

Standard Specification for Acetabular Prostheses¹

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1. Scope

- 1.1 This specification covers acetabular resurfacing devices used to provide a functioning articulation between the bones of the acetabulum and the femur.
- 1.2 This specification is intended to provide basic descriptions of materials and device geometry. Additionally, those characteristics determined to be important to *in vivo* performance of the device are defined.
- 1.3 Acetabular prostheses included within the scope of this specification are intended for fixation by press-fit between the prosthesis and host bone, the use of bone cement, the use of bone screws or similar means of mechanical fixation, or through biological fixation of host bone and/or soft connective tissue into a porous surface.
- 1.4 Custom (designed explicitly for a single patient), revision, or constrained acetabular prostheses are not covered within the scope of this specification.
- 1.5 This specification does not cover the details for quality assurance, design control, production control contained in 21 CFR 820 (Quality System Regulation) and ISO 9001.

2. Referenced Documents

- 2.1 ASTM Standards:²
- F67 Specification for Unalloyed Titanium, for Surgical Implant Applications (UNS R50250, UNS R50400, UNS R50550, UNS R50700)
- F75 Specification for Cobalt-28 Chromium-6 Molybdenum Alloy Castings and Casting Alloy for Surgical Implants (UNS R30075)
- F86 Practice for Surface Preparation and Marking of Metallic Surgical Implants

- F90 Specification for Wrought Cobalt-20Chromium-15Tungsten-10Nickel Alloy for Surgical Implant Applications (UNS R30605)
- F136 Specification for Wrought Titanium-6Aluminum-4Vanadium ELI (Extra Low Interstitial) Alloy for Surgical Implant Applications (UNS R56401)
- F138 Specification for Wrought 18Chromium-14Nickel-2.5Molybdenum Stainless Steel Bar and Wire for Surgical Implants (UNS S31673)
- F562 Specification for Wrought 35Cobalt-35Nickel-20Chromium-10Molybdenum Alloy for Surgical Implant Applications (UNS R30035)
- F601 Practice for Fluorescent Penetrant Inspection of Metallic Surgical Implants
- F603 Specification for High-Purity Dense Aluminum Oxide for Medical Application
- F629 Practice for Radiography of Cast Metallic Surgical Implants
- F648 Specification for Ultra-High-Molecular-Weight Polyethylene Powder and Fabricated Form for Surgical Implants
- F746 Test Method for Pitting or Crevice Corrosion of Metallic Surgical Implant Materials
- F748 Practice for Selecting Generic Biological Test Methods for Materials and Devices
- F799 Specification for Cobalt-28Chromium-6Molybdenum Alloy Forgings for Surgical Implants (UNS R31537, R31538, R31539)
- F981 Practice for Assessment of Compatibility of Biomaterials for Surgical Implants with Respect to Effect of Materials on Muscle and Bone
- F983 Practice for Permanent Marking of Orthopaedic Implant Components
- F1044 Test Method for Shear Testing of Calcium Phosphate Coatings and Metallic Coatings
- F1108 Specification for Titanium-6Aluminum-4Vanadium Alloy Castings for Surgical Implants (UNS R56406)
- F1147 Test Method for Tension Testing of Calcium Phosphate and Metallic Coatings
- F1160 Test Method for Shear and Bending Fatigue Testing of Calcium Phosphate and Metallic Medical and Composite Calcium Phosphate/Metallic Coatings

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² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.



