

Standard Practice for Identification of Chemicals in Water by Fluorescence Spectroscopy¹

This standard is issued under the fixed designation D4763; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ε) indicates an editorial change since the last revision or reapproval.

1. Scope

1.1 This practice allows for the identification of 90 chemicals that may be found in water or in surface layers on water. This practice is based on the use of room-temperature fluorescence spectra taken from lists developed by the U.S. Environmental Protection Agency and the U.S. Coast Guard **(1)**. ² Ref **(1)** is the primary source for these spectra. This practice is also based on the assumption that such chemicals are either present in aqueous solution or are extracted from water into an appropriate solvent.

1.2 Although many organic chemicals containing aromatic rings, heterocyclic rings, or extended conjugated double-bond systems have appreciable quantum yields of fluorescence, this practice is designed only for the specific compounds listed. If present in complex mixtures, preseparation by highperformance liquid chromatography (HPLC), column chromatography, or thin-layer chromatography (TLC) would probably be required.

1.3 If used with HPLC, this practice could be used for the identification of fluorescence spectra generated by optical multichannel analyzers (OMA) or diode-array detectors.

1.4 For simple mixtures, or in the presence of other nonfluorescing chemicals, separatory techniques might not be required. The excitation and emission maximum wavelengths listed in this practice could be used with standard fluorescence techniques **(Refs [2-6\)](#page-5-0)** to quantitate these ninety chemicals once identification had been established. For such uses, generation of a calibration curve, to determine the linear range for use of fluorescence quantitation would be required for each chemical. Examination of solvent blanks to subtract or eliminate any fluorescence background would probably be required.

1.5 *This standard does not purport to address the safety concerns, if any, associated with its use. It is the responsibility*

of the user of this standard to establish appropriate safety and health practices and determine the applicability of regulatory limitations prior to use.

2. Referenced Documents

2.1 *ASTM Standards:*³ D1129 [Terminology Relating to Water](http://dx.doi.org/10.1520/D1129) D1193 [Specification for Reagent Water](http://dx.doi.org/10.1520/D1193) E131 [Terminology Relating to Molecular Spectroscopy](http://dx.doi.org/10.1520/E0131) E275 [Practice for Describing and Measuring Performance of](http://dx.doi.org/10.1520/E0275) [Ultraviolet and Visible Spectrophotometers](http://dx.doi.org/10.1520/E0275)

3. Terminology

3.1 *Definitions*—For definitions of terms used in this practice, refer to Terminology D1129, Specification [D1193,](#page-3-0) and definitions under the jurisdiction of Committee E-13 such as Definitions E131 and Practice E275.

4. Summary of Practice

4.1 This practice uses well tested fluorescence techniques to detect and identify (or determine the absence of) 90 chemicals that have relatively high fluorescence yields. [Table 1](#page-1-0) lists for each chemical an appropriate solvent (either cyclohexane, water, methyl or ethyl alcohol, depending on solubility), a suggested excitation wavelength for maximum sensitivity, a wavelength corresponding to the emission maximum, the number of fluorescence peaks and shoulders, the width (full width at half of the maximum emission intensity) of the strongest fluorescence peak and the detection limit for the experimental conditions given. Detection limits could be lowered, following identification, by using broader slit widths. A list of corrected fluorescence spectra for the chemicals included in this practice are also available **[\(1\)](#page-3-0)**.

4.2 Identification of the sample is made by comparison of the obtained spectra with information in [Table 1](#page-1-0) and by direct ¹ This practice is under the jurisdiction of ASTM Committee [D19](http://www.astm.org/COMMIT/COMMITTEE/D19.htm) on Water and visual comparison of appropriate spectra with positions of

is the direct responsibility of Subcommittee [D19.06](http://www.astm.org/COMMIT/SUBCOMMIT/D1906.htm) on Methods for Analysis for Organic Substances in Water.

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² The boldface numbers in parentheses refer to the list of references at the end of this practice.

³ For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

principal peaks in agreement to ± 2 nm and ratios of peak heights in agreement to $\pm 10\%$ if corrected spectrofluorometers are used.

