



Standard Practice for Making Reference Glass-Metal Sandwich Seal and Testing for Expansion Characteristics by Polarimetric Methods¹

This standard is issued under the fixed designation F144; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ϵ) indicates an editorial change since the last revision or reapproval.

1. Scope

1.1 This practice covers the preparation and testing of a reference glass-metal sandwich seal for determining stress in the glass or for determining the degree of thermal expansion (or contraction) mismatch between the glass and metal. Tests are in accordance with Test Method [F218](#) (Section 2).

1.2 This practice applies to all glass and metal (or alloy) combinations normally sealed together in the production of electronic components.

1.3 The practical limit of the test in deriving mismatch is approximately 300 ppm, above which the glass is likely to fracture.

1.4 *This standard does not purport to address all of the safety problems, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety and health practices and determine the applicability of regulatory limitations prior to use.*

2. Referenced Documents

2.1 *ASTM Standards:*²

[F15](#) Specification for Iron-Nickel-Cobalt Sealing Alloy

[F30](#) Specification for Iron-Nickel Sealing Alloys

[F31](#) Specification for Nickel-Chromium-Iron Sealing Alloys

[F47](#) Test Method for Crystallographic Perfection of Silicon by Preferential Etch Techniques (Withdrawn 1998)³

[F79](#) Specification for Type 101 Sealing Glass

[F105](#) Specification for Type 58 Borosilicate Sealing Glass

[F218](#) Test Method for Measuring Optical Retardation and Analyzing Stress in Glass

[F256](#) Specification for Chromium-Iron Sealing Alloys with

18 or 28 Percent Chromium

3. Summary of Practice

3.1 Seals of a standard configuration are prepared from representative specimens of the glass and metal to be tested. The glass and metal are cleaned, treated, and sized to specified proportions. Plane-interfaced seals are formed, annealed, and measured for residual optical retardation. The stress parallel to the interface in each seal is calculated from the optical retardation, and the average stress and thermal expansion mismatch are computed for the sample.

4. Significance and Use

4.1 The term “reference” as employed in this practice implies that either the glass or the metal of the reference glass-metal seal will be a “standard reference material” such as those supplied for other physical tests by the National Institute of Standards and Technology, or a secondary reference material whose sealing characteristics have been determined by seals to a standard reference material (see NBS Special Publication 260). Until standard reference materials for seals are established by the NIST, secondary reference materials may be agreed upon between manufacturer and purchaser.

5. Apparatus

5.1 *Polarimeter*, as specified in Test Method [F218](#) for measuring optical retardation and analyzing stress in glass.

5.2 *Cut-Off Saw*, with diamond-impregnated wheel and No. 180 grit abrasive blade under flowing coolant for cutting and fine-grinding glass rod.

5.3 *Glass Polisher*, buffing wheel with cerium oxide polishing powder or laboratory-type equipment with fine-grinding and polishing laps.

5.4 *Heat-Treating and Oxidizing Furnaces*, with suitable controls and with provisions for appropriate atmospheres (Annex A1) for preconditioning metal, if required.

5.5 *Sealing Furnace*, radiant tube, muffle or r-f induction with suitable controls and provision for use with inert atmosphere.

5.6 *Annealing Furnace*, with capability of controlled cooling.

¹ This practice is under the jurisdiction of ASTM Committee C14 on Glass and Glass Products and is the direct responsibility of Subcommittee C14.04 on Physical and Mechanical Properties.

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² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard’s Document Summary page on the ASTM website.

³ The last approved version of this historical standard is referenced on www.astm.org.

5.7 *Ultrasonic Cleaner*, optional.

5.8 *Fixture for Furnace Sealing*, design as suggested in Annex A2.

5.9 *Micrometer Caliper*, with index permitting direct reading of 0.02 cm.

5.10 *Immersion Mercury Thermometer*.

6. Materials

6.1 *Metal*—Five representative specimen pairs of the metal from either rod or plate stock with dimensions satisfying the requirements of 7.1. The surfaces to be sealed should be relatively free of scratches, machine marks, pits, or inclusions that would induce localized stresses. The sealing surfaces should terminate in sharp edges at the peripheral corners to act as a glass stop. Edges that are rounded, such as appear on tumbled parts, will have the tendency to permit glass overflow. The opposite faces of each plate should be parallel within 0.5°.