- F1185 Specification for Composition of Hydroxylapatite for Surgical Implants
- F1377 Specification for Cobalt-28Chromium-6Molybdenum Powder for Coating of Orthopedic Implants (UNS R30075)
- F1472 Specification for Wrought Titanium-6Aluminum-4Vanadium Alloy for Surgical Implant Applications (UNS R56400)
- F1537 Specification for Wrought Cobalt-28Chromium-6Molybdenum Alloys for Surgical Implants (UNS R31537, UNS R31538, and UNS R31539)
- F1580 Specification for Titanium and Titanium-6 Aluminum-4 Vanadium Alloy Powders for Coatings of Surgical Implants
- F1714 Guide for Gravimetric Wear Assessment of Prosthetic Hip Designs in Simulator Devices
- F1820 Test Method for Determining the Forces for Disassembly of Modular Acetabular Devices
- F1978 Test Method for Measuring Abrasion Resistance of Metallic Thermal Spray Coatings by Using the Taber Abraser
- F2033 Specification for Total Hip Joint Prosthesis and Hip Endoprosthesis Bearing Surfaces Made of Metallic, Ceramic, and Polymeric Materials
- F2565 Guide for Extensively Irradiation-Crosslinked Ultra-High Molecular Weight Polyethylene Fabricated Forms for Surgical Implant Applications
- F2582 Test Method for Impingement of Acetabular Prosthe-
- 2.2 ISO Standards:
- ISO 5832-1, -3, -4, -9, -12, -12/Cor:1, -14 Implants for surgery—Metallic materials for surgical implants³
- ISO 5834-1, -2, -3, -4, -5 Implants for surgery—Ultra high molecular weight polyethylene³
- ISO 6474-1 Implants for surgery—Ceramic materials based on high purity alumina³
- ISO 6474-2 Implants for surgery—Ceramic materials—Part
 2: Composite materials based on a high-purity alumina matrix with zirconia reinforcement³
- ISO 9001 Quality systems—Model for quality assurance in design/development, production, installation, and servicing³
- ISO 14242-1 Implants for surgery—Wear of total hip-joint prostheses—Part 1: Loading and displacement parameters for wear-testing machines and corresponding environmental conditions for test³
- ISO 14242-2 Implants for surgery—Wear of total hip-joint prostheses—Part 2: Methods of measurement³
- ISO 14242-3 Implants for surgery—Wear of total hip joint prostheses—Part 3: Loading and displacement parameters for orbital bearing type wear testing machines and corresponding environmental conditions for test³
- ISO 21535 Non-active surgical implants—Joint replacement implants—Specific requirements for hip-joint replacement implant³

2.3 Code of Federal Regulations:
 21 CFR 820 Quality System Regulation⁴

3. Terminology

- 3.1 Definitions:
- 3.1.1 *bearing element, n*—articulating surface element between the femoral head and shell or bonding agent (bone cement).
- 3.1.2 *cavity, n*—any slot, cut, hole, or other feature within the shell intended to accommodate modular adjunct fixation elements; instruments for insertion, extraction, and so forth; or for manufacturing purposes.
- 3.1.3 fixation element, n—any peg, spike, threadform, or other protrusion from the exterior surface of the shell intended to increase the surface contact or mechanical interlock between the component, the bonding agent, the natural acetabulum, or a combination thereof.
- 3.1.4 *flange*, *n*—rim extending from the entry diameter of bearing element.
- 3.1.5 porous surface, n—a region on the exterior surface of the shell characterized by interconnecting subsurface pores, generally with volume porosity between 30 and 70 %, average pore size between 100 and 1000 μ m, and a thickness between 500 and 1500 μ m. This porous layer may be manufactured directly into the device by casting or by various electro/chemical/thermal/mechanical means, or applied as a coating of particles, beads, or mesh by processes such as sintering or plasma spray.
- 3.1.6 *radiographic marker*, *n*—nonstructural, generally thin wire, designed to be apparent on X-rays taken after placement of implants that otherwise would be unapparent on such X-rays.
- 3.1.7 retention element, n—any ring, taper, wire, or other protrusion or cavity from the interior surface of the shell or the exterior surface of the bearing element that is intended to affix the bearing element to the shell.
- 3.1.8 *shell*, *n*—metal structure supporting the articulating surface material, and which may be fixed rigidly to the articulating surface or fixed such that it allows the articulating surface to rotate or translate.
- 3.1.9 *surface texturing*, *n*—repetitive or random deviations from the nominal surface that forms the three dimensional topography of the surface.
- 3.2 Dimensions of acetabular prostheses should be designated in accordance with Figs. 1-3 or by an equally acceptable and detailed method.