4.3 Spectral distortions due to self-absorption or fluorescence quenching or dimer formation may occur at higher concentrations (for example, 100 ppm or µg/mL). If this is suspected, the solution should be diluted and additional fluorescence spectra generated. If a suspected chemical is not detected on excitation at the appropriate wavelength, it usually can be assumed that it is not present above the detection limit, barring interference effects due to absorption or quenching that can usually be anticipated.

fluorescence yields (see Table 1). Most commonly, this practice will be useful for distinguishing single fluorescent chemicals in solution, simple mixtures or single fluorescing chemicals in the presence of other nonfluorescing chemicals. Chemicals with high fluorescence yields tend to have aromatic rings, some heterocyclic rings or extended conjugated double-bond systems. Typical chemicals included on this list include aromatics, substituted aromatics such as phenols, polycyclic aromatic hydrocarbons (PAH's), some pesticides such as DDT, polychlorinated biphenyls (PCB's), some heterocyclics, and some esters, organic acids, and ketones.

5. Significance and Use

5.1 This practice is useful for detecting and identifying (or determining the absence of) 90 chemicals with relatively high

$\lim_{x\to 1}$ D4763 – 06 (2012)

TABLE 1 Continued

5.2 With appropriate separatory techniques (HPLC, TLC, and column chromatography) and in some cases, special detection techniques (OMA's and diode arrays), this practice can be used to determine these 90 chemicals even in complex mixtures containing a number of other fluorescing chemicals. With the use of appropriate excitation and emission wavelengths and prior generation of calibration curves, this practice could be used for quantitation of these chemicals over a broad linear range.

5.3 Fluorescence is appropriately a trace technique and at higher concentrations (greater than 10 to 100 ppm) spectral distortions usually due to self-absorption, or inner-filter effects but sometimes ascribed to fluorescence quenching, may be observed. These effects can usually be eliminated by diluting the solution. Detection limits can be lowered following identification by using broader slit widths, but this may result in spectral broadening and distortion.

5.4 This practice assumes the use of a corrected spectrofluorometer (that is, one capable of producing corrected fluorescence spectra). On an uncorrected instrument, peak shifts and spectral distortions and changes in peak ratios may be noted. An uncorrected spectrofluorometer can also be used if appropriate data is generated on the instrument to be used.

6. Interferences

6.1 For the identification of compounds with low fluorescence yields and relatively high detection limits, the presence of other chemicals with high fluorescence yields emitting in the same spectral region, for example, anthracene, fluorescein, etc., may interfere unless separatory techniques are employed.

6.2 Some naturally occurring fluorescing materials, such as humic acids from leaf mold, may also interfere with the identification of chemicals with relatively low fluorescence yields especially at dilute concentrations of the hazardous chemicals, especially for emission in the near ultraviolet.

6.3 Since light must be absorbed before being reemitted, colored solutions, or solutions with absorbances greater than 0.02 at the excitation or emission wavelengths of interest will also interfere. Such solutions usually require further dilution.

6.4 Halogenated solvents and other solvents containing possible quenchers are not recommended for this application since they may raise detection limits.

7. Apparatus

7.1 *Scanning Fluorescence Spectrophotometer or Spectrofluorometer,* corrected to give constant emission intensity to \pm 5 to 10 % for fluorescence spectra over the spectral range scanned, normally from 220 to 600 nm. The spectral correction should be checked using an appropriate chemical such as anthracene for which the peak ratios of the corrected fluorescence peaks are known. The instrument should have an appropriate excitation source such as a high-pressure xenon lamp or other continuum source with at least 150 or 250 W. Band widths should be adjustable to at least 5 nm for excitation slit widths and at least 2 nm for emission slit widths. An appropriate photomultiplier tube with good detection characteristics over the 250 to 700 nm spectral range. For example, tubes with an S-20 response, should be used.

7.2 *Fluorescence Cells—*Standard fluorescence cells, fluorescence-free fused silica cells with a 10-mm path length.

7.3 *Recorder—*Strip chart or x-y recorder.

7.4 *Weighing Pans—* Aluminum, disposable.

8. Reagents

8.1 *Purity of Reagents—*Spectroquality grade chemicals shall be used in all tests. Spectroquality solvents required may include cyclohexane, methanol, and ethanol. Purity of solvents should be checked on running solvent blanks. Anthracene and other appropriate PAH's may be required to check spectral corrections (see Ref **[\(1\)](#page-5-0)**).

