

Standard Specification for Design and Construction of a Small Unmanned Aircraft System (sUAS)¹

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1. Scope

- 1.1 This specification defines the design, construction, and test requirements for a small unmanned aircraft system (sUAS).
- 1.2 This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety and health practices and determine the applicability of regulatory limitations prior to use.

2. Referenced Documents

- 2.1 ASTM Standards:²
- F2908 Specification for Aircraft Flight Manual (AFM) for a Small Unmanned Aircraft System (sUAS)
- F2909 Practice for Maintenance and Continued Airworthiness of Small Unmanned Aircraft Systems (sUAS)
- F2911 Practice for Production Acceptance of a Small Unmanned Aircraft System (sUAS)
- F3002 Specification for Design of the Command and Control System for Small Unmanned Aircraft Systems (sUAS)
- F3003 Specification for Quality Assurance of a Small Unmanned Aircraft System (sUAS)
- F3005 Specification for Batteries for Use in Small Unmanned Aircraft Systems (sUAS)

3. Terminology

- 3.1 Definitions of Terms Specific to This Standard:
- 3.1.1 *continued safe flight, n*—a condition whereby a UA is capable of continued safe flight, possibly using emergency procedures, without requiring exceptional pilot skill. Upon landing some UA damage may occur as a result of a failure condition.
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- ² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

- 3.1.2 *launch and recovery load, n*—those loads experienced during normal launch and recovery of the UA.
- 3.1.3 *limit load, n*—those loads experienced in the normal operation and maintenance of the UA.
- 3.1.4 *manufacturer*, *n*—entity responsible for assembly and integration of components and subsystems to create a safe operating sUAS.
- 3.1.5 *permanent deformation*, *n*—a condition whereby a UA structure is altered such that it does not return to the shape required for normal flight.
- 3.1.6 propulsion system, n—consists of one or more power plants (for example, a combustion engine or an electric motor and, if used, a propeller or rotor) together with the associated installation of fuel system, control and electrical power supply (for example, batteries, electronic speed controls, fuel cells, or other energy supply).
- 3.1.7 small unmanned aircraft system, sUAS, n—composed of the small unmanned aircraft (sUA) and all required on-board subsystems, payload, control station, other required off-board subsystems, any required launch and recovery equipment, and command and control (C2) links between the sUA and the control station. For purposes of this standard sUAS is synonymous with a small Remotely Piloted Aircraft System (sRPAS) and sUA is synonymous with a small Remotely Piloted Aircraft (sRPA).
- 3.1.8 *structural failure*, *n*—a condition whereby the structure is not able to carry normal operating loads.
- 3.1.9 *supplier*, *n*—any entity engaged in the design and production of components (other than a payload which is not required for safe operation of the sUAS) used on a sUAS.
- 3.1.9.1 *Discussion*—Where the supplier is not the manufacturer, the supplier can only ensure that the components comply with accepted consensus standards.
- 3.2 Shall versus Should versus May—Use of the word "shall" implies that a procedure or statement is mandatory and must be followed to comply with this standard, "should" implies recommended, and "may" implies optional at the discretion of the supplier, manufacturer, or operator. Since "shall" statements are requirements, they include sufficient detail needed to define compliance (for example, threshold

values, test methods, oversight, reference to other standards). "Should" statements are provided as guidance towards the overall goal of improving safety, and could include only subjective statements. "Should" statements also represent parameters that could be used in safety evaluations, and could lead to development of future requirements. "May" statements are provided to clarify acceptability of a specific item or practice, and offer options for satisfying requirements.

4. Applicability

4.1 This standard is written for all sUAS that are permitted to operate over a defined area and in airspace authorized by a nation's governing aviation authority (GAA). It is assumed that a visual observer(s) will provide for the sense-and-avoid requirement to prevent collisions with other aircraft and that the maximum range and altitude at which the sUAS can be flown at will be specified by the nation's GAA. Unless otherwise specified by a nation's GAA this standard applies only to UA that have a maximum takeoff gross weight of 55 lb/25 kg or less.

