledge the special needs of dentistry.

Only test methods for which the members of the committee considered there was sufficient published data have been included. In recommending test methods, the need to minimize the use of animals was given a high priority. It is essential that the decision to undertake tests involving animals be reached only after a full and careful review of the evidence indicating that a similar outcome cannot be achieved by other types of test. In order to keep the number of animals required for tests to an absolute minimum, consistent with achieving the objective indicated, it can be appropriate to conduct more than one type of test on the same animal at the same time, e.g. pulp and dentin usage test and pulp capping test. However, in accordance with ISO 10993-2 these tests are performed both in an efficient and humane way. On all occasions when animal testing is undertaken, such tests are conducted empathetically and according to standardized procedures as described for each test.

This International Standard does not explicitly describe test methods for occupationally related risks.

Annexes B and C are included to encourage the development of *in vitro* and *ex vivo* test methods which will further reduce the use of animals in the evaluation of the biocompatibility of medical devices used in dentistry.

BS EN ISO 7405:2008+A1:2013

# Dentistry — Evaluation of biocompatibility of medical devices used in dentistry

# 1 Scope

This International Standard specifies test methods for the evaluation of biological effects of medical devices used in dentistry. It includes testing of pharmacological agents that are an integral part of the device under test.

This International Standard does not cover testing of materials and devices that do not come into direct or indirect contact with the patient's body.

#### 2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 1942, Dentistry — Vocabulary

ISO 6344-1, Coated abrasives — Grain size analysis — Part 1: Grain size distribution test

ISO 10993-1, Biological evaluation of medical devices — Part 1: Evaluation and testing within a risk management process

ISO 10993-2, Biological evaluation of medical devices — Part 2: Animal welfare requirements

ISO 10993-3, Biological evaluation of medical devices — Part 3: Tests for genotoxicity, carcinogenicity and reproductive toxicity

ISO 10993-5, Biological evaluation of medical devices — Part 5: Tests for in vitro cytotoxicity

ISO 10993-6, Biological evaluation of medical devices — Part 6: Tests for local effects after implantation

ISO 10993-10<sup>1)</sup>, Biological evaluation of medical devices — Part 10: Tests for irritation and skin sensitization

ISO 10993-11, Biological evaluation of medical devices — Part 11: Tests for systemic toxicity

ISO 10993-12:2007, Biological evaluation of medical devices — Part 12: Sample preparation and reference materials

ISO 14971, Medical devices — Application of risk management to medical devices

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<sup>1)</sup> To be published.

#### 3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO 1942, ISO 10993-1, ISO 10993-12 and the following apply.

#### 3.1

#### medical device

any instrument, apparatus, appliance, software, material or other article, whether used alone or in combination, together with any accessories, including the software necessary for its proper application intended by the manufacturer to be used for medical purposes for human beings for the purpose of:

- diagnosis, prevention, monitoring, treatment or alleviation of disease;
- diagnosis, monitoring, treatment, alleviation of or compensation for an injury or handicap;
- investigation, replacement or modification of the anatomy or of a physiological process;
- control of conception;

and which does not achieve its principal intended action in or on the human body by pharmacological, immunological or metabolic means, but which may be assisted in its function by such means

#### 3.2

#### dental material

material and/or substance or combination of materials and/or substances specially formulated and prepared for use in the practice of dentistry and/or associated procedures

#### 3.3

### final product

medical device in its "as-used" state

NOTE Many dental materials are used in a freshly mixed state, and evaluation of the materials in both freshly mixed and set conditions should be considered.

#### 3.4

#### positive control

positive control material

any well characterized material and/or substance that, when evaluated by a specific test method, demonstrates the suitability of the test system to yield a reproducible, appropriately positive or reactive response in the test system

#### 3.5

#### negative control

negative control material

any well characterized material and/or substance that, when evaluated by a specific test method, demonstrates the suitability of the test system to yield a reproducible, appropriately negative, non-reactive or minimal response in the test system

NOTE In practice, negative controls include blanks, vehicles/solvents and reference materials.

#### 3.6

# reference material

material with one or more property values that are sufficiently reproducible and well established to enable use of the material or substance for the calibration of an apparatus, the assessment of a measurement method or for the assignment of values to materials

NOTE For the purpose of this document, a reference material is any well characterized material and/or substance that, when tested by the procedure described, demonstrates the suitability of the procedure to yield a reproducible, predictable response. The response may be negative or positive.

# 4 Categorization of medical devices

# 4.1 Categorization by nature of contact

#### 4.1.1 General

For the purposes of this document, the classification of medical devices used in dentistry is derived from ISO 10993-1. If a device or material can be placed in more than one category, the more rigorous testing requirements shall apply. With multiple exposures the decision into which category a device is placed shall take into account the potential cumulative effect, bearing in mind the period of time over which these exposures occur.

NOTE In this context the term dentistry includes the oromaxillofacial environment.

#### 4.1.2 Non-contact devices

These devices do not contact the patient's body directly or indirectly, and are not included in ISO 10993-1.

#### 4.1.3 Surface-contacting devices

These devices include those that contact the surface of intact or breached or otherwise compromised skin, the surface of intact or breached or otherwise compromised oral mucosa, and those that contact the external surfaces of dental hard tissue, including enamel, dentine and cementum.

NOTE In some circumstances, dentine and cementum are considered as surfaces, e.g. after gingival recession.

#### 4.1.4 External communicating devices

These devices include dental devices that penetrate and are in contact with oral mucosa, dental hard tissues, dental pulp tissue or bone, or any combination of these, and are exposed to the oral environment.

NOTE This group also includes any kind of lining or base material to be used under a restoration.

### 4.1.5 Implant devices used in dentistry

These devices include dental implants and other dental devices that are partially or fully embedded in one or more of the following:

- a) soft tissue, e.g. subperiosteal implants and subdermal implants;
- b) bone, e.g. endosteal implants and bone substitutes;
- c) pulpodentinal system of the tooth, e.g. endodontic materials;
- d) any combination of these, e.g. transosteal implants.

#### 4.2 Categorization by duration of contact

#### 4.2.1 General

For the purposes of this document, medical devices used in dentistry are classified by duration of contact as described in ISO 10993-1 and listed in 4.2.2 to 4.2.4.

### 4.2.2 Limited exposure devices

Devices whose single or multiple use or contact is likely to be up to 24 h.

#### 4.2.3 Prolonged exposure devices

Devices whose single, multiple or long-term use or contact is likely to exceed 24 h but not 30 d.

#### 4.2.4 Permanent contact devices

Devices whose single, multiple or long-term use or contact exceeds 30 d.

NOTE 1 The definition of the term "permanent" is meant to be applied solely for the use of this document. It is consistent with the definition given in ISO 10993-1.

NOTE 2 With multiple exposures to the device, the decision into which category a device is placed should take into account the potential cumulative effect, bearing in mind the period of time over which these exposures occur.

# 5 Biological evaluation process

#### 5.1 General

Each medical device used in dentistry shall be subjected to a structured biological evaluation programme within a risk management process (see ISO 10993-1). Guidance on the implementation of this programme is provided in ISO 14971 and ISO 10993-1. The biological evaluation programme shall include the review of data sets concerning the biological properties of each medical device used in dentistry. When this part of the biological evaluation programme indicates that one or more data sets are incomplete and that further testing is necessary, the tests should be selected from the methods described in the ISO 10993 series of standards or in this International Standard, or in both. If tests that are not included in these International Standards are selected, a statement shall be made that indicates that the tests described in these International Standards have been considered and shall include a justification for the selection of other tests.

For combination products the final product should be evaluated according to this document in conjunction with any applicable standards.

NOTE 1 In this context, combination products are dental devices of any kind that incorporate, or are intended to incorporate, as an integral part, a substance that:

- a) if used separately, would be a medicine or a biological product;
- b) is liable to affect the patient's body by an ancillary action.

An example would be a bone filling/augmentation device containing a growth factor (i.e. a biological product).

NOTE 2 For combination products, where the device and pharmacological components are packaged separately, it may be informative to test the device components alone.

#### 5.2 Selection of tests and overall assessment

The selection of tests and the overall assessment of the results shall be carried out by an expert who has the appropriate chemical, physical and biological data concerning the device and who is aware of the intended conditions of use.

### 5.3 Selection of test methods

The selection of test methods shall be based upon consideration of:

- a) the intended use of the medical device;
- b) the tissue(s) which the medical device may contact;
- c) the duration of the contact.

If a test selected is not included in the International Standards, a justification for the choice of the methods shall be included in the test report for each device. If more than one test method in the same category is recommended by the standards, the selection of one test over the others should be justified.

# 5.4 Types of test

According to the categorization of the device, tests shall be considered for use as summarised in Table A.1. This table indicates which types of test method shall be considered, but not that they are necessarily required to be carried out. A decision not to carry out a type of test identified in Table A.1 shall be justified in the test report on each device. The types of test listed are regarded as a framework for the evaluation of the biocompatibility of medical devices used in dentistry. For most types of test, particular methods are identified, although for some devices it is recognized that alternative methods not included in the International Standards listed may be more appropriate.