8.2 *Purity of Water—* Unless otherwise indicated, references to water shall be understood to mean reagent water that meets the purity specifications of Type I or Type II water, presented in Specification [D1193.](#page-0-0) Check the water purity by running water blanks.

9. Sampling and Sample Preparation

9.1 Neat samples (from a surface film or layer on water) only require dilution in an appropriate solvent (after skimming from the surface of the water using perforated TFEfluorocarbon if on water). An initial concentration for an unknown might be 100 µg/mL for preferably 25 mL of solution, with further dilutions once a fluorescence signal detected, down to 10 or 1 µg/mL. If a particular compound is not soluble in cyclohexane, the following solvents may be tried in order: water, methanol, ethanol, and acetonitrile.

9.2 If an unknown is dissolved in water (assuming no chemicals such as humic acid are present at levels that might interfere with the determination), it can be tested directly with appropriate dilutions or preconcentrations as required. If a chemical is emulsified in water or is sparingly soluble in water or if it is required to know the concentration of the unknown more precisely, it may be necessary to evaporate the solution, or to extract the chemical into a suitable solvent followed by evaporation, weighing, and redissolving in an appropriate solvent.

9.3 If an unknown fluorescent solute is dissolved in an organic solvent or mixture, it may sometimes be measured directly. But more often, if other components of the mixture fluoresce, a separation by an extraction or chromatographic step may be required followed by weighing and dilution in an appropriate solvent.

9.4 Sample bottles must be made of glass, precleaned with dilute nitric acid, with plastic screw caps having TFEfluorocarbon liners. Solutions must be made up in precleaned volumetric flasks (preferably red). Volumetric flasks and fluorescence cells must be cleaned with dilute nitric acid followed by rinsing with water. Glassware and cells should receive a final rinse with the solvent of choice. Solutions should be prepared fresh each day, but may be held for up to 3 days if stored in a refrigerator.

10. Procedure

10.1 Turn the instrument on, start and adjust the source, and carry out the calibration procedures recommended by the manufacturer. Wait 15 min before using. Adjust excitation slits initially to 10 nm and emission slits initially to 2 nm. Transfer a portion of the unknown solution, usually at a concentration range of 100 µg/mL or less, into a clean fluorescence cell using a disposable Pasteur pipet. Be careful not to contaminate the outside of the cell with solution or fingerprints. If this should occur, gently clean the outside of the cell using lens paper.

10.2 Place the full cell into the cell holder. Verify that the solution is not visibly colored or turbid. Protect the detector (either by shutter or by turning off the room lights). Verify that the fluorescence cell is fully illuminated without signs of self-absorption and that the emitted or scattered light is reaching the slits leading to the emission monochromator. Scan the excitation monochromator manually and look for fluorescence emission visually.

10.3 If a given chemical in [Table 1](#page-1-0) is suspected, turn to an appropriate excitation wavelength. Otherwise it may be necessary to look for a visible fluorescence signal while scanning the excitation monochromator manually or to scan both monochromators manually and look for detector response or to run emission scans at several excitation wavelengths starting with 250 nm.

10.4 When fluorescence is detected, first adjust both monochromators manually to determine the maximum response and adjust the instrument gain for an appropriate recorder chart reading normally 90 % of full scale. If a strong fluorescence signal is encountered, it may be desirable to dilute the solution further to reduce the risk of spectral distortion. Start the emission scan at a wavelength higher than the excitation setting, normally 10 to 15 nm higher, to avoid Rayleigh scatter and scan the full fluorescence spectrum.

10.5 Without varying the gain, make a similar scan using a similar or the same cell filled with a solvent blank. Select a different excitation wavelength that still gives a fluorescence response, refill or replace the cell with unknown solution, adjust the instrument gain, if necessary, and repeat the scan. A Raman peak, characteristic of the solvent may be observed especially at low concentrations of compounds of low fluorescence yield, that is, at high instrument gain. This Raman shift, characteristic of the solvent, is constant in frequency, but varies in wavelength shift with excitation wavelength.