5. Requirements

- 5.1 General:
- 5.1.1 The sUAS shall be designed and constructed to meet sUAS limitations and performance capabilities required by the nation's GAA.
- 5.1.2 The sUA shall be designed and constructed so that the maximum level flight speed cannot exceed the maximum airspeed authorized by the nation's GAA. In addition, the maximum level flight airspeed should not exceed an airspeed that would prevent the sUA from remaining within the confines of the defined operational area without excessive maneuvering or exceptional pilot skill.
- 5.1.3 The sUAS shall be designed using appropriate and reasonable engineering design and verification techniques. Test shall be conducted in accordance with section 5.11 to verify that the design requirements have been satisfied and the results of the tests recorded and available for future reference.
- 5.1.4 The sUAS shall be designed and constructed to initialize in a known, safe state when power is applied.
- 5.1.5 The sUA should be designed and constructed to minimize the likelihood of fire, explosion, or the release of hazardous chemicals, materials, and flammable liquids or gasses, or a combination thereof, in flight or in the event of a crash, hard landing, or ground handling mishap. This includes, but is not limited to: containing the fire if the sUA crashes; protecting first responders from hazards at the crash site; use of flame resistant materials; suppression of in-flight fires; and protection against battery-induced fires.
- 5.1.6 During the design process, the manufacturer shall determine the permissible range of weight and positions of the center of gravity of the sUA. The sUA shall then be designed and constructed to ensure that the center of gravity remains within this permissible weight and range for all intended payloads, fuel, batteries, and other onboard items. If removing/adding ballast is permitted, the sUAS aircraft flight manual shall include instructions with respect to loading, marking, and securing of removable ballast and ensuring the center of gravity remains within limits that can be controlled by the

- control system and ensures adequate aerodynamic stability. The aircraft flight manual shall have a method to verify or calculate CG location.
- 5.1.7 During the design process, the manufacturer shall determine the maximum takeoff gross weight and minimum operational empty weight for the sUA.
- 5.1.8 The sUAS should be designed and constructed to minimize injury to persons or damage to property during operation.
- 5.1.8.1 Designs that use exposed, rigid sharp structural objects should be minimized. For those systems that might have components capable of causing injury due to misuse or mishandling, a warning/caution statement should be added to the aircraft flight manual alerting the crew to the risk.
- 5.1.8.2 The sUA shall be designed so that the sUA will remain controllable and predictable or capable of performing a safe recovery maneuver in the event of asymmetric deployment of any single, normal control surface as well as high-lift/drag devices (trailing edge flaps, leading edge flaps or slats, spoilers, flaperons, and the like).
- 5.1.9 The sUA shall be designed and constructed so that all fasteners will remain secure over the operational and environmental range of flight conditions.
- 5.1.10 The sUA should be designed and constructed so that it is possible to determine quickly that all doors, panels, and hatches that can be opened are secured before takeoff.
- 5.1.11 *Construction*—In addition to construction requirements specified above:
- 5.1.11.1 The sUAS should incorporate materials that have the strength, corrosion resistance, and durability characteristics appropriate to the application in the design.
- 5.1.11.2 Energy absorbing structure should be used wherever possible.
- 5.1.11.3 Material strength design properties should be based on analysis or testing, or both, determined by the manufacturer/supplier that confirms these material strength design properties have been achieved. Documentation of this analysis or testing, or both, should be recorded and available at either the manufacturer's or supplier's location (as appropriate) for future reference.

5.2 Structure:

- 5.2.1 The sUA structure shall be designed and constructed so that:
- 5.2.1.1 The structure will not fail at 1.5 times the limit loads. This shall be verified either through analysis or testing as determined by the manufacturer/supplier.
- 5.2.1.2 Binding, chafing, or jamming of controls do not occur at 1.5 times the limit load threshold. This shall be verified by test.
- 5.2.1.3 The structure can withstand limit loads and launch and recovery loads without permanent deformation.
- 5.2.2 The sUA and systems required for continued safe flight shall be designed and constructed to be capable of supporting flight loads predicted by analysis or flight test to be encountered throughout the proposed flight envelope to include atmospheric gusts or evasive maneuvering loads, or both.
- 5.2.3 The sUA and systems required for continued safe flight shall be designed and constructed to withstand normal

landing impact loads without damage that would affect safety of flight of subsequent flights unless it can be maintained, repaired, and inspected as per procedures that will ensure continued safe operation.