Note 1—Figs. 1-3 are intended to be illustrative of typical acetabular prostheses and to designate dimensions, but representation of the components does not otherwise form part of the standard.

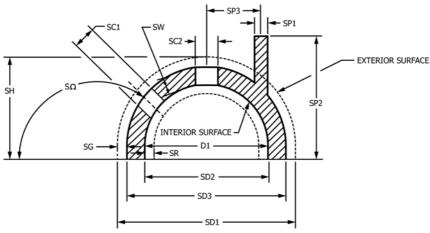
4. Types

4.1 Acetabular prostheses falling within the scope of this specification are of two types, as defined below. There are no

³ Available from International Organization for Standardization (ISO), 1, ch. de la Voie-Creuse, CP 56, CH-1211 Geneva 20, Switzerland, http://www.iso.org.

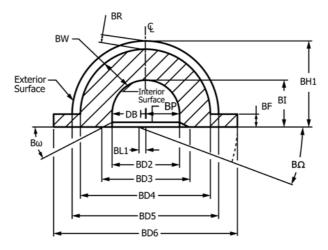
⁴ Available from Standardization Documents Order Desk, DODSSP, Bldg. 4, Section D, 700 Robbins Ave., Philadelphia, PA 19111-5098, http://dodssp.daps.dla.mil.





SD2 SD3 SC1	Diameter of spherical socket Retentive or nonretentive entry diameter Effective spherical external diameter Dome cavity diameter (when present) Apical cavity diameter (when present)		Retention element distance from SD2 Overall height Angle from shell face to SC1 center Fixation element width or diameter Fixation element height
SC2 SW	Apical cavity diameter (when present) Minimum wall thickness Surface texture or coating depth	SP2	Fixation element height Distance to fixation element from shell face

FIG. 1 Shell Cross Section



Key:			
BD2	Retentive or nonretentive entry diameter	BH1	Distance from bearing element face
BD3	Relief diameter (entry chamfer, if present,		to dome
	need not extend through the whole	BI	Inside depth
	circumference)	BR	Retention element depth
BD4	Effective spherical external diameter	Βω	Angle of chamfer element from BD2
BD5	Outside diameter of the bearing element		to BD3
BD6	Flange diameter (when present)	$B\Omega$	Angle of augmentation of an
BP	Depth of BD2		extended lip
BW	Minimum wall thickness	BL1	Offset of β from center of BD4
BF	Flange thickness (when present)		

FIG. 2 Bearing Element Cross Section

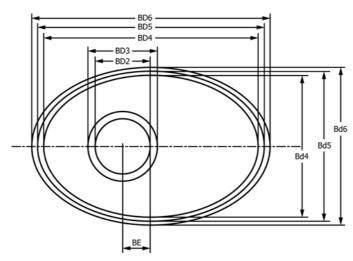
distinguishing features (for example, augmentation or lack thereof, holes, and so forth) that would exempt any device from any requirement of this specification.

- 4.1.1 *Type I*—Single-piece acetabular prostheses.
- Note 2—Specifications to both bearing elements and shell may apply.
- 4.1.2 *Type II*—Multipiece, modular structure prostheses.

5. Material

5.1 The choice of materials is understood to be a necessary, but not sufficient, assurance of function of the device made from them. All devices conforming to this specification shall be fabricated from materials with adequate mechanical strength and durability, corrosion resistance, and biocompatibility.