For convenience, the types of test have been listed in three groups.

#### a) Group I

This group comprises *in vitro* tests of cytotoxicity. General guidance for *in vitro* cytotoxicity tests is presented in ISO 10993-5 and shall be followed. Detailed test protocols for the agar or agarose diffusion and filter diffusion methods, appropriate to dental materials, are included in this International Standard. The *in vitro* cytotoxicity methods include:

- agar diffusion test (see 6.2);
- 2) filter diffusion test (see 6.3);
- 3) direct contact or extract tests in accordance with ISO 10993-5;
- 4) dentine barrier cytotoxicity test (see Annex B);
- 5) tooth slice model.
- NOTE 1 The order of listing does not indicate any preference for one method over another.
- NOTE 2 This list does not indicate that all cytotoxicity tests mentioned have to be performed for each medical device under consideration.
- NOTE 3 The use of the dentine barrier cytotoxicity test is encouraged and a description of the test is presented in Annex B. Another approach is the tooth slice model. References to this test are presented in the Bibliography.

#### b) Group II

This group comprises tests in accordance with the 10993 series of standards and particular tests, where appropriate, are identified:

- 1) acute systemic toxicity oral application in accordance with ISO 10993-11;
- 2) acute systemic toxicity application by inhalation in accordance with ISO 10993-11;
- 3) subacute and subchronic systemic toxicity oral application in accordance with ISO 10993-11;
- 4) skin irritation and intracutaneous reactivity in accordance with ISO 10993-10;
- 5) delayed-type hypersensitivity in accordance with ISO 10993-10;
- 6) genotoxicity in accordance with ISO 10993-3;
- 7) local effects after implantation in accordance with ISO 10993-6.

NOTE 1 In order to allow use of the latest edition of the referenced document only, an undated cross-reference is possible. An indication of the appropriate clause and subclause is only possible for dated references. Therefore, the user of this International Standard is requested to check the referenced documents for the appropriate clause numbers.

NOTE 2 Information regarding acute toxicity testing is presented in Annex C.

NOTE 3 In the evaluation of materials following local implantation involving mineralized tissues in accordance with ISO 10993-6, examination of undemineralized sections, in addition to routine demineralized sections, is recommended.

#### c) Group III

This group comprises tests, specific for medical devices used in dentistry, not referred to in the 10993 series of standards:

- 1) pulp and dentine usage test (see 6.4);
- 2) pulp capping test (see 6.5);
- endodontic usage test (see 6.6).

NOTE Dental implant system usage test in accordance with ISO/TS 22911 can also be considered, if applicable.

# 5.5 Re-evaluation of biocompatibility

In accordance with ISO 10993-1, a device shall be considered for re-evaluation of its biocompatibility as described in 5.4 when revisions or modifications to the formula, quality and/or performance specifications are made.

# 6 Test procedures specific to dental materials

#### 6.1 Recommendations for sample preparation

#### 6.1.1 General

These recommendations have been designed for *in vitro* testing, but can also be used for other purposes, if suitable.

#### 6.1.2 General recommendations for sample preparation

For the preparation of test samples, consult the respective product standards and/or the manufacturer's instructions, and follow those descriptions as closely as possible. Justify any deviation from the manufacturer's instructions. A detailed description of the sample preparation shall be included in the test report. Take the following (e.g. environmental) factors into account, considering the final use of the device:

- a) temperature;
- b) humidity;
- c) light exposure: samples of photosensitive materials should be produced under the condition that ambient light does not activate them;
- d) **material of sample mould**: ensure that the material of the sample mould and eventual lubricant used do not interfere with the setting process of the material;

NOTE Suitable materials can be semitranslucent or white plastic materials such as polyethylene or polytetrafluoroethylene (PTFE).

- e) **oxygen exposure**: for materials that produce an oxygen inhibited layer during hardening ensure that the sample mould is properly sealed during hardening;
- f) **sterilization**: samples should either be produced under aseptic conditions or be sterilized by the method appropriate to the material, if necessary and possible; ensure that sterilization does not affect the material (e.g. sterilization shall not elute substances from material);

- g) ratio of sample surface area versus cell layer surface or cell culture medium: document the ratio of sample surface area versus cell layer surface or cell culture medium; justify the selection of shape and sample surface area and the applied ratio of sample surface area versus cell layer surface or cell culture medium;
- h) **extracts**: if extracts are required for a test procedure, prepare extract samples in accordance with ISO 10993-12:2007, Clause 10.

### 6.1.3 Specific recommendations for light curing materials

Take the following factors into account, considering the final use of the light curing material:

a) **material of sample mould**: the reflection coefficient of materials used for sample moulds should be as close as possible to that of dentin in order to simulate the clinical situation;

NOTE Suitable materials can be semitranslucent or white plastic materials such as polyethylene or PTFE.

- b) **light exposure**: light curing should be done to simulate clinical usage as closely as possible. The manufacturer's instructions for use should be followed to provide the same level of curing as would be the case in actual usage. This will often require curing from one side only but will sometimes entail a two-sided cure. The cure method is material and/or process specific. Where fully cured test samples are required for testing, it is important to ensure that the test samples are homogeneous after removal from the mould. In the case of one-component materials, there should be no voids, clefts or air-bubbles present when viewed without magnification. Reference should be made to the light source used (light intensity, curing time, spectral distribution of curing light and type of curing light should be documented). Care shall be taken to ensure that the light source is recommended for the materials to be tested and that it is in a satisfactory operating condition;
- c) oxygen exposure: for materials that produce an oxygen inhibited layer during light curing, both ends of the mould should be covered with transparent oxygen barrier materials (e.g. a polyester film) during light curing. If the material is recommended by the manufacturer for surface finishing after curing, the sample surfaces should be ground and polished using the recommended clinical procedures. If there are no such instructions and if required for testing, the samples should be ground on both ends, with a P2 000 paper in accordance with ISO 6344-1, after first being set against the transparent oxygen barrier material.

# 6.1.4 Specific recommendations for chemically setting materials

Take the following factors into account, considering the final use of the chemically setting materials:

- a) **mixing**: mix sufficient material to ensure that the preparation of each test sample is completed from one batch. Prepare a fresh mix for each test sample. The mixing shall be performed in accordance with the respective product standards, if applicable;
- b) **oxygen exposure**: for materials that produce an oxygen inhibited layer during chemical curing, both ends of the mould should be covered with oxygen barrier materials (e.g. a polyester film) during curing. If the material is recommended by the manufacturer for surface finishing after curing, the sample surfaces should be ground and polished using the recommended clinical procedures. If there are no such instructions and if required for testing, the samples should be ground on both ends, with a P2 000 paper in accordance with ISO 6344-1, after first being set against the oxygen barrier material.

#### (A1) 6.1.5 Positive control material

For both *in vitro* and *in vivo* tests, it is advisable to include a standard positive control material, which is handled and processed like the test materials (i.e. being plastic after mixing and then setting) and which is based on freely available chemicals or materials. Such a positive control material for *in vitro* testing of plastic filling materials is described in Annex B, Table B.1. The use of this specific positive control material is optional and other materials with a validated history and other well characterized positive control materials with reproducible data on toxicity can be used instead. [A]

#### 6.2 Agar diffusion test

#### 6.2.1 Objective

This test is designed to demonstrate the nonspecific cytotoxicity of test materials after diffusion through agar or agarose. This test method is not appropriate for leachables that do not diffuse through agar or agarose.

#### 6.2.2 Cell line

Use an established fibroblast or epithelial cell line, which is readily available [e.g. from the American Type Culture Collection (ATCC), see <a href="http://www.atcc.org">http://www.atcc.org</a>] <sup>2)</sup>. Specify in the report the identification number of the cell line, if applicable, the description and designation of the cell line used and a justification for its selection.

#### 6.2.3 Culture medium, reagents and equipment

Use the culture medium specified for the selected cell line. Sterilize by filtration. For the preparation of the agar, prepare a double-concentration of the culture medium. Sterilize by filtration. Prepare either 3 % agar or 3 % agarose. Sterilize by autoclaving.

Prepare the vital stain by diluting a stock solution of 1 % aqueous neutral red solution (record source) 1:100 with 0,01 mol/l phosphate-buffered saline solutions [e.g. Dulbecco's phosphate-buffered saline solution<sup>3)</sup>] immediately before use. Store neutral red solutions protected from the light. Use 6-well tissue culture plates (35 mm in diameter) or Petri dishes of 50 mm to 100 mm in nominal diameter suitable for tissue culture.

#### 6.2.4 Sample preparation

Prepare the samples in accordance with 6.1. The test shall be performed on either an extract of the material and/or the material itself, according to the guidance in ISO 10993-5.