- 5.2.4 The manufacturer shall develop and provide instructions to ensure any damage caused by shipping or handling are identified prior to flight. These instructions should normally be part of the pre-flight inspection procedures in the aircraft flight manual but may be included in other instructions as deemed necessary by the manufacturer.
 - 5.3 Propulsion:
- 5.3.1 The propulsion system (including batteries for electric power plants) shall be designed and constructed to:
 - 5.3.1.1 Operate throughout the flight envelope,
- 5.3.1.2 Conform to the installation instructions provided by the propulsion system supplier, and
- 5.3.1.3 Have a positive means to cut off ignition or fuel flow both in-flight and on the ground.
- 5.3.2 Propulsion system controls and displays at the control station shall be designed and constructed to be adequate to control the propulsion system safely under all operating conditions as determined by the manufacturer or the engine supplier, or both. Examples include:
- 5.3.2.1 Ability to be able to observe whether engine is on or off (corroborated by multiple sensors).
 - 5.3.2.2 Ability to command the engine off quickly.
- 5.3.2.3 Ability to have a multi-step safeguard in turning the engine on or off.
- 5.3.2.4 Vital engine instruments as determined by the manufacturer or engine supplier/manufacturer, or both, as necessary to properly control the engine such as: fuel flow and pressure, RPM, manifold pressure, carburetor icing detector, exhaust temperature, and cylinder head temperature for combustion engines and current, temperature, etc for electric propulsion (or other parameters applicable to the propulsion system design).

Note 1—May not be applicable for rotorcraft or manually controlled sUAS using simple model aircraft radio control equipment.

- 5.3.3 Propellers:
- 5.3.3.1 All propellers should be non-metallic.
- 5.3.3.2 Propellers (both fixed and variable pitch) should be designed to have adequate structural strength.
- 5.3.3.3 Provisions shall be made to ensure that the propulsion system shaft and propeller rotational speed do not exceed the value specified by the supplier.
- 5.3.4 The propulsion system should be designed to minimize failure for reasons other than insufficient fuel or electrical power and to support normal operations throughout the anticipated lifecycle of the system or until reaching the manufacturer/supplier-determined inspection or replacement interval.
- 5.3.5 *Fuel and Oil Systems*—For sUA using a combustion propulsion system:
- 5.3.5.1 The fuel and oil systems shall be designed and constructed to be capable of supplying fuel and oil to the power plant throughout the entire flight envelope at the required rate and pressure specified by the propulsion system supplier;

- 5.3.5.2 The fuel and oil systems shall be designed so that there is a means of determining the amount of fuel and oil on board when the UA is on the ground, whether via internal sUA systems or external means;
- 5.3.5.3 Piping, fittings, valves, O-rings, and gaskets used shall be resistant to deterioration caused by fuel, oil, and lubricating grease;
- 5.3.5.4 Each fuel system and oil system shall be designed to be able to withstand 1.5 limit loads; and
- 5.3.5.5 Each fuel system (excluding bladder type systems) shall be designed so that it is vented to the atmosphere and can be drained when the aircraft is on the ground.
- 5.3.6 *Cooling*—Not all sUA require a cooling system. However, if one is necessary the following requirements apply:
- 5.3.6.1 The cooling system shall be designed and constructed to ensure adequate cooling of the power plant at the highest ambient temperatures expected during maximum climb rate and cruise operations of the sUA.
- 5.3.6.2 The cooling system should be designed and constructed so that any air induction system filters can be inspected, serviced, or replaced, or a combination thereof, as part of routine maintenance as specified by the manufacturer.
- 5.3.6.3 Where necessary to maintain a safe operating temperature, naturally aspirated cooling shall be supplemented by an appropriate cooling method.
- 5.3.7 The exhaust system shall be designed and constructed to ensure that hot exhaust gases do not impinge directly on nearby unprotected surfaces.
- 5.3.8 For combustion engine power plants, the system shall include:
- 5.3.8.1 An ignition switch incorporated into the controls available at the control station, and
- 5.3.8.2 A means of interrupting engine ignition on the aircraft to permit external operation to shut down the engine when the aircraft is on the ground.
- 5.3.9 For aircraft using electric power plants, the system shall include:
- 5.3.9.1 A master switch or other means (for example, removing battery) mounted on the aircraft to permit external operation to shut down the power plant when the aircraft is on the ground and
- 5.3.9.2 A means to permit the operator to determine the capacity remaining in the batteries.
- 5.3.10 *Batteries*—Refer to Specification F3005 for design requirements.
- 5.4 Command and Control (C2) Link—Refer to Specification F3002 for design requirements.
 - 5.5 Data Link—Reserved.
 - 5.6 Systems and Equipment:
- 5.6.1 All system components shall be designed and constructed to:
 - 5.6.1.1 Be appropriate to their intended function and
 - 5.6.1.2 Function properly when installed.
- 5.6.2 The sUAS design may include an air data system based upon a pitot-static system installed on the aircraft. If a pitot-static system is installed it should be calibrated at an interval defined by the manufacturer.