6.2 *Glass*—Five representative specimens of rod or plate glass, cut with either diamond-impregnated or other abrasive cutting wheels under flowing water. Dimensions (volume) must satisfy the requirements of 7.2, and the faces should be flat and parallel within 0.5° for uniform flow during sealing.

7. Test Specimens

7.1 The metal specimens may take the form of circular, square, or rectangular plates. In each case the dimension *d*, Fig. 1, designates the path along which the optical retardation in the finished seal is measured. Two identical metal plates of any of the indicated shapes are required for a seal. The thickness, *t_m*, of each plate should be at least 0.7 mm and *d/t_m* should be at least 6.

7.2 Glass with suitable optical transmission of any shape may be used, provided it flows essentially bubble-free to fill the entire volume between the metal plates as in Fig. 2. Experience indicates, however, that best results are obtained with flat glass conforming closely to the outline of the metal plates. The thickness of the glass before sealing shall be such that it equals *t_m* after sealing within 15 %. Thus, the volume of glass necessary to fill the void between the metal plates to a thickness equal to that of a single plate becomes the determining dimensional criterion for the glass.

7.3 When used as an acceptance test by producer and user, the number of test seals representing one determination shall be established by mutual agreement. However two seals are a minimum requirement for one determination.

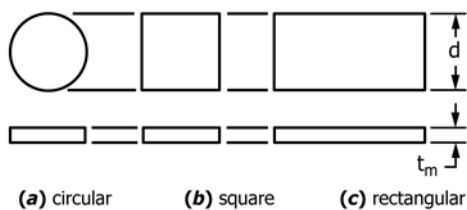


FIG. 1 Permissible Metal Shapes.

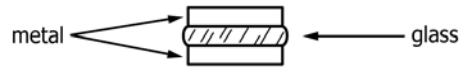


FIG. 2 General Seal Configuration.

8. Preparation of Specimens

8.1 *Metal*—Chemically clean the specimens to remove surface contaminants, especially lubricants and fingerprints from fabrication and handling. Usually it is advisable to preoxidize parts as described in Annex A1. Preoxidation promotes a better glass-to-metal bond and relieves cold working stresses.

8.2 *Glass*—Using optical glass techniques grind and polish the sealing surfaces of the glass specimens with either wet abrasive wheels or water slurries of abrasive on a lap. The polished surfaces should satisfy the dimensional criteria of 6.2 and 7.2, and be without chips, nicks, or scratches. Remove any surface contaminants which could produce bubbly seals. An ultrasonic wash may be used. See Annex A1.

9. Procedure for Making the Sandwich Seal

9.1 Record dimensions of metal plates and glass parts.

9.2 Make the seal in a furnace or by induction heating of the metal utilizing suitable specimen holders or supports under controlled conditions of temperature and time. See Annex A2.

10. Annealing

10.1 Once a symmetrical, bubble-free seal has been made, proper annealing of the seal becomes the most critical part of the procedure. It is by this operation that all stresses are relieved except those due to the difference in thermal contraction of the two materials from annealing temperature levels. This process involves heating the seal to a temperature somewhat higher than the annealing point of the glass and maintaining this temperature for a time sufficient to relieve the existing strain. The test specimen is then cooled slowly at a constant rate. As an alternative, annealing can proceed directly on cooling during the making of a seal.

10.2 Seal stress and associated expansion mismatch can be varied markedly by annealing schedule modification. For this reason, when the test is used as an acceptance specification, it is strongly recommended that producer and user mutually define the annealing schedule and establish rigid controls for its maintenance.

11. Procedure for Measuring Optical Retardation

11.1 For each specimen measure the retardation in the annealed seal due to the stress parallel to the interface according to Test Method F218.

11.1.1 Position the plane of the seal (in an immersion liquid, if needed) in a direction 45° from the direction of vibration of the polarizer and analyzer, so that the line of sight, or light path, is through the maximum glass dimension in the direction *d* shown in Fig. 1. In a circular seal, for example, this would be the diameter.

11.1.2 Determine the retardation along the light path through the glass in terms of degrees of rotation of analyzer. Rotate in a direction that causes the curved black fringes seen

within the glass to appear to merge in the center of cross section of the glass and away from the glass–metal interfaces. Rotate the analyzer so that any light or “gray” area which may exist between the fringes disappears and a dark spot, or area, is formed. This condition is termed the point of extinction.