- a) For solid materials, prepare circular test samples of approximately 5 mm diameter, with a flat surface to ensure adequate contact with the agar overlay.
- b) For setting materials, insert the freshly mixed material into rings of internal diameter 5 mm and height 2 mm. The material of the ring shall be stated in the test report. When testing materials in the freshly mixed state, place the rings on the agar prior to inserting the material. When testing after various setting periods, fill the rings so that the material is flush with the rim and allow it to set at  $(37 \pm 2)$  °C and a relative humidity of  $(90 \pm 10)$  % until ready for testing.
- c) For fluid test samples or extracts, imbibe 0,01 ml of the fluid on a borosilicate microglass filter disc of 5 mm diameter, placed on the agar.
- NOTE 1 Suitable inert materials are glass or PTFE.
- NOTE 2 Suitable discs can be prepared from prefilters.

#### 6.2.5 Controls

Use positive controls, negative controls and reference materials.

<sup>2)</sup> This information is given for the convenience of users of this International Standard and does not constitute an endorsement by ISO of the product named. Equivalent products may be used if they can be shown to lead to the same results.

<sup>3)</sup> Dulbecco is a trade name. This information is given for the convenience of users of this International Standard and does not constitute an endorsement by ISO of the product named. Equivalent products may be used if they can be shown to lead to the same results.

#### 6.2.6 Test procedure

Culture the cells until they reach the end of the log growth phase. Pipette the proper volume (e.g. 10 ml for a 100 mm Petri dish) of cell suspension  $(2.5 \times 10^5 \text{ cells/ml})$  into a sufficient number of Petri dishes and incubate at  $(37 \pm 2)$  °C in a water-saturated atmosphere with 5 % (volume fraction) carbon dioxide for 24 h. If different cell culturing conditions are used, justification shall be provided.

Heat the sterile agar or agarose to 100 °C in a water bath and allow it to cool to 48 °C. Mix one part of agar or agarose with one part of double-concentrated, freshly prepared culture medium and heat to 48 °C. Aspirate the liquid culture medium from each Petri dish and replace with 10 ml of freshly prepared agar or agarose/culture medium mixture.

Allow the agar or agarose/culture medium mixture to solidify at room temperature (approximately 30 min). Add 10 ml neutral red solution and keep dark for 15 min to 20 min. Aspirate excess neutral red solution.

Protect the culture from light in the presence of neutral red, as the cells can be damaged.

Apply to each dish an appropriate number of samples of test material and controls, with an adequate distance (> 20 mm) between adjacent samples, if applicable. Incubate at  $(37 \pm 2)$  °C in a water-saturated atmosphere with 5 % (volume fraction) carbon dioxide for 24 h. Examine each test material at least in quadruplicate (i.e. two dishes per test material).

#### 6.2.7 Parameters of assessment

Assess the decolorization zone around the test materials and controls using an inverted microscope with a calibrated screen, and determine a decolorization index and a lysis index for each test sample in accordance with the criteria specified in Tables 1 and 2.

 Decolorization index
 Description

 0
 No detectable decolorization zone around or under specimen

 1
 Decolorization zone limited to area under specimen

 2
 Decolorization zone extends less than 0,5 cm beyond specimen

 3
 Decolorization zone extends 0,5 cm to 1,0 cm beyond specimen

 4
 Decolorization zone extends further than 1,0 cm beyond specimen but does not involve entire dish

 5
 Decolorization zone involves entire dish

Table 1 — Decolorization index

Table 2 — Lysis index

Lysis index	Description of decolorized zone
0	No observable cytotoxicity
1	< 20 % of the decolorized zone affected
2	20 % to < 40 % of the decolorized zone affected
3	40 % to < 60 % of the decolorized zone affected
4	60 % to 80 % of the decolorized zone affected
5	> 80 % of the decolorized zone affected

Calculate the median decolorization index and lysis index separately for each test material. If the index values for the four replicates of the test substance differ by more than 2 units in the range 0 to 3, repeat the test. With indices of 4 and 5, no repetition is necessary. When extracts are tested, subtract the median index of the extraction medium alone from the median index of the extraction medium containing test substance to obtain the index for the test substance alone. If the median index for the extraction medium serving as a control is > 1, repeat the test using a different extraction medium.

NOTE For a valid test, an intact cell layer should be found under the negative control.

#### 6.2.8 Assessment of results

Take into account all information gathered in the test in assessing the test results, particularly any differences in results between the experimental and control groups. The cell response is based on the median decolorization index and lysis index of at least four replicate tests. The cell response shall be graded separately for each parameter, in accordance with Table 3.

Table 3 — Cell response (graded separately for decolorization index and lysis index) and interpretation of cytotoxicity

Scale	Cell response	Interpretation
0	0	Non cytotoxic
1	1	Mildly cytotoxic
2	2 to 3	Moderately cytotoxic
3	4 to 5	Severely cytotoxic

Include the results of the assessment in the test report.

NOTE It should be borne in mind that the interpretation of data from cell culture tests must take the limitations of this test system into account; i.e. a material that is cytotoxic is not per se unsuitable, but the data must be interpreted for each specific application.

#### 6.2.9 Test report

Submit the results in a test report that includes a complete record of all procedures followed, all results obtained and any other data necessary for the assessment of results. Include details of the preparation and methods of application of the test material, together with the lot number of the material when appropriate.

### 6.3 Filter diffusion test

#### 6.3.1 Objective

This test is designed to demonstrate the nonspecific cytotoxicity of test materials after diffusion through a cellulose acetate filter.

#### 6.3.2 Cell line

Use an established fibroblast or epithelial cell line, which is readily available [e.g. from the American Type Culture Collection (ATCC), see <a href="http://www.atcc.org">http://www.atcc.org</a>]. Specify in the report the identification number of the cell line, if applicable, the description and designation of the cell line used, and a justification for its selection.

#### 6.3.3 Culture medium, reagents and equipment

Prepare culture medium and agar or agarose for use as an overlay as described in 6.2.3. Prepare solutions either for succinate dehydrogenase staining or for nonspecific hydrolase staining.

For succinate dehydrogenase staining, prepare the following stock solutions:

- a) succinate solution, 13,6 g sodium succinate in 100 ml of 0,2 mol/l phosphate buffer, pH 7,6;
- b) **nitro blue tetrazolium chloride solution**, 100 mg nitro blue tetrazolium chloride in 100 ml of 0,2 mol/l phosphate buffer, pH 7,6;
- c) phenazine methosulfate solution, 4 mg phenazine methosulfate in 10 ml fresh distilled water.

Prepare a staining solution of 1 ml succinate solution, 9 ml nitro blue tetrazolium chloride solution and 1 ml phenazine methosulfate solution.

For nonspecific hydrolase staining, prepare a stock solution of fluorescein diacetate consisting of 5 mg fluorescein diacetate in 1 ml acetone. For use, add 20  $\mu$ l of stock solution to 100 ml phosphate-buffered saline solution (e.g. Dulbecco's phosphate-buffered saline solution). Use Petri dishes of 60 mm nominal diameter, suitable for tissue culture.

Use filters, composed of a mixture of cellulose acetate and cellulose nitrate, 47 mm diameter,  $0.45 \, \mu m$  pore size<sup>4</sup>).

#### 6.3.4 Sample preparation

Prepare the samples in accordance with 6.1. The test shall be performed on either an extract of the material or the material itself, according to the guidance in ISO 10993-5.

- a) For solid materials, prepare circular test samples of approximately 5 mm diameter, with a flat surface to ensure adequate contact with the filter. The mass of the test samples shall not exceed 3,5 g.
- b) For setting materials, insert the freshly mixed material into rings of internal diameter 5 mm and height 2 mm. When testing materials in the freshly mixed state, place the rings on the filter prior to inserting the material. When testing after various setting periods, fill the rings so that the material is flush with the rim and allow it to set at  $(37 \pm 2)$  °C and a relative humidity of  $(90 \pm 10)$  % until ready for testing. The mass of the test samples shall not exceed 3,5 g.

NOTE Suitable inert materials can be glass or PTFE.

c) For fluid test samples or extracts, imbibe 0,01 ml of the fluid on a borosilicate microglass filter disc of 5 mm diameter, placed on the agar.

NOTE Suitable discs can be prepared from prefilters.

#### 6.3.5 Controls

Use positive controls, negative controls and reference materials.

<sup>4)</sup> Millipore HATF 04700 is an example of a suitable product available commercially. This information is given for the convenience of users of this International Standard and does not constitute an endorsement by ISO of the product named. Equivalent products may be used if they can be shown to lead to the same results.