- Note 2—May not be applicable for rotorcraft or manually controlled sUAS using simple model aircraft radio control equipment.
- 5.6.3 Flight, Navigation, and Power Plant Displays—The sUAS should be capable of down linking data concerning flight, power plant, and navigation parameters as identified in 5.6.4.
- Note 3—May not be applicable for manually controlled sUAS using simple model aircraft radio control equipment.
- 5.6.4 The control station shall provide the pilot with all information required for accurate control of the sUAS. Refer to Specification F3002 for design requirements.
- Note 4—May not be applicable for manually controlled sUAS using simple model aircraft radio control equipment.
- 5.6.5 Equipment, Systems, and Installation—Each item of equipment, each system, and each installation shall be designed and constructed so that when performing its intended function, it does not adversely affect the response, operation, or accuracy (as specified by the manufacturer) of any equipment required for the safe operation of the sUAS.
- 5.6.6 The system should be designed and constructed so that the aircraft remains controllable or automatically initiates a predictable and safe maneuver in the event of the failure of any flight critical component or system.
- 5.6.7 *Automatic Pilot*—Any automatic pilot shall be designed and constructed so that it is possible for the operator to assume manual control of the trajectory of the aircraft at any time during the flight or ground handling.
- Note 5—This is not to be interpreted as mandating that the pilot shall be able to engage true stick to surface control at any time.
 - 5.6.8 Electrical System:
- 5.6.8.1 An electrical load analysis shall be performed to ensure that electrical bus loads and capacity are adequate to power all aircraft systems and installed payloads.
- 5.6.8.2 The electrical system shall be designed and constructed so that:
- (1) There is a means to enable the operator to determine the correct operation of the electrical system, including correct operation of any generator;
- (2) Circuit protective devices are incorporated where necessary to ensure that wiring is not overloaded;
 - (3) Electrical wiring and cables have adequate capacity;
- (4) Loosening of connections over the range of vibrations expected is prevented; and
- (5) If there is provision for applying external electrical power to the aircraft when on the ground, connection points are adequately labeled with respect to current and voltage and polarity limitations.
- 5.6.9 *Anti-Collision Lights*—Anti-collision lights shall be installed and functional for night operations.
 - 5.6.10 Landing Gear:
- 5.6.10.1 For sUA that use conventional landing, the landing gear shall be designed and constructed to accommodate normal landing impact loads without damage to the structure.
- 5.6.10.2 If the landing gear is retractable and its status cannot be confirmed visually, there shall be an indicator or display at the control station to advise the operator that the landing gear is:

- (1) Securely locked down before landing and
- (2) Stowed securely in the correct position for flight when landing gear is selected up.
- 5.7 Payloads—All payloads shall be designed and constructed so that the safe operation of the sUAS is not prevented by electronic emissions, weight/location, or other characteristics of the payload. This is the responsibility of the manufacturer if the payload is provided as part of the delivered system. If the manufacturer allows additional supplier provided payloads to be installed in the field by the operator then the manufacturer shall provide guidance to the operator as to how to verify that this requirement has been met.
- 5.8 *Control Station*—Refer to Specification F3002 for design requirements.
- 5.9 Launch and Recovery System—Any required launch and recovery system shall be designed, constructed, and tested in accordance with an appropriate consensus standard.
 - 5.10 System Level:
- 5.10.1 Stalls (aerodynamic departure from controlled flight):

Note 6—Not applicable for rotorcraft or sUAS manually controlled using simple model aircraft radio control equipment.