NOTE 1—Sealing combinations may exist in which the thermal expansion coefficients of glass and metal at room temperature may differ significantly. In these cases it may be important to record the temperature of the refraction liquid (or the seal) at the time the retardation is measured.

NOTE 2—In certain glasses, especially those compositions containing more than one alkali oxide, part of the retardation observed may not be associated with the mismatch stress of interest. In these cases some structural birefringence is caused by temporary stresses at elevated temperatures. Evaluate the exact analysis of mismatch stress by completely removing the metal member by acid immersion. Read again the retardation at the same glass surface. Then algebraically subtract any residual retardation from that previously observed.

11.1.3 If an immersion liquid is used record the nominal index of refraction, n_D , of the liquid, and measure and record the temperature of the immersion liquid to the nearest 1°C using an immersion mercury thermometer.

11.1.4 Record the type of light source and the effective wavelength, L , in nanometers, of the light for which the retardation has been measured. Record the interface position and the major stress component position and sense (tension or compression) as defined in Test Method F218.

11.1.5 Measure the length d along the light path (Fig. 1) using a micrometer caliper.

12. Calculations

12.1 Calculate the retardation per unit length of each specimen as follows:

$$R = (L \times A)/(180^\circ \times d) \quad (1)$$

where:

- R = retardation per unit length, nm/cm,
- L = wavelength of light source, nm,
- A = rotation of analyzer, deg, and
- d = length of the light path through the interface, cm.

NOTE 3—In determining the light path only that length of glass sealed at the interface is considered. In a complete seal, this may be the same as d of Fig. 1, but it may be less. See A2.6 of Annex A2.

12.2 Calculate the average, \bar{R} , of the values of R for the specimens in a test lot.

12.3 For each test lot, calculate the average seal stress parallel to the interface using the relationship:

$$S = \bar{R}/K \quad (2)$$

where:

- S = stress parallel to interface, Pa,
- \bar{R} = average retardation per unit length of the test specimens, nm/cm, and

K = stress-optical coefficient of the glass, nm/cm·Pa.

NOTE 4—The stress-optical coefficient K of any reference glass shall be supplied by the producer. Values for typical sealing glasses are found in Table A1 of Specifications F79 and F105.

12.4 Calculate the thermal expansion mismatch (the differential thermal contraction between the glass and the metal from the setting point (approximately the strain point) of the glass to room temperature) as follows:

$$\delta = \frac{S(1 - kv)}{2} \left[\frac{t_g}{E_m t_m} + \frac{2}{E_g} \right] 10^6 \quad (3)$$

where:

- δ = expansion mismatch, ppm,
- t_m and t_g = thickness of metal and glass, respectively, cm,
- E_m and E_g = Young’s modulus of metal and glass, respectively, Pa,
- k = shape factor (see Fig. 3)⁴ and,
- v = composite Poisson’s ratio, given by:

$$v = \frac{\left(\frac{t_g}{2t_m} \right) v_g + \left(\frac{E_m}{E_g} \right) \left(\frac{1 + v_g}{1 + v_m} \right) v_m}{\left[\frac{t_g}{2t_m} + \left(\frac{1 + v_g}{1 + v_m} \right) \frac{E_m}{E_g} \right]} \quad (4)$$

where v_g and v_m are glass and metal Poisson’s ratios, respectively.

13. Report

13.1 The report shall include the following:

- 13.1.1 Type of metal and identification,
- 13.1.2 Type of glass and identification,
- 13.1.3 Dimensions of metal plate and glass for each specimen,
- 13.1.4 Number of specimens tested,
- 13.1.5 Annealing schedule,
- 13.1.6 Length of the light path through glass at the center of cross section near the interface for each specimen,
- 13.1.7 Stress-optical coefficient of the glass,
- 13.1.8 Type of light source and effective wavelength,
- 13.1.9 Nominal index of refraction of immersion liquid and its temperature at the time of retardation measurements or, if no immersion liquid is used, the temperature of the seal, and
- 13.1.10 Average value, range, and sense of thermal expansion mismatch.⁴

14. Keywords

- 14.1 expansion mismatch; glass-metal seals

⁴ Gulati, S. T., and Hagy, H. E., “Theory of the Narrow Sandwich Seal” and “Finite Element Analysis and Experimental Verification of the Shape Factor for Narrow Sandwich Seals,” *Journal of the American Ceramic Society*, Vol 61, 1978, pp. 260–263, 263–267.