Key: BD2 Retentive or nonretentive entry diameter Minor effective spherical Bd4 BD3 Relief diameter (entry chamfer, if present, external diameter need not extend through the whole Bd5 Minor external diameter circumference) Bd6 Minor flange diameter (when BD4 Effective spherical external diameter present) BD5 Major external diameter BE Eccentricity BD6 Major flange diameter (when present)

FIG. 3 Plan View of Oval/Eccentric Configuration of Bearing Element and Shell

- 5.1.1 *Mechanical Strength*—Various components of acetabular prostheses have been successfully fabricated from the following materials: See Specifications F67, F75, F90, F136, F138, F562, F603, F648, F799, F1108, F1185, F1377, F1472, F1537, F1580; and ISO 5832-1, -3, -4, -9, -12, -12/Cor:1, -14, ISO 5834-1, -2, -3, -4, -5 and ISO 6474-1, -2. However, not all of these materials may possess sufficient mechanical strength for critical highly stressed components nor for articulating surfaces. Associated standards include Practices F601 and F629 and Guide F2565.
- 5.1.2 Corrosion Resistance—Materials with limited or no history of successful use for orthopaedic implant application shall be determined to exhibit corrosion resistance equal to or better than one of the materials listed in 5.1.1 when tested in accordance to Test Method F746.
- 5.1.3 *Biocompatibility*—Materials with limited or no history of successful use for orthopaedic implant application shall be determined to exhibit acceptable biological response equal to or better than one of the materials listed in 5.1.1 when tested in accordance to Practices F748 and F981 for a given application.

6. Performance Requirements

- 6.1 Structural Requirements—Acetabular prostheses conforming to this specification shall be capable of withstanding normal static and dynamic loading in the physiological range. It shall also demonstrate wear rates substantially equivalent to or less than sterile ultra-high-molecular-weight polyethylene (Specification F648) with a cobalt chromium couple. See Test Method F1820 and Guides F1714 and F2565.
- 6.2 Metal and Ceramic Coating or Surface Texture Integrity—The coating shall be free of detrimental blisters, delaminations, contamination, or poorly defined coating boundaries when viewed with no magnification. It shall not fail

by spalling, cracking, detrimental material loss, or detrimental degradation. The following test methods shall be used (if applicable): Test Methods F1044, F1147, F1160, and F1978.

Note 3—In situations in which these tests may not be considered appropriate, other test methods may be considered.

6.3 There are relevant failure modes listed below which, at a minimum, shall be considered in the evaluation of the safety and efficacy of an acetabular prosthesis. The failure modes may be addressed through relevant physical testing, or analytical analysis (for example, internal stress analysis as a result of loading).

Note 4—There is no current ASTM standard for analytical analysis, but this is an important consideration. Testing may encompass some combination of static and dynamic loading environments.

- 6.3.1 Component Disassociation—Devices made from multiple layers or components have disassociated under clinical use (for example, articulating surface from the shell). See Test Method F1820.
- 6.3.2 *Fixation Failure*—Devices have loosened at the interface with the bone or bone cement. Fixation elements have failed.
- 6.3.3 *Device Fracture*—Partial or complete fracture of either the bearing element or the shell.
- 6.3.4 Articular Surface Wear—Acetabular prostheses have failed because of excessive wear through the bearing element resulting in particle debris (see Guide F1714).
- 6.3.4.1 Wear Test Methods—Functional (simulated) wear tests of the device may be performed to evaluate the wear of the acetabular bearing surface, according to ISO 14242–1 or ISO 14242–3. Since it is unlikely that one set of test conditions can simulate all aspects of hip function, it is recommended that various test conditions be considered. Consideration may be



given to effects such as third-body abrasive interaction, high cup angle, micro-separation, stop-dwell-start (stiction), and higher loading conditions.

6.3.4.2 Evaluation of Wear may be performed using gravimetric techniques and changes in dimensional form (the latter being applicable to hard-on-hard articulating surfaces only) in accordance with ISO 14242–2. Consideration may also be given to other evaluation methods such as semiquantitative measures of damage assessment and measurement of friction factors.