- 5.10.1.1 For sUA that are not equipped with automatic stall protection, a means shall be provided to warn the pilot when the aircraft is approaching the stall. The warning shall be available to the pilot and be an audible or distinctive tone or a flashing visual indicator, or a combination thereof, and shall be initiated when the aircraft is no less than 10 % above the stall speed/angle of attack.
- 5.10.1.2 The manufacturer shall design the sUAS so that recovery from any departure from safe flight can be accomplished with a single specific action that positively returns the aircraft to controlled flight.
- 5.10.2 If the sUAS is equipped with an automatic departure prevention capability, that subsystem shall be shown to have appropriate reliability even to the extent of having battery power independent of the primary power system or to the extent of protecting the power to the departure-prevention subsystem such that failures in other subsystems do not cut power to the departure-prevention subsystem. The minimum selectable speed on the control station shall be limited to an airspeed equivalent to 1.1 times the stall speed in level flight at maximum gross take off weight.
 - 5.10.3 Performance with One Power Plant Inoperative:
- 5.10.3.1 For sUA with more than one power plant, the sUA shall be designed and constructed so that in the event of power plant failure:
- (1) The aircraft remains controllable when one power plant fails if flight cannot be sustained with the remaining power plant(s), and
- (2) The descent flight path can be controlled from the control station, or
- (3) The system defaults to a safe automated recovery procedure.
- Note 7—A power shutdown command to the remaining power plant(s) is an acceptable automated recovery procedure.

- 5.10.3.2 For single power plant aircraft, the system shall be designed and constructed so that in the event of power plant failure:
- (1) The descent flight path can be controlled from the control station or
- (2) The system defaults to a safe automated recovery procedure.
- 5.10.4 *Stability*—Except for rotorcraft and those sUA that depend upon a stability augmentation system, the aircraft shall be designed to be longitudinally, directionally, and laterally positively statically stable for all weight and CG positions in the operational flight envelope.
- 5.10.5 Departure from controlled flight (stall, spin, uncommanded control inputs, etc) shall be known to the operator at all times during flight.

5.11 *Test:*

- 5.11.1 Tests shall be conducted to verify that appropriate sUAS design and construction requirements above have been satisfied.
- 5.11.2 *Operating Limitations*—During the test program the manufacturer shall determine and document in the aircraft flight manual appropriate operating limitations and other information necessary for safe operation of the system. This shall include any wind limitations as well as features of the control station and the C2 link functions of the system.
- 5.11.3 The manufacturer shall verify the proper completion of each ready-to -sUAS by conducting a final system test in accordance with the requirements below. The following ground check and flight test procedures shall be conducted and documented for each ready-to-fly sUAS.
- 5.11.3.1 *Ground check*—Before flight-testing, the manufacturer shall conduct a thorough ground inspection of each sUAS produced to verify at least the following:
- 5.11.3.2 Weight and balance—Empty weight and proper center of gravity location has been calculated or measured and verified to be within limits, or can be verified based on quality control procedures where aircraft of like type design and configuration are being manufactured.
- 5.11.3.3 *Systems check*—The proper function of all switches and circuits, instrumentation, brakes, and any other appropriate systems shall be verified.
- 5.11.3.4 Flight controls check—All flight controls shall be checked for smooth and proper function and proper maximum deflections. The safe operating range of C2 link(s) shall be verified in accordance with Specification F3002.
- 5.11.3.5 *Propulsion system check*—Propulsion system checks and procedures shall be performed, as applicable to the design, to verify:
- (1) Proper propulsion system installation, (for example, spark ignition, turbine, electric);
 - (2) Proper servicing of any propulsion system fluids;
 - (3) No apparent fuel, oil, or coolant leaks;
 - (4) Propeller installation and pitch adjustment;
- (5) Performance of a propulsion system "run-in" with adjustments;
- (6) Tachometer indicates propulsion system idle revolutions per minute and maximum static revolutions per minute are within supplier/manufacturer published limits;