#### 6.3.6 Test procedure

Culture the cells until they reach the end of the log growth phase. Place cellulose acetate filters in the bottom of a sufficient number of Petri dishes and pipette 6 ml of cell suspension  $(2,5\times10^5\,\text{cells/ml})$  into each. Incubate at  $(37\pm2)\,^\circ\text{C}$  in a water-saturated atmosphere with 5 % (volume fraction) carbon dioxide for 24 h. If different cell culturing conditions are used, justification shall be provided. Pipette 5 ml of freshly prepared agar or agarose/culture medium mixture (see 6.2.6) kept at 48 °C into a sufficient number of Petri dishes and allow it to solidify at room temperature. Aspirate the excess culture medium from the dishes containing cellulose acetate filters, wash the filters with phosphate-buffered saline solution (e.g. Dulbecco's phosphate-buffered saline solution) at  $(37\pm2)\,^\circ\text{C}$  and place them on top of the agar or agarose, cell side down. Apply three to five test samples on top of the filter in each dish and incubate for a further 2 h and 24 h at  $(37\pm2)\,^\circ\text{C}$  in a water-saturated atmosphere with 5 % (volume fraction) carbon dioxide. Ensure that the test samples are in close contact with the surface of the filter. Evidence of cytotoxicity shall be evaluated after exposure periods of 2 h and of 24 h. In each dish, include one positive control and one negative control. In addition, use further controls of a filter with a cell monolayer but without test samples, and of a filter without cells but with test samples. When extracts are tested, a control using the extraction medium alone shall also be used. Examine each test sample at least in quadruplicate.

After incubation, remove the test samples and gently loosen the filter from the agar or agarose. Assess cytochemically the area of reduced cell enzyme activity by Method A or Method B.

#### a) Method A

Demonstrate succinate dehydrogenase (EC 1.3.99.1) according to the method of Barka and Anderson <sup>[14]</sup>. The incubation period is 3 h at  $(37 \pm 2)$  °C. Wash the filter in distilled water and air-dry prior to measurement.

NOTE Cell retention can be aided by fixing the cells with 10 % neutral formalin for 15 min prior to washing in distilled water.

#### b) Method B

Demonstrate nonspecific hydrolase by incubation with fluorescein diacetate solution for 30 min at 4 °C. Examine the filter under ultraviolet light.

#### 6.3.7 Assessment of cell damage

Assess cell damage by either

- measuring the area of decolorization (e.g. by means of an image analysis system) or
- b) using the scale defined in Table 4.

Table 4 — Assessment of cell damage

Scale	Grading assessment	Area of decolorization
0	No difference in staining intensity across the filter	None
1	A zone of reduced staining intensity, or an unstained zone with a diameter less than that (5 mm) of the test sample	< 20 mm <sup>2</sup>
2	An unstained zone 5 mm to 7 mm in diameter	20 mm <sup>2</sup> to 40 mm <sup>2</sup>
3	An unstained zone greater than 7 mm in diameter	> 40 mm <sup>2</sup>

NOTE The filters beneath the negative controls and the control filters should be uniformly stained dark blue (if succinate dehydrogenase is used) or light green (if nonspecific hydrolase is used). The control filters without cells allow determination of a possible effect of the test sample on the filter.

#### 6.3.8 Assessment of results

All information gathered in the test shall be taken into account in assessing the test results, particularly any differences in results between the experimental and control groups. A useful way to grade test materials is presented in Table 5.

Table 5 — Grading of test material

Cell damage index	Description of decolorized zone
0	Non cytotoxic
1	Mildly cytotoxic
2	Moderately cytotoxic
3	Severely cytotoxic

Include the results of the assessment in the test report.

NOTE It should be borne in mind that the interpretation of data from cell culture tests must take the limitations of this test system into account; i.e. a material which is cytotoxic is not per se unsuitable, but the data must be interpreted for each specific application.

#### 6.3.9 Test report

Submit the results in a test report, which includes a complete record of all procedures followed, all results obtained and any other data necessary for the assessment of results. Include details of the preparation and methods of application of the test material, together with the lot number of the material when appropriate.

### 6.4 Pulp and dentine usage test

### 6.4.1 Objective

This test is designed to assess the biocompatibility of dental materials with the dentine and dental pulp. Include in the assessment methodology procedures necessary for the proposed clinical use of the material.

#### 6.4.2 Animals and animal welfare

For animal welfare comply with either

- a) ISO 10993-2 or
- b) national regulatory requirements for laboratory animals.

NOTE 1 The animals should be housed according to ISO 10993-2 and have free access to food and water.

Use non-rodent mammals of one species of such an age that their dentition contains intact permanent teeth with closed mature apices.

Monkeys, dogs, ferrets or miniature pigs are suitable species. Other species may be suitable for special purposes. The species selected should be the lowest required to satisfy the scientific objective at the lowest animal welfare cost. The choice of species shall be justified and documented.

NOTE 2 Suitable monkeys, dogs and miniature pigs are those in which all the permanent teeth, other than M3, have erupted. Suitable ferrets are those in which the four permanent canines have erupted, as only those teeth are suitable.

#### 6.4.3 Test procedure

#### 6.4.3.1 Preparation of animals

Select sufficient animals to provide at least seven teeth containing test material for each time period.

Anaesthetize the animals and carry out the procedure described in 6.4.3.2.

#### 6.4.3.2 Treatment of teeth

- **6.4.3.2.1** Remove all calculus and debris from the tooth surfaces. Clean and disinfect the surfaces of the teeth to be used by swabbing with 3 % (volume fraction) hydrogen peroxide followed by a disinfectant consisting of povidone-iodine or chlorhexidine. Prepare the required number of Class V buccal or labial cavities using sharp burs under an adequate air-water spray. Prepare all cavities to a depth such that their remaining dentine thickness is less than 1,0 mm and preferably less than 0,5 mm but the pulp is not exposed. Rinse the cavities with water and dry them with cotton pellets, unless the method of insertion of the test material requires a different procedure.
- NOTE 1 If animals have marked gingival inflammation, it may be necessary to carry out a calculus and debris removal a few days before cavity preparation, and even repeatedly until gingival inflammation is controlled.
- NOTE 2 Electrical impedance measuring instruments, such as root apex locators, can be pre-calibrated and used to help estimate the remaining dentin thickness during cavity preparation.
- **6.4.3.2.2** For the preparation of test materials, follow the manufacturer's instructions. If the manufacturer of the test material recommends its use with a lining material or cavity treatment agent (e.g. a dentine adhesive agent), use these additional procedures as recommended by the manufacturer.
- **6.4.3.2.3** For each time period, restore at least seven cavities with the test material and four cavities with a negative control on the basis of a random allocation. If necessary (see Note 1), for each time period, restore up to four cavities with a positive control.
- NOTE 1 For laboratories that possess a data bank for previous positive-control materials, further studies are unnecessary other than on an occasional basis to confirm a positive reaction.

The species selected should be the lowest required to satisfy the scientific objective at the lowest animal welfare cost. The choice of species shall be justified and documented. If monkeys, dogs or miniature pigs are used, one animal should be used for each time period. If ferrets are used, at least three animals should be used for each time period.

- NOTE 2 A quick-setting zinc oxide-eugenol (ZOE) cement is an appropriate negative control material. For long-term studies, it is advisable to protect the ZOE against hydrolysis. This can be achieved by placing a thin layer of either polycarboxylate cement or conventional (self-cure) glass-ionomer cement over the ZOE, followed by an acid-etch retained resin-based composite. Note that placing a resin composite in direct contact with ZOE cement can result in inhibition of polymerization of the resin composite. Conventional glass-ionomer cement could be considered as an alternative negative control.
- NOTE 3 A restorative material or technique not involving exposure of the pulp, which consistently results in moderate to severe pulpal response, is an appropriate positive control.
- **6.4.3.2.4** The condition of the animals should be checked and recorded at least once per day post-operatively. Measures should be in place to minimize any pain or distress caused by changes in eating habits, inflammation or infection. Analgaesics should be administered as required post-operatively.

#### 6.4.3.3 Preparation of slides

**6.4.3.3.1** After  $(7 \pm 2)$  days,  $(28 \pm 3)$  days and  $(70 \pm 5)$  days, euthanize with an overdose of anaesthetic, or by applying other generally accepted substances, a sufficient number of animals to provide at least seven teeth containing test material. Examine the restorations, the teeth and their supporting tissues and record details of any abnormalities. Remove each treated tooth, together with its surrounding hard and soft tissues, and fix in a suitable fixing agent.

NOTE Vascular perfusion of the tissues with fixative at the time of sacrifice prior to their removal provides better fixation.

**6.4.3.3.2** After fixation, demineralize the teeth in a suitable reagent (e.g. 10 % formic acid or 0,5 mol/l ethylenediaminetetraacetic acid at pH 7,4) and prepare serial sections, 5  $\mu$ m to 7  $\mu$ m thick, through each cavity in the longitudinal axis of the tooth. Stain alternate slides with haematoxylin and eosin. Stain intermediate slides with an appropriate bacterial stain (e.g. Brown and Brenn) or other stains as necessary for detection of bacteria.

#### 6.4.3.4 Assessment of dentine and dental pulp

Examine the sections without prior knowledge of whether the test sample is experimental or control. For each series of sections, record a full description of all the histological features in the dentine, pulp and periapical tissues, including any that may have arisen from the cavity preparation technique. From the serial sections, select at least five evenly spaced through the cavity for subsequent analysis of inflammation. Grade separately the inflammatory infiltrate in the superficial tissues (odontoblast layer, cell-free zone and cell-rich zone) and in the remainder (deeper tissues) of the pulp, on the scale specified in Table 6.