10.6 Repeat the solvent scan.

10.7 Compare the four spectra generated, that is, fluorescence spectra of sample with solvent excited at two different excitation wavelengths. Solvent blanks will contain any peaks due to Rayleigh or Raman scatter and may be subtracted from the spectrum and discounted. Occasionally, a weak peak at twice the excitation wavelength may be noted. This peak is due to the appearance of an excitation scatter peak in the second order of the emission monochromator. Any filter that absorbs the excitation light will eliminate the peak, but care should be taken to avoid absorption of the sample fluorescence. Usually this can be subtracted with the solvent blank or eliminated by using the appropriate filters.

10.8 If the two spectra appear identical there is probably only one fluorescent chemical in the spectral region excited, although other excitation wavelengths might be used to confirm this. If the spectra are different and self-absorption is not expected (this can be checked by dilution), there are at least two fluorescent components present. Computer subtraction or a separatory step may be required.

10.9 If only one fluorescent chemical appears to be present or if observed fluorescent spectra appear not to overlap (or if a separatory step has already been performed), look through [Table 1](#page-1-0) to find the closest matching chemical and look at the tabulated spectra for additional confirmation. Normally, fluorescence spectroscopy is very specific. But in some cases, especially for the broader, less-structured spectra, a similar but not identical chemical not listed in [Table 1](#page-1-0) may give a similar spectrum. Usually, such a chemical belongs to the same class of compounds. If further identification is required, a more specific method such as low-temperature luminescence, infrared spectroscopy, or gas chromatography mass spectrometry (GC-MS) may be used for confirmation.

10.10 If no fluorescence signal in the unknown solution is detected and if the spectrofluorometer is functioning properly as determined by measuring a known sample, for example, 1 mg/L solution of anthracene, it can be assumed that none of the 90 chemicals are present at or above the detection limits listed in this practice and that probably no other fluorescent chemicals with similar detection limits are present. No assumptions can be made about the presence or absence of other nonfluorescing chemicals or chemicals with appreciably lower fluorescence yields. If lower detection limits are required in the original sample, either excitation and emission slits can be widened to a minimum of 20 nm for excitation and 10 nm for emission, or larger samples can be taken and concentrated before measurement. If emission slits are broadened, some spectral distortion may be encountered.

10.11 After thoroughly rinsing the fluorescence cell with solvent and repeating the cleaning cycle, additional samples may be analyzed.

11. Interpretation of Spectra

11.1 Compare the emission spectrum or spectra for an unknown fluorescent chemical with the data given in [Table 1](#page-1-0) with respect to the wavelength corresponding to the emission intensity maximum, the number of peaks and shoulders, the FWHM (full width at half maximum), and apparent excitation wavelength corresponding to the maximum emission intensity.

11.2 If several closely corresponding spectra are obtained, examine the spectra for greater certainty. Major peaks should agree in peak position to $\pm 10\%$ or better, if the instrument is properly corrected and the spectral correction has been checked using appropriate standards. The general peak profiles and half-widths should also correspond. Effects due to Rayleigh, Raman, and second order scatter can usually be subtracted out or at least observed by examining solvent blanks. Possible effects due to self-absorption may be eliminated by running more dilute solutions. It is important to compare spectra of samples in the same solvent and run under the same or similar instrumental conditions.

11.3 If the compounds fluoresce strongly and do not appear to be in [Table 1](#page-1-0) or are not a composite of several overlapping spectra (that is, it gives the same fluorescence spectrum at several excitation wavelengths), other compendia for PAH's **(6)** must be consulted. Since few compendia of corrected fluorescence spectra are available, the literature must be searched; or the spectrum of suspected chemicals must be determined for comparison. Another possibility is to run an excitation spectrum by setting the emission monochromator on a major emission peak, reversing slits (for example, making excitation slits narrower and emission slits wider) and scanning the excitation monochromator. The resulting excitation spectrum then will often closely resemble the absorption spectrum (see Note 1) (if the source-excitation monochromator is corrected and the solution is sufficiently dilute) that will allow compendia of absorption spectra to be searched for correspondences.

NOTE 1—This assumes that the relative quantum efficiency of fluorescence is independent of wavelength which is often, but not always, the case at least for the first absorption peaks (mirror image rule).

12. Keywords

12.1 fluorescence spectroscopy; spectral identification

REFERENCES

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