- (7) Proper function of propulsion system instrumentation or speed control, or both;
 - (8) Proper function of ignition system(s); and
 - (9) Proper function of all battery system(s).
- 5.11.3.6 *Placards Check*—The sUAS shall be checked to verify that all placards and switch markings are in place, as applicable.
- 5.11.3.7 *Preflight Inspection*—The following shall be verified:
- (1) All required documentation shall be available at the control station.
- (2) All visible surfaces are free of deformation, distortion, or other evidence of failure or damage.
- (3) Inspection of all visible fittings and connections for defective or unsecure attachment.
- (4) Complete walk-around/pre-flight inspection in accordance with the aircraft flight manual.
 - (5) All doors and panels are closed and locked.
- 5.11.3.8 *Taxi Test*—After completion of the ground check, a taxi test, if appropriate, shall be conducted to verify as applicable:
 - (1) Brake function,
 - (2) Landing gear tracking and steering, and
- (3) Proper compass readings, to be verified by a reference, and corrected.
- 5.11.3.9 Flight Test—Safe flight operation of each completed sUAS shall be verified, as applicable, to include acceptable handling and control characteristics, stall characteristics, propulsion system operation, airspeed indications, and overall suitability for normal flight in accordance with the aircraft flight manual. The flight test procedure, at a minimum, shall include recorded verification of the following:
- (1) Takeoff runway wind, outside air temperature, and pressure altitude;
- (2) Demonstration of safe takeoff for the operating conditions specified for the sUAS;
 - (3) Demonstration of safe climb out;
- (4) Appropriate response to flight controls in all configurations;
- (5) Demonstration of safe recovery from stall, including verification of appropriate stall warning and stall recovery characteristics.

Note 8—Not applicable for rotorcraft or sUAS manually controlled using simple model aircraft radio control equipment.

- (6) Demonstration that there are not any unexpected or abnormal performance or handling characteristics; and
 - (7) Proper propulsion system operating temperatures.
- 5.12 *Quality Assurance*—Requirements in Specification F3003 shall be adhered to for sUAS that are designed and constructed per this standard.
 - 5.13 Documentation:
 - 5.13.1 Design:
- 5.13.1.1 The manufacturer shall retain documentation of appropriate engineering design data and verification results including data showing compliance with this standard.

- 5.13.1.2 All design documentation may be developed in the manufacturer's format or using best available documentation practices (for example, engineering notebook format).
- 5.13.1.3 For multiple systems that are designed and constructed per this standard, the manufacturer should comply with documentation requirements contained in Practice F2911.
 - 5.13.1.4 *sUAS Manual(s)*:
- (1) An aircraft flight manual shall be developed in accordance with Specification F2908.
- (2) If not included in the aircraft flight manual, a maintenance manual shall be developed in accordance with Practice F2909 as required to assure continued airworthiness.
- (3) Other maintenance manuals—Other maintenance manuals may be prepared if desired or required by the manufacturer or the nation's governing aviation authority or both. If such documents are required or desired they may be prepared in manufacturer's normal accepted format.

- 5.13.2 *Construction*—The supplier/manufacturer shall retain appropriate drawings and schematics used to build the sUAS and shall retain the results of appropriate acceptance testing until the sUAS is no longer in production or until support is no longer being provided.
- 5.13.3 *Test*—The manufacturer shall retain documentation of appropriate verification results including data showing compliance with this standard and shall retain the results of appropriate acceptance testing until the sUAS is no longer in production or until support is no longer being provided.
- 5.13.4 *Quality Assurance*—Adhere to documentation requirements in Specification F3003.

6. Keywords

6.1 small unmanned aircraft; sUA; small unmanned aircraft system; sUAS

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