Grade of inflammation	Description of inflammatory changes
0	No inflammation: normally structured pulp tissue adjacent to the dentine in tubular contact with the cavity floor
1	Mild inflammation: scattered inflammatory cells within an otherwise normally structured pulp tissue adjacent to the dentine in tubular contact with the cavity floor
2	Moderate inflammation: inflammatory cells with small focal groupings within a pulp tissue still containing structurally normal areas adjacent to the dentine in tubular contact with the cavity floor
3	Severe inflammation: extensive inflammatory cell infiltration, with loss of normal structure, in the pulp tissue adjacent to the dentine in tubular contact with the cavity floor
4	Abscess formation or extended inflammatory cell infiltration not limited only to the pulp tissue adjacent to the dentine in tubular contact with the cavity floor

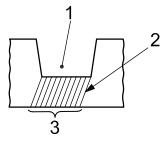
Table 6 — Grading scale for the pulp and dentine usage test

For each section graded, record the minimum remaining dentine thickness by measuring both at right angles from the cavity floor to the pulp-(pre)dentine interface and by measuring along the course of the dentinal tubules. In the latter case, when the plane of sections is not exactly the same as that of the dentinal tubules, so that each tubule in the area of interest does not run the full distance from the cavity floor to the pulp-(pre-) dentinal interface, take the measurement along the line of the general direction of the dentinal tubules. Calculate an index of inflammatory response at both sites, at each time interval, by summing the individual grades and by dividing by the total number of observations.

Present the data separately for cavities filled with the test material, including the lining material or cavity treatment agent if recommended by the manufacturer, with the negative control and with the positive control. For cavities filled with the positive control, data may be obtained from previous studies where the test conditions were identical. In addition, record the number of cavities containing bacteria on the cavity floor or wall at each time interval for the test material and controls. An index of inflammatory response at each time interval is thus provided for each material, based on the above scale, and which is qualified by presentation of the range of minimum remaining dentine thickness measured and the amount of bacterial microleakage observed.

#### 6.4.3.5 Odontoblast cell survival analysis (optional)

For histomorphometric analysis of odontoblast survival, select at least five sections, evenly spaced along the cavity floor and stained with haemotoxylin and eosin, and examine them at a magnification which permits identification of discrete cells and provides a field of view encompassing all of the pulp-(pre-)dentine interface beneath the cavity. Using an ocular graticule (reticule), count the number of morphologically intact odontoblasts per unit length of pre-dentine surface along the entire length of the pulp-(pre-)dentine interface beneath the cavity. The interface is defined as that in which the dentinal tubules are in communication with the floor of the cavity, as in Figure 1.



#### Key

- 1 cavity
- 2 dentinal tubules
- 3 pulp-(pre-)dentine interface area for counting of odontoblasts

Figure 1 — Pulp-(pre-)dentine interface area for counting of odontoblasts

Make odontoblast cell counts per unit length of the pulp-(pre-)dentine interface area beneath the cavity for each of the five sections from each test sample and derive the mean cell count for that cavity. Then derive mean cell counts for all the test, T, and the negative control, NC, cavities. The cell death in % is calculated from Equation (1):

$$CD = \left(\frac{NC - T}{NC}\right) \times 100$$

where

CD is the cell death as a percentage;

NC is the cell count in the negative control cavity;

T is the cell count for the test material cavity.

Grade the odontoblast cell death on the scale as specified in Table 7.

Table 7 — Grading of odontoblast cell death

Scale	Odontoblast death
0	None
1	< 25 % cell death
2	25 % to 50 % cell death
3	> 50 % to 75 % cell death
4	> 75 % cell death

For each section graded, record the minimum remaining dentine thickness as described above. An index of odontoblast survival is thus provided for each material, based on the above scale, and which is qualified by presentation of the range of minimum remaining dentine thickness measured for the cavities in that material group. Present data separately for cavities filled with the test material, including the lining material or cavity treatment agent if recommended by the manufacturer, and for cavities used as positive controls. Express the data for the positive controls as a percentage of the negative control as per the test cavities above. Where appropriate, the data for the positive control may be obtained from a previous study, in which the test conditions were identical to those of the present study.

#### 6.4.4 Assessment of results

In assessing the test results, take into account all information gathered in the test, particularly any differences in results between the experimental and control groups.

Record the results of the assessment in the test report.

#### 6.4.5 Test report

Submit the results in a test report that includes a complete record of all procedures followed, results obtained and any other data necessary for the assessment of results. Include details of the preparation and methods of application of the test material, together with the lot number of the material.

# 6.5 Pulp capping test

#### 6.5.1 Objective

The test is designed to assess the biocompatibility of pulp capping materials with the dental pulp. Include in the assessment methodology procedures necessary for the proposed clinical use of the material.

NOTE 1 With a few modifications, this test can be used for pulpotomy testing.

NOTE 2 The pulp and dentine usage test and the pulp capping test may be performed at the same time in the same animals using different teeth.

#### 6.5.2 Animals and animal welfare

Conduct animal welfare in accordance with 6.4.2.

Use a minimum of two non-rodent mammals of one species, as described in 6.4.2.

#### 6.5.3 Test procedure

#### 6.5.3.1 Preparation of animals

Select sufficient animals to provide at least ten teeth containing test material for each time period.

Anaesthetize the animals and carry out the procedure described in 6.5.3.2.

#### 6.5.3.2 Treatment of teeth

**6.5.3.2.1** Remove all calculus and debris from the tooth surfaces. Place a rubber dam to isolate the teeth to be used. Clean the tooth surface and the operating field and dry. Disinfect by swabbing with 3 % (volume fraction) hydrogen peroxide followed by a disinfectant consisting of povidone-iodine or chlorhexidine. Prepare the required number of Class V buccal or labial cavities using sharp burs under an adequate air-water spray. The preparations should be bordered by enamel but extend into the mesial and distal surfaces of the tooth and into the inner one-third of the dentine. In the centre of the cavity, carefully make a pulpal exposure of approximately 0,5 mm to 1,0 mm diameter under a spray of sterile saline solution [0,9 % (mass fraction)] without plunging the bur into the pulp tissue. The diameter of the exposure should be measured in tenths of a millimetre. The diameter of the exposure can be estimated from the known diameter of the bur. Thoroughly irrigate the exposure site with sterile saline solution until haemostasis is achieved. Dry with sterile cotton pellets.

NOTE If animals have marked gingival inflammation, it might be necessary to carry out a calculus and debris removal a few days before cavity preparation and even repeatedly until gingival inflammation is controlled.

- **6.5.3.2.2** For the preparation of test materials, follow the manufacturer's instructions. If the manufacturer recommends other irrigating solutions or reagents for the termination of haemorrhage or specific pre-treatment of the pulp wound, follow the manufacturer's instructions.
- **6.5.3.2.3** For each time period fill at least ten cavities with the test material and five with a suitable reference material on the basis of a random allocation. Mix the capping and control materials on a slab (pad), avoiding microbial contamination. Apply the materials to the pulp wound without pressure. Restore the cavity with either a polyacid-modified resin-based composite or a resin modified glass ionomer cement. This should be followed by an adhesively bonded resin-based composite restoration.

The species selected should be the lowest required to satisfy the scientific objective at the lowest animal welfare cost. The choice of species shall be justified and documented. If monkeys, dogs or miniature pigs are used, at least one animal should be used for each time period. If ferrets are used, at least four animals should be used for each time period, as only the canines are suitable.

- NOTE 1 Calcium hydroxide freshly mixed with sterile 0,9 % (mass fraction) saline solution to a putty consistency, is an appropriate reference control.
- NOTE 2 If ZOE cement is used for long-term studies, it is advisable to protect it against hydrolysis. This can be achieved by placing a thin layer of either a polycarboxylate cement or conventional (self-cure) glass-ionomer cement over the ZOE, followed by an acid-etch retained resin-based composite. Note that placing a resin-based composite in direct contact with ZOE cement may result in inhibition of polymerization of the resin-based composite.
- **6.5.3.2.4** Observe and manage the animals as described in 6.4.3.2.4.

#### 6.5.3.3 Preparation of slides

**6.5.3.3.1** After  $(7 \pm 2)$  days and  $(70 \pm 5)$  days, euthanize with an overdose of anaesthetic, or by applying other generally accepted substances, a sufficient number of animals to provide at least ten teeth containing test material. Examine the restorations, the teeth and their supporting tissues and record details of any abnormalities. Remove each treated tooth, together with its surrounding hard and soft tissues, in a single block and fix in a suitable fixing agent.

NOTE Vascular perfusion of the tissues with fixative at the time of sacrifice prior to their removal provides better fixation.

**6.5.3.3.2** After fixation, take a radiograph of each tissue block to determine whether radiographic changes have occurred. Prepare sections for examination as in 6.4.3.3.2.

#### 6.5.3.4 Assessment of dental pulp

Examine the sections, describe the histological features, grade the inflammatory infiltrate and calculate the index of inflammatory response according to the protocol described in 6.4.3.4. As the superficial pulp tissue will have been destroyed in creating the pulpal exposure, prepare a single grading of the inflammatory infiltrate, using the scale specified in Table 8.