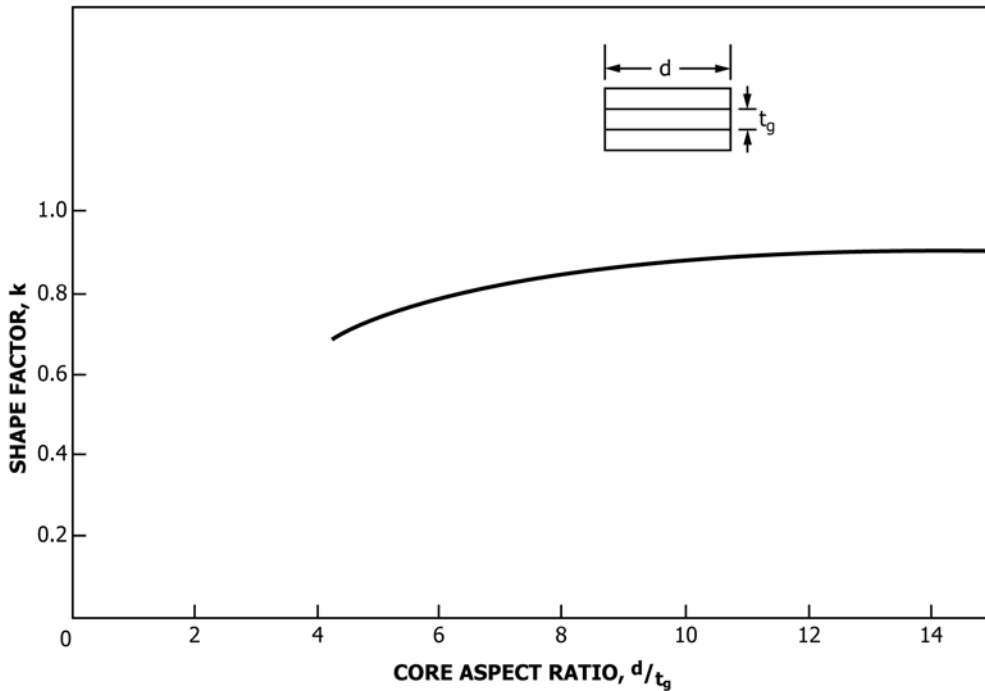


FIG. 3 Shape Factor

ANNEXES

A1. DIRECTIONS FOR CLEANING AND HEAT-TREATING SPECIMENS OF GLASS AND METAL FOR MAKING SEALS

A1.1 Clean the glass with ultrasonic agitation in 0.5 ± 0.01 % nonionic wetting agent solution at 50 ± 5°C for 5 ± 1 min. If necessary, precede this by an immersion in a 15 % aqueous hydrofluoric acid⁵ solution for 0.15 to 1 min; this is recommended particularly for aged or weathered glass. Rinse successively in distilled or deionized water and alcohol. Blow dry with nitrogen or filtered air, and then oven dry at 110 ± 5°C for 15 ± 2 min. Rinse water (distilled or deionized) shall have a resistivity greater than 2 M Ω·cm.

A1.2 Commonly used ASTM sealing alloys are Fe-Ni-Co, Fe-Ni, Ni-Cr-Fe, and Cr-Fe (A1.1). Degrease these alloys in trichloroethylene vapor or liquid, and follow this with the ultrasonic cleaning procedure in A1.1. Rinse in water. Immerse in 10 ± 1 % hydrochloric acid solution at 100 ± 5°C for 2 ± 0.5 min and follow this with the final rinsing and drying procedure in A1.1.

⁵ Refer to Appendix A1 of Test Method F47 for proper handling of hydrofluoric acid.

NOTE A1.1—These sealing alloys are covered by the following ASTM specifications:

Alloy	Specification
Fe-Ni-Co	F15
Fe-Ni	F30
Ni-Cr-Fe	F31
Cr-Fe	F256

A1.3 Heat treat Fe-Ni-Co and Fe-Ni alloys in wet (saturated) hydrogen at 1100 ± 20°C for 30 ± 2 min. Then oxidize in air at 800 ± 10°C for 8 ± 2 min. As a result of oxidation Fe-Ni-Co should gain 0.2 to 0.4 mg/cm² in weight; Fe-Ni should gain 0.1 to 0.3 mg/cm² in weight.