7. Dimensions

- 7.1 Acetabular prostheses conforming to this specification should be fabricated in accordance with the general configuration illustrated in Figs. 1-3.
- 7.2 If one of the components is not radiopaque, it may be appropriately marked for radiographic evaluation. Radiographic markers have been used in the past and are considered noncritical and may not be necessary. If a radiographic marker is used, it should be placed in a noncritical area to avoid degrading the structural and functional properties of the device.

8. Finish and Appearance

- 8.1 *Bearing Element Finish*—Acetabular prostheses conforming to this specification shall be finished in accordance with Specification F2033.
- 8.2 In accordance with Practices F86 and F983, items conforming to this specification shall be marked as follows in order of priority where space permits: manufacturer, material, lot number, catalog number, and size. Additional information may include a designation for alignment.

9. Supplementary Requirements

- 9.1 Sterilization:
- 9.1.1 The component shall receive a dosage/exposure sufficient to assure sterility. The dose/exposure shall be applied so as to maintain the functional geometry of the component.
- 9.1.2 The packaging materials of all components that are to be resterilized shall be discarded and the component repackaged before sterilization.

10. Keywords

10.1 acetabulum; arthroplasty; prosthesis

APPENDIX

(Nonmandatory Information)

X1. RATIONALE STATEMENT

- X1.1 The objectives of this specification are to establish common terminology, define currently acceptable materials, set forth dimensional requirements, and provide guidelines for mechanical performance for acetabular components used for total hip replacement. The devices are intended for use in patients who are skeletally mature, under conditions of imposed dynamic loads, in a corrosive environment, with virtually continuous motion at the bearing surfaces.
- X1.2 Total hip replacement parts are intended for use in patients who are skeletally mature and have degeneration of both the femoral head and the acetabulum. The requirements of this specification are based upon more than 40 years of successful clinical experience with this type of implant. They identify those factors recognized to affect prosthesis performance and longevity. It is recognized, however, that failure of an arthroplasty can occur as a result of factors completely unrelated to the characteristics of the prosthesis.
- X1.3 This specification identifies those factors felt by the committee to be important to provide useful, safe prosthesis life. Specific performance limits are drawn from *in vitro* data on devices and materials that have shown acceptable clinical experience.
- X1.4 It is recognized that failures of an arthroplasty can occur even though the components are intact. This is true owing to the composite which is the goal of the surgical procedure, consisting of the implant components, host bone, and surrounding tissue and body fluids. Failure of the proce-

dure may occur solely as a result of host factors not at all influenced by properties of the device components.

- X1.5 Range of Motion—In addition, failures as a result of limited range of motion may be caused by inappropriate sizing of implants, malpositioning of implants, and the influence of soft tissue. It is strongly recommended that a range of motion analysis be conducted on the "worst-case" acetabular component, femoral head, and stem combinations. See Test Method F2582 and ISO 21535.
- X1.6 Performance Requirements—Laboratory testing, even with accurately simulated imposed loading, a corrosive environment of electrolytes, and complex constituents of body fluids, cannot accurately predict performance over many decades of use *in vivo*. *In vivo* performance is influenced by many factors including patient weight, activity, and so forth.
- X1.7 Materials—The materials listed in 5.1 document the state of the art of those clinical uses for this application as of the time of initial approval of this specification. Use of these materials does not, in and of itself, guarantee a successful design, and use of other materials may be equally successful. The necessary corrosion resistance and biocompatibility requirements provide baseline assurance for the acceptance of new materials by the body.
- X1.8 Dimensions and Tolerances—Dimensions and tolerances are described by standard ANSI documents for engineering design for sphericity, concentricity, and surface finish.



Because of the modularity of designs, standard nomenclature and dimensioning of parts should be assured to assist the surgeon in selecting appropriate matching components. X1.9 Finishing and Appearance—The information listed in 8.2 was felt by the committee to be necessary to assist the surgeon in assuring the implantation of the proper device and in assisting in the proper analysis of explanted devices.

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