Grade of **Description of inflammatory changes** inflammation 0 No inflammation 1 Mild inflammation: scattered inflammatory cells in the pulp tissue adjacent to the pulpal exposure Moderate inflammation: inflammatory cells with small focal groupings in the pulp tissue adjacent to the 2 pulpal exposure Severe inflammation: extensive inflammatory cell infiltration in the pulp tissue adjacent to the pulpal 3 exposure Abscess formation or extended inflammatory cell infiltration not limited only to the pulp tissue adjacent 4 to the pulpal exposure

Table 8 — Grading scale for the pulp capping test

In addition, a full description of the extent, distribution and the nature of any dentine bridge shall be provided, paying particular attention to the presence of tunnel defects and cellular inclusions which may interfere with the effectiveness of the bridge as a barrier. Grade the degree of bridging of the exposure by tertiary dentine on a scale of none, partial or complete. Guidance on the interpretation of the histological features of dentine bridging is provided in the note below.

NOTE The extent and distribution of any dentine bridge should be considered in terms of whether it completely bridges the pulpal exposure site, its depth or thickness and also, its distribution in relation to the site of exposure. An incomplete bridge does not provide effective protection to the exposed pulp. While an adequate depth of bridge is required for effective pulpal protection, uncontrolled reparative dentinogenesis for bridge formation may cause occlusion of the pulp chamber and compromise the vitality of the pulp. Widespread reparative dentinogenesis beyond the local confines of the dentine bridge and its tubular communication with the material may be suggestive of a cellular response to injury (e.g. surgical injury) beyond the direct response to the material. The regularity of the tubular structure in the dentine bridge can be informative of the degree of dysplasia during its formation with absence or the presence of few tubules suggesting more dysplastic tissue formation. The presence of tunnel defects and cellular inclusions in the dentine bridge can provide.

#### 6.5.4 Assessment of results

Assess the results as in 6.4.4.

#### 6.5.5 Test report

Submit the results in a test report as in 6.4.5.

#### 6.6 Endodontic usage test

#### 6.6.1 Objective

The test is designed to assess the biocompatibility of endodontic materials with the remaining apical pulp tissues (stumps) and the periapical tissues. In the assessment, include procedures necessary for the proposed clinical use of the material.

NOTE The endodontic usage test should be used for bioactive endodontic materials, e.g. materials claiming to stimulate apical hard tissue formation, intended for either orthograde or retrograde application.

#### 6.6.2 Animals and animal welfare

Animal welfare shall be in accordance with 6.4.2.

Use a minimum of four non-rodent mammals of one species as described in 6.4.2, of such an age that their dentition contains intact permanent teeth with closed (mature) apices; the use of incisors, canines and premolars is preferred. The use of premolars is optional if two roots are present.

#### 6.6.3 Test procedure

#### 6.6.3.1 Preparation of animals

Select sufficient animals to provide at least ten teeth containing test material for each time period.

NOTE In some breeds of dog, the morphology of the apical part of the root canals may make root preparation difficult.

Anaesthetize the animals and carry out the procedure described in 6.6.3.2.

#### 6.6.3.2 Treatment of teeth

**6.6.3.2.1** Take radiographs showing the periapical region of all teeth to be filled. Clean and isolate the teeth as described in 6.4.3.2.1.

NOTE If animals have marked gingival inflammation, it might be necessary to carry out calculus and debris removal a few days before cavity preparation, and even repeatedly until gingival inflammation is under control.

Prepare the required number of teeth for placement of root canal fillings. Make an appropriate opening in the pulp chamber using sharp burs, under aseptic conditions. Debride the exposed pulp with saline solution [0,9 % (mass fraction)] and dry with sterile cotton pellets. Use a new sterile root canal file or a barbed broach to sever the pulp  $(1,0\pm0,5)$  mm from the apical foramen, using the radiographs as a guide during instrumentation. Irrigate the root canal repeatedly with sodium hypochlorite solution (recommended concentrations range from 1,0 % to 5,25 % (mass fraction) followed by sterile 0,9 % (mass fraction) saline solution.

Enlarge the root canal using progressively larger, sterile, root canal files, calibrated in length to the level at which the pulp has been severed, until it is a suitable size for filling. Make every effort to eliminate dentinal chips from the root canal, which could block the tooth apex and prevent the endodontic material from contacting the apical tissue. Following completion of the instrumentation, flush the root canal with sodium hypochlorite solution (recommended concentrations range from 1,0 % to 5,25 % (mass fraction)) followed by sterile 0,9 % (mass fraction) saline solution and dry with sterile cotton pellets and large, blunted, sterile paper points without contacting the apical pulpal stump.

- **6.6.3.2.2** For the preparation of test materials, follow the manufacturer's instructions. If the manufacturer recommends tooth preparation procedures different from those described above, follow the manufacturer's instructions.
- **6.6.3.2.3** For each time period, on the basis of a random allocation, fill at least ten teeth with the test material and at least five with a suitable reference material. Mix the endodontic and reference materials on a slab (pad), avoiding microbial contamination. Fill the root canal with either the test or reference material, using gutta percha at the point of pulp severance. Obturate the access cavity with a reinforced zinc oxide-eugenol (ZOE) cement, covered by either a polycarboxylate cement or a conventional (self-cure) glass-ionomer cement or an acid-etch retained resin-based composite. Take radiographs showing the periapical region of all teeth that have been filled.

If monkeys, dogs or miniature pigs are used, at least two animals should be used for each time period. If ferrets are used, at least four animals should be used for each time period as only the canines are suitable.

If a resin-based composite is used, a thin layer of either conventional (self-cure) glass-ionomer cement or polycarboxylate cement should be placed initially over the ZOE. Placing a resin-based composite in direct contact with ZOE cement may result in inhibition of polymerization of the resin-based composite.

NOTE ZOE cement, either alone or with other additives as in Grossman's sealer, is an appropriate reference material.

**6.6.3.2.4** Observe and manage the animals as in 6.4.3.2.4.

#### 6.6.3.3 Preparation of slides

**6.6.3.3.1** After  $(28 \pm 3)$  days and  $(90 \pm 5)$  days, euthanize with an overdose of anaesthetic, or by applying other generally accepted substances, a sufficient number of animals to provide at least ten teeth containing test material. Examine the restorations, the teeth and supporting tissues and record details of any abnormalities. Remove each treated tooth, together with its surrounding hard and soft tissues in a single block, and fix in a suitable fixing agent.

NOTE Vascular perfusion of the tissues with fixative at the time of sacrifice prior to their removal provides better fixation.

**6.6.3.3.2** After fixation, take a radiograph of each tissue block to determine whether radiographic changes have occurred. Prepare sections for examination as in 6.4.3.3.2, parallel to the long axis of the tooth through the root canal and its ramifications, showing the material/pulp tissue interface and the adjacent periapical tissues.

#### 6.6.3.4 Assessment of tissues

Examine the sections without prior knowledge of whether the test sample is experimental or control. For each series of sections, record a full description of all the histological features in the pulp, periapical tissues, dentine and cementum in the apical part of the tooth. For each test sample, grade the tissue changes according to the scale specified in Table 9. Examples of the histological features to be considered are provided in the note below.

Scale	Observation
0	No inflammation
1	Mild inflammation: test samples display a scattering of inflammatory cells, predominately chronic inflammatory cells, and the structural characteristics of residual pulp are still identifiable
2	Moderate inflammation: test samples display focal accumulations of inflammatory cells but no tissue necrosis, and some disruption of the structural characteristics of the residual pulp and periapical tissues
3	Severe inflammation: extensive replacement of the residual pulp or periapical tissues by an inflammatory cell infiltrate
4	Abscess formation

Table 9 — Grading scale for the endodontic usage test

NOTE Examples of the histological features to be recorded include the following.

- a) An assessment to determine whether the root canal filling is short, flush or extruded: correlate this observation with the presence of inflammation, root resorption and bone reaction.
- b) Extrusion of root canal sealer (cement): determine whether root canal sealer has been extruded through the apex into the surrounding periodontal space and bone tissues. Although most likely to be observed at the 28 d interval, the long-term period should not be excluded from this assessment.
- c) The presence of necrotic apical tissue.

- d) The quality of the adaptation of the root canal filling material grading as good, fair or poor: good adaptation means that the filling material is well adapted to the root walls on not just one section but on serial sections, without showing voids. Fair is graded when some sections show voids or areas where the filling material is not well adapted to the root. A poor adaptation is recorded when the filling material is not flush with the root wall or when numerous voids are present.
- e) Further specification of the inflammation outlined above (and graded from 0-4) as to the type of inflammatory cells that are present: list the predominant type of cell and recognize that the acute cells (leukocytes) appear early while mononucleated cells (lymphocytes, monocytes, macrophages and multinucleated giant cells) appear later. Classify the inflammatory reactions as acute (A), chronic (C) or mixed (M).
- f) Root resorption (present or absent).
- g) The reaction of the apical bone graded as normal, inflamed (grade the inflammation), and a determination whether there is a granuloma present and whether the bone shows signs of resorption.
- h) Grade of hyperaemia on a scale from 0 to 3.