A1.4 Cr-Fe and Ni-Cr-Fe alloys require no prior heat treatment. Oxidize them in wet (saturated) hydrogen at 1200 ± 10°C and 1290 ± 10°C, respectively, for 40 ± 5 min to give a gain in weight of 0.2 to 0.4 mg/cm².

A2. Suggested Methods for Making Sandwich Seals

A2.1 If the glass part closely matches the dimensions of the metal parts, a good seal can usually be made simply by stacking the parts concentrically, applying no load, heating rapidly to a temperature approximately 100°C above the softening point of the glass, maintaining that temperature for 20 min, and then cooling rapidly.

A2.2 Seals can also be made with glass solid cylinders of proper volume but with smaller diameter than the metal parts as described in this section.

A2.3 Fig. A2.1 illustrates a fixture for furnace sealing. It should be made of a suitable high-temperature oxidation-resistant metal. The base plate, *A*, contains three suitably spaced pins, *B*. The upper part of each pin is machined to a reduced diameter for the length that will permit a load plate of adequate weight, *C*, to drop to the height that will press the glass, *D*, after softening to a thickness equal to that of a metal plate, *E*. Both *A* and *C* are recessed to prevent lateral motion of *E*. Since a successful seal depends on the free vertical movement of *C*, fine abrasive action on the small diameter of *B* to remove oxide accumulation may be occasionally required, followed with the use of powdered graphite as a lubricant.

A2.4 Assemble *D* and *E* into the fixture, centering *D* as judged by eye, and taking care the plates *E* lie in the recesses of *A* and *C*.

A2.5 Place the loaded fixture into a furnace with an inert gas atmosphere and idling at some temperature that will not cause the glass to crack. Heat according to a time-temperature schedule that will allow the glass to flow to the edges of the metal. Overheating will cause the viscosity of the glass to decrease to a level that will result in overflow. The proper schedule can be arrived at through the preparation of trial seals.

A2.6 The idealized seal of Fig. A2.2(a) is realized only through molding or grinding (Note A2.1). A good seal, (b), is attainable with proper glass dimensions, fixture design and furnace control. The case where the volume of glass is not quite adequate, (c), is acceptable provided the width of the unsealed band is no greater than the thickness of the metal plate, t_m . The light path here (d in 11.1) is considered to be only that length shown as p . Seals (d) and (e) are unacceptable because of overflow glass in one case and because $t_m \neq t_g$ in the other; the overflow glass of (d) may be ground.

NOTE A2.1—Grinding is permissible to remove excess glass and shall develop surfaces parallel to each other and normal to the plane of the seal interfaces within $\frac{1}{2}^\circ$; grinding should be followed by reannealing before measuring for retardation. However, grinding may produce micro or macro cracks at the interface with the uncertainties associated with this condition.

A2.7 Anneal the seal in accordance with Section 10.

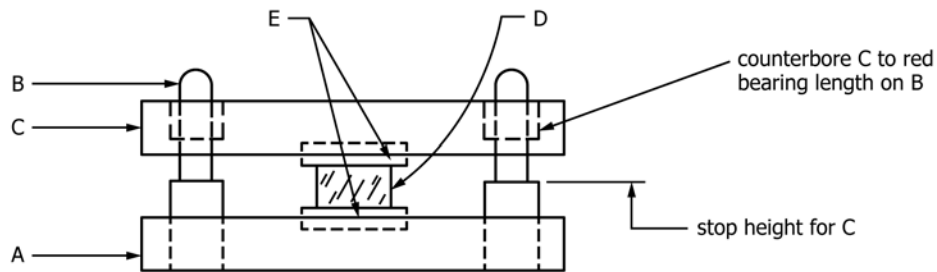


FIG. A2.1 Furnace Sealing Fixture

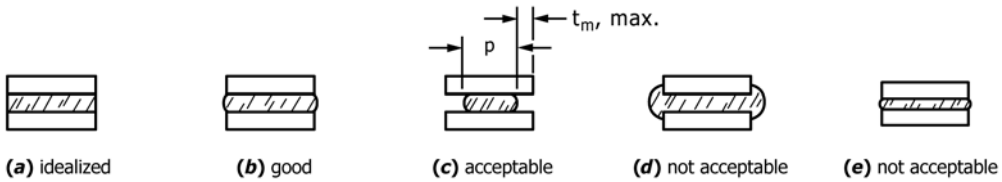


FIG. A2.2 Some Glass Flow Shapes

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