#### 6.6.4 Assessment of results

Assess the results as in 6.4.4.

#### 6.6.5 Test report

Submit the results in a test report as in 6.4.5.

# Annex A (informative)

Types of test to be considered for evaluation of biocompatibility of medical devices used in dentistry

Table A.1 — Types of test to be considered for evaluation of biocompatibility of medical devices used in dentistry

	Contact		Group I				Group II	=				Group III	
Nature of body	A – Limited (< 24h)	Cytotoxicity tests	Cytotoxicity Cytotoxicity Cytotoxicity tests tests	Cytotoxicity tests	Delayed-type hypersensitivity	Irritation or intra- cutaneous reactivity	Acute systemic toxicity	Subchronic (subacute) systemic toxicity	Genotoxicity Implantation	Implantation	Pulp and dentine usage test	Pulp capping test	Endodontic usage test
CONTACT	C – Permanent (> 30 d)	ISO 7405, 6.2 and 6.3	ISO 10993-5	ISO 7405, Annex B	ISO 10993-10	ISO 10993-10	ISO 10993-11	ISO 10993-11	ISO 10993-3	ISO 10993-6	ISO 7405, 6.4	ISO 7405, 6.5	ISO 7405, 6.6
	٧	×	×		X	X							
Surface device	В	×	×		×	×							
	Э	×	×		X	X		×	×				
External	٧	×	×	×	X	X					×		
commu- nicating	В	×	×	×	×	×	×	×	×	×	×		
device	၁	×	×	×	×	×	×	×	×	×	×		
	٧	×	×		X	X						×	×
Implant device	В	×	×		X	X	×	X	×	×		×	×
	Э	×	×		X	X	×	X	×	×		×	×
NOTE 1	X indicates te	X indicates test shall be considered for use.	sidered for use.										
NOTE 2	This table is	a framework for	This table is a framework for the development of an assessment prog	nt of an assessm	nent programme an	ramme and is not a checklist.	ist.						

# Annex B (informative)

# **Dentine barrier cytotoxicity test**

# **B.1** Objective

The test is designed to assess the cytotoxicity of dental filling materials by a cell culture method, in which the cells and material are separated by a dentine barrier, thus simulating the clinical situation of a tooth cavity filled with a restorative material.

# **B.2** Apparatus and materials

#### B.2.1 Cells

An established cell line which is readily available, e.g. from the American Type Culture Collection (ATCC), or, alternatively, clonal SV 40 large T-antigen-transfected cells, e.g. derived from calf dental papilla, may be used. They are maintained in growth medium in a humidified atmosphere at  $(37 \pm 2)$  °C and 5 %  $CO_2$ . Other established cell lines with odontoblast-like properties or other properties that are relevant to the physiology of the dental pulpal tissues can also be used.

#### **B.2.2 Culture medium**

Medium specified for the selected cell line as given by ATCC or equivalent.

NOTE For guidance, see <a href="http://www.atcc.org">http://www.atcc.org</a>. The growth medium for SV 40 large T-antigen-transfected cells consists of MEM $\alpha$  supplemented with 20 % foetal bovine serum (FBS), 150 IU/ml penicillin, 150 µg/ml streptomycin, 0,125 µg/ml amphotericin B and 0,1 mg/ml geneticin.

# **B.2.3 Reagents**

- B.2.3.1 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT).
- **B.2.3.2** Antibiotics/antifungal, penicillin, streptomycin, amphotericin B and geneticin only for clonal SV40 transfected cells.

#### **B.2.4 Equipment**

- **B.2.4.1** Cell culture plate inserts, e.g. Millicell<sup>5)</sup>.
- B.2.4.2 6-well and 24-well culture plates.
- **B.2.4.3** 8 mm diameter polyamide meshes, e.g. Sefar<sup>6</sup>), mesh width 150 μm.

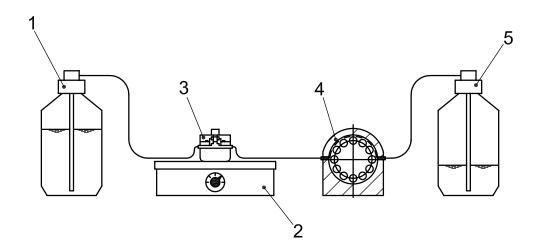
<sup>5)</sup> Millicell is the trade name of a product supplied by Millipore, Billercia, USA. This information is given for the convenience of the users of this International Standard and does not constitute an endorsement by ISO of the product named. Equivalent products may be used if they can be shown to lead to the same result.

<sup>6)</sup> Sefar is the trade name of a product supplied by Sefar, Wasserburg/Inn, Germany. This information is given for the convenience of the users of this International Standard and does not constitute an endorsement by ISO of the product named. Equivalent products may be used if they can be shown to lead to the same result.

#### B.2.4.4 Split chamber perfusion device.

In the following text, two perfusion chambers are described, both of which are suitable.

The first split chamber perfusion device, Minucells<sup>7)</sup>, (Figure B.1) consists of a perfusion chamber made of polycarbonate with a base of  $40 \text{ mm} \times 40 \text{ mm}$  and a height of 35 mm. The pulpal part of the device is connected on one side to a bottle with culture medium supply and on the other to a peristaltic pump and a bottle for waste medium. Within the test apparatus, the two chambers are separated by a dentine slice, held in place by a steel holder (Figure B.2).

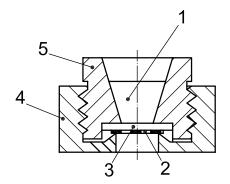


#### Key

- 1 supply of culture medium
- 2 hotplate
- 3 perfusion chamber
- 4 pump
- 5 waste bottle

Figure B.1 — Experimental set-up for the dentin barrier cytotoxicity test

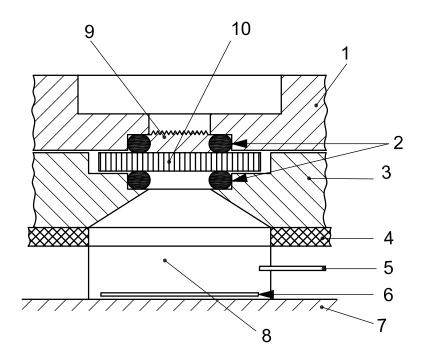
<sup>7)</sup> Minucells is the trade name of a product supplied by Minucells & Minutissue GmbH, Bad Abbach, Germany. This information is given for the convenience of the users of this International Standard and does not constitute an endorsement by ISO of the product named. Equivalent products may be used if they can be shown to lead to the same result.



# Key

- 1 test material
- 2 mesh with cells
- 3 dentin slice
- 4 steel ring
- 5 steel insert

Figure B.2 — Stainless steel holder to fixate the dentine slice and the cell culture in the test apparatus



#### Key

- 1 top part
- 2 rubber O-ring
- 3 middle part
- 4 elastomer sheet
- 5 inlet outlet
- 6 glass coverslip
- 7 bottom
- 8 culture medium
- 9 test material
- 10 dentin slice held in place by rubber O-rings

Figure B.3 — ADA perfusion chamber

The second split chamber device, the American Dental Association (ADA) perfusion chamber<sup>8)</sup> (Figure B.3), consists of translucent walls fabricated from either Delrin<sup>9)</sup> or Lexan<sup>10)</sup>, both of which are non-toxic. The inlet and outlet valves are non-toxic stainless steel needle ports. The small O-rings are of red silicone (15,9 mm outer diameter, 12,42 mm inner diameter). The 6 mm inner diameter of the smaller O-ring creates a surface diffusion area of 28 mm<sup>2</sup>. The chamber area holds 0,5 ml of fluid. This area may be reduced by filling the chamber from the bottom with a non-toxic polyvinylsiloxane impression material (e.g. Reprosil<sup>11)</sup>), so that there is less than 100  $\mu$ l within the chamber.

**B.2.4.5** Microplate reader, 96-well plates, wavelength 540 nm, or any other adequate photometer.

#### **B.2.4.6 Dentine slices**, from human or bovine dentine.

NOTE If non-human dentine is used, determine the permeability of the slices prior to use to confirm that it is similar to that of human dentine at a comparable level to the pulpal-dentine interface. Use a capillary system [e.g. Flowdec<sup>12)</sup>] for this purpose.

# **B.3 Test procedure**

#### **B.3.1 Cell culture preparation**

#### B.3.1.1 Three-dimensional cell cultures

Three dimensional cell cultures are recommended to be used together with the Minucells perfusion chamber. Incubate polyamide meshes in 0,1 mol/l acetic acid for 30 min, wash three times with demineralized water, airdry, coat with fibronectin (0,03 mg/ml) and air-dry under sterile conditions. Place a cell culture insert into each well of a 6-well plate together with 1,25 ml of culture medium. Place the meshes on the inserts and seed with 20  $\mu$ l cell suspension at 4  $\times$  10<sup>6</sup> cells/ml. After 48 h incubation at (37  $\pm$  2) °C and under 5 % CO<sub>2</sub> at a relative humidity of (90  $\pm$  10) %, transfer the meshes to 24-well plates and incubate for (14  $\pm$  2) d. Change the culture medium three times a week and transfer the meshes to a new 24-well plate at the end of the first week.

#### **B.3.1.2** Monolayer cultures

Monolayer cultures are recommended to be used together with the ADA perfusion chamber. Apply methods described in ISO 10993-5 using an established cell line which is readily available, e.g. from the American Type Culture Collection (ATCC).

<sup>8)</sup> ADA perfusion chamber is the trade name of a product supplied by Biomedical Engineering, Medical College of Georgia, Augusta, GA 30912, USA, #NT-8214A. This information is given for the convenience of the users of this International Standard and does not constitute an endorsement by ISO of the product named. Equivalent products may be used if they can be shown to lead to the same result.

<sup>9)</sup> Delrin is the trade name of a product from the material class polyacetal, supplied by DuPont. This information is given for the convenience of the users of this International Standard and does not constitute an endorsement by ISO of the product named. Equivalent products may be used if they can be shown to lead to the same result.

<sup>10)</sup> Lexan is the trade name of a product from the material class polycarbonate, supplied by General Electric Plastics. This information is given for the convenience of the users of this International Standard and does not constitute an endorsement by ISO of the product named. Equivalent products may be used if they can be shown to lead to the same result

<sup>11)</sup> Reprosil is the trade name of a product from the material class of hydrophobic non-toxic polyvinylsiloxane impression material supplied by Dentsply International, York PA, USA. This information is given for the convenience of the users of this International Standard and does not constitute an endorsement by ISO of the product named. Equivalent products may be used if they can be shown to lead to the same result.

<sup>12)</sup> Flowdec is the trade name of a product supplied by DeMarco Engineering, Geneva, Switzerland. This information is given for the convenience of the users of this International Standard and does not constitute an endorsement by ISO of the product named. Equivalent products may be used if they can be shown to lead to the same result.

#### **B.3.2 Preparation of dentine slices**

#### B.3.2.1 Human origin

Select non-carious, freshly extracted molar teeth, remove debris and attached soft tissues with hand instruments and soak in 70 % ethanol for at least 15 min. Prepare dentine slices by sectioning the teeth at right angles to their long axes through the widest part of their crowns, below the occlusal enamel and above the occlusal limits of the pulp chambers.

#### B.3.2.2 Bovine origin

Select intact teeth showing no excessive signs of abrasion, from among the median four lower incisors of 3- to 7-year-old slaughterhouse animals. Extract the teeth, remove debris and attached soft tissue using hand instruments and store in 0,5 % chloramine or other similar agents until used. Section the teeth along their long axes as close as possible to the pulp chamber. Use slices near the cervical part of the tooth for the test.

#### B.3.2.3 Treatment of dentine slices

Etch the intended "pulpal" aspect of the dentine slice with 50 % citric acid for 30 s, wash thoroughly and sterilize either by autoclaving (121 °C; 9,6 MPa; 25 min) in 0,9 % sodium chloride, or by soaking in 70 % ethanol for 15 min followed by thorough rinsing in demineralized water. Dentine slices may be stored in 0,9 % sodium chloride solution at  $(4 \pm 2)$  °C up to three weeks. Confirm the sterility of the dentine slices by microbiological culture of a test sample from each batch before use.

NOTE The thickness of the dentine slice can vary according to the depth of the clinical cavity the test is designed to simulate. A dentine slice of  $(500 \pm 50) \, \mu m$  thickness represents the amount of dentine remaining beneath a clinical cavity of medium depth. Thinner slices may be used to represent the situation in deeper clinical cavities.

Dentine slices may be stored in 0,9 % NaCl until use.

#### B.3.2.4 Perfusion assembly

#### B.3.2.4.1 Minucells device

Place the mesh with cells in the test apparatus and insert the dentine slice, held in place by a stainless steel holder.

NOTE A suitable holder is shown in Figure B.2.

Perfuse the chambers with 0,3 ml assay medium per hour (growth medium with 6 g/l HEPES buffer) for 24 h. Stop the perfusion and introduce the test material into the upper compartment in direct contact with the "cavity" side of the dentine slice.

After a suitable time period (e.g. 24 h or 3 d), remove the mesh with the cells from the pulpal part of the chamber and place into 48-well plates containing 0,5 ml of pre-warmed MTT solution (0,5 ml in growth medium) incubate for 2 h at  $(37\pm2)$  °C with phosphate buffered saline solution. Extract the blue formazan precipitate using 0,25 ml dimethyl sulfoxide, shaking the plates at room temperature for 30 min. Transfer 200 µl of this solution to a 96-well plate and determine the absorption spectrophotometrically at 540 nm. Express the results as a percentage of controls or as photometric readings.

Use five to ten chambers for each material and control in one test, and carry out each test at least twice.

# B.3.2.4.2 Assembly of the ADA-perfusion chamber

Adjust the dentine slice in the perfusion chamber device. Fill the lower compartment with suitable cell culture medium (or another extraction vehicle) and connect it to supply and waste bottles, if necessary. Then fill in the test materials. After a given exposure time, remove the cell culture medium and use it for cytotoxicity testing in routine monolayer cultures, e.g. using DNA synthesis, mitochondrial activity (MTT assay), or stimulation of functions such as gene regulation as biological endpoints.

#### **B.4 Controls**

Use positive and negative controls for each material tested. The positive control should reduce cell viability by approximately 50 % after 24 h exposure; the negative control should have no effect on cell viability. An example for a positive control material (13) is given in Table B.1.

NOTE As a negative control, a hydrophobic non-toxic polyvinylsiloxane impression material is suitable. As a positive control, a material with the compositions listed in Table B.1 or an equivalent material can be used.

Table B.1 — Example of positive control material

Material	Weighted sample	Weighted sample	Final weight
	mg	%	%
Powder			
Glasspowder	829,000	82,90	66,30
Polyacrylic acid	146,000	14,60	11,70
Diphenyliodonium chloride	25,000	2,50	2,00
Total	1 000,00	100,00	80,00
Liquid			
Camphorquinone	0,625	0,25	0,05
Ethyl-4-dimethylaminobenzoate	0,625	0,25	0,05
HEMA	187,500	75,00	15,00
Distilled water	61,250	24,50	4,90
Total	250,000	100,00	20,00
NOTE See Reference [31].	•		

Mix the components of the powder and the liquid separately and then immediately mix both in a ratio of 1 000 mg powder and 250 mg liquid. Cure it by a standard curing unit for 40 s at 700 mW/cm<sup>2</sup> to 800 mW/cm<sup>2</sup>.

Glasspowder with grain size 30  $\mu$ m  $\pm$  10  $\mu$ m: Schott, order No. GM35429.

Polyacrylic acid: Sigma-Aldrich, order No. 323667.

Diphenyliodonium chloride: Sigma, order No. D209082.

Camphorquinone: Sigma, order No. 124893.

Ethyl-4-dimethylaminobenzoate: Merck, order No. 841086.

HEMA (2-hydroxyethyl-methacrylate): Merck, order No. 800588.

<sup>13)</sup> The following raw materials are examples of suitable products available commercially. This information is given for the convenience of users of this International Standard and does not constitute an endorsement by ISO of this product.

### **B.5** Assessment of results

Discard the experiment if no statistically significant difference between the negative and positive control occurs.

In addition to the assessment described, consult ISO 10993-5 for further information. The assessment of data derived from the Minucells device is based on a statistical comparison (non-parametric methods) of the data from the test material with those from the control materials, assuming five to ten independent cultures for each material. Assess cell damage according to Table B.2 and grade the results according to Table B.3.

Include the results of the assessment in the test report.

Table B.2 — Assessment of cell damage

Scale	Grading assessment
0	Not statistically different from negative control, but different from the positive control or inducing even less cell damage than the negative control
1	Statistically different from both, the negative and the positive control
2	Not statistically different from positive control, but different from the negative control or inducing even stronger cell damage compared to the positive control

Table B.3 — Grading of test material

Scale	Description
0	Non cytotoxic
1	Moderately cytotoxic
2	Severely cytotoxic

# **B.6 Test report**

The test report shall include the following information:

- a) cell line used;
- b) culture medium used;
- c) details of the test material;
- d) details of the test material preparation;
- e) details of positive and negative controls;
- f) the percentage of cell viability, compared to the negative control;
- g) results of the assessment.

# Annex C (informative)

# **Acute toxicity testing**

The classical  $LD_{50}$  method has been found to be unnecessary and unacceptable on animal welfare grounds. The fixed dose Procedure (OECD Guideline  $420^{[11]}$ ), the Stepwise Procedure (OECD Guideline  $423^{[12]}$ ) and the "Up-and-Down" Procedure (OECD Guideline  $425^{[13]}$ ) have been validated for use as a regulatory acute toxicity